

# ***Drug Elimination Programs Application***

Public Housing Drug Elimination TA  
Indian Drug Elimination Program  
New Approach Anti-Drug Program  
Drug Elimination Grants for Multifamily  
Low Income Housing



**2001**

U.S. Department of Housing and Urban Development  
Mel Martinez, Secretary



## Foreword

Dear Friend of America's Communities:

I am pleased to provide you with a copy of "Connecting With Communities: A User's Guide to HUD Programs and the 2001 SuperNOFA Process."

HUD's SuperNOFA provides you with the information you need about HUD's competitive grant funds in one convenient publication. This User's Guide, in conjunction with our latest SuperNOFA, can help you better understand the HUD programs that are available to help your community or neighborhood and decide which funding opportunities will best meet your local needs.

The 2001 SuperNOFA outlines approximately \$2.75 billion in grant programs, covering a wide range of community development, economic development and housing programs. Our guidebook is written in plain language so that the programs and their application requirements can be easily understood.

As HUD Secretary, I came to this Department with a strong belief in the American Dream. I arrived in America as a teenage refugee from Cuba, speaking very little English but with faith in God and the conviction that this was the greatest nation in the world – a set of beliefs that have helped shape my life. I have witnessed America's greatness and the goodness of its people, and I know that HUD can build on that by working closely with local communities and neighborhood- and faith-based organizations. I know you share my goal of helping all people take advantage of America's tremendous economic opportunities and enjoy decent, affordable housing in safe neighborhoods.

I look forward to working with you, as a partner, to increase housing affordability and availability, give compassionate help to the homeless, bring new vitality to declining communities and forgotten neighborhoods, and make hope for the future and the opportunity to live the American Dream a reality for all.

A handwritten signature in black ink, reading "Mel Martinez". The signature is fluid and cursive, with the first letters of the first and last names being capitalized and prominent.

Mel Martinez  
Secretary

The public reporting burden for this collection of information for the Indian Housing Drug Elimination Program is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information and preparing the application package for submission to HUD. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions to reduce this burden, to the Reports Management Officer, Paperwork Reduction Project, to the Office of Information Technology, US. Department of Housing and Urban Development, Washington, DC 20410-3600. When providing comments, please refer to OMB Approval pending, IHDEP. HUD may not conduct and sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid control number.

The information submitted in response to the Notice of Funding Availability for the Indian Housing Drug Elimination Program is subject to the disclosure requirements of the Department of Housing and Urban Development Reform Act of 1989 (Public Law 101-235, approved December 15, 1989, 42 U.S.C. 3545).

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

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## General Section of the SuperNOFA

## IHDEP Program Section of the SuperNOFA

## Appendix A – Required Forms and Certifications

- ☐ Applicant Data Input Form
- ☐ Application Cover Letter
- ☐ Project Summary, Congressional Notification Information Sheet
- ☐ Implementation Schedule
- ☐ Standard Form-424, Application for Federal Assistance
- ☐ Form HUD-424M, Federal Funding Matrix
- ☐ Standard Form-424A, Budget Information (non-construction programs), with Activity Budget Narrative/and supporting documentation
- ☐ Standard Form-424B, Assurances (non-construction programs)
- ☐ FY 2001 Summary Budget Information (Budget Line Items)
- ☐ Form HUD-2880, Applicant/Recipient Disclosure/Update Report
- ☐ Form HUD-50070 Drug-Free Workplace Certification
- ☐ Form HUD-50071 Certification of Payments to Influence Federal Transactions (Lobbying Certification)
- ☐ Standard Form-LLL, Disclosure of Lobbying Activities Certification
- ☐ Form HUD-2992 Certification of Debarment and Suspension
- ☐ Form HUD-2994 You are our Client!
- ☐ Certification of Consistency with the Indian Housing Plan
- ☐ Certification of Resident Management Corporations, Resident Organizations, and Residents
- ☐ Acknowledgement of Application Receipt

## Appendix B – Current Assisted Stock By Tribe

## Appendix C – Title Pages for Each Rating Factor and Subfactor



# ***FISCAL YEAR 2001 INDIAN HOUSING DRUG ELIMINATION PROGRAM (IHDEP)***

## **General Application Information**

APPLICATION DEADLINE: April 24, 2001

## **INTRODUCTION**

Fiscal Year (FY) 2001 Indian Housing Drug Elimination Programs must reduce/eliminate drugs and drug-related crime “in or around” American Indian and Alaskan Native affordable housing developments in the “Indian area.” IHDEP is designed to:

- ☐ Encourage tribally designated housing entities (TDHEs) to use IHDEP resources to establish collaborative relationships and increase services over and above what might ordinarily be provided to residents.
- ☐ Effectively coordinate with Federal, Tribal, State, and local agencies to increase employment and training opportunities for low-income residents, and thereby reduce/eliminate drug-related crime.
- ☐ Increase the community and resident involvement in drug and drug-related crime reduction activities: such as community policing; police mini-stations; resident training; substance abuse prevention, intervention, treatment (dependency/remission), and structured aftercare; and other human resources programs.

IHDEP grants may be used for seven types of activities including: (1) the employment of security personnel; (2) reimbursement of local law enforcement agencies for additional security and protective services; (3) physical improvements which are specifically designed to enhance security; (4) the employment of one or more individuals—(A) to investigate drug-related crime on or about the real property comprising any public or other federally assisted affordable housing project; and (B) to provide evidence relating to such crime in any administrative or judicial proceeding; (5) the provision of training, communications equipment, and other related equipment for use by voluntary tenant patrols acting in cooperation with local law enforcement officials; (6) programs designed to reduce use of drugs in and around public or other federally assisted affordable housing projects, including drug-abuse prevention, intervention, referral, and treatment programs; and (7) where a tribe or TDHE receives a grant, providing funding to nonprofit resident management corporations and resident organizations to develop security and drug abuse prevention programs involving site residents.

Programs must be part of a comprehensive plan addressing the reduction/elimination of drug-related crime in or around American Indian and Alaskan Native affordable housing developments. Applicants are encouraged to discuss IHDEP initiatives and how IHDEP

efforts may be coordinated with anti-crime related activities across local, State, Federal, and tribal levels to maximize their effectiveness.

## **ELIGIBLE APPLICANTS**

Eligible applicants are Indian tribes who are eligible to be recipients under NAHASDA.

“NAHASDA recipient” means an Indian tribe or the tribally designated housing entity (TDHE) for one or more Indian tribes that is authorized to receive grant amounts under NAHASDA on behalf of the tribe or tribes.

“Tribe” means an Indian tribe, band, nation, or other organized group, or community of Indians, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act, that is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians pursuant to the Indian Self-Determination and Education Assistance Act of 1975.

A tribe can apply either in its own name or through its TDHE. A TDHE cannot apply on behalf of a tribe that is applying on its own behalf. A tribe’s application supersedes the application of a TDHE applying on behalf of that tribe.

Resident Management Corporations (RMC) and Resident Organizations (RO) are eligible for funding as sub-grantees. RMCs and ROs that were operating pursuant to 24 CFR part 950 (for further information on this regulation, see <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html> ) are eligible for funding from tribes or TDHEs as sub-grantees to develop security and substance abuse prevention programs.

An applicant must meet all the applicable threshold requirements found in section II(b) of the General Section of the SuperNOFA. Consult Section III(B) of the NOFA for information regarding applicant eligibility.

## **ELIGIBLE ACTIVITIES**

IHDEP grants may be used for seven types of activities including:

- ☐ Employment of security personnel.
- ☐ Reimbursement of local law enforcement agencies for additional security and protective services.
- ☐ Physical improvements which are specifically designed to enhance security.
- ☐ Employment of one or more individuals—(A) to investigate drug-related crime on or about the real property comprising any public or other federally assisted affordable housing project; and (B) to provide evidence relating to such crime in any administrative or judicial proceeding.
- ☐ Provision of training, communications equipment, and other related equipment for use by voluntary tenant patrols acting in cooperation with local law enforcement officials.

- ☐ Programs designed to reduce use of drugs in and around public or other federally assisted affordable housing projects, including drug-abuse prevention, intervention, referral, and treatment programs.
- ☐ Where a tribe or TDHE receives a grant, providing funding to nonprofit resident management corporations and resident organizations to develop security and drug abuse prevention programs involving site residents.

Please consult Section III(C) of the IHDEP Program NOFA to learn specifics of eligible activities and requirements of activities your application will need to discuss.

## **INELIGIBLE ACTIVITIES**

IHDEP funding is not permitted for any of the activities listed below, unless otherwise specified in the IHDEP Program Section of the SuperNOFA.

- ☐ Costs incurred before the effective date of your grant agreement (Form HUD-1044), including, but not limited to, consultant fees related to the development of your application or the actual writing of your application.
- ☐ The purchase of controlled substances for any purpose. Controlled substance shall have the meaning provided in section 102 of the Controlled Substance Act (21 U.S.C. 802).
- ☐ Compensation of informants, including confidential informants. These should be part of the baseline services provided and budgeted by local law enforcement agencies.
- ☐ Direct purchase or lease of clothing or equipment, vehicles (including cars, vans, and buses), uniforms, ammunition, firearms/weapons, protective vests, and any other supportive equipment for use in law enforcement or military enforcement except for eligible tribal police department, voluntary tenant patrols and investigator activities listed in this NOFA.
- ☐ Construction of facility space in a building or unit, and the costs of retrofitting/modifying existing buildings owned by the tribe/TDHE for purposes other than: community policing mini-station operations, adult/youth education, employment training facilities, and drug abuse treatment activities.
- ☐ Organized fund raising, advertising, financial campaigns, endowment drives, solicitation of gifts and bequests, rallies, marches, community celebrations, stipends and similar expenses.
- ☐ Court costs and attorneys fees related to screening or evicting residents for drug-related crime.
- ☐ Transfer of IHDEP grant funds to any Federal agency.
- ☐ Costs to establish resident associations, resident organizations and resident corporations.
- ☐ Indirect costs.
- ☐ The supplanting of existing positions/activities. For purposes of the IHDEP, supplanting is defined as "taking the place of or to supersede."
- ☐ Alcohol-exclusive activities and programs **are not eligible** for funding under this NOFA, although activities and programs may address situations of multiple abuse involving controlled substances and alcohol.



- ☐ Physical improvements that involve demolishing any units in a development or that would displace any persons.
- ☐ Acquiring real property.

Please consult Section III(C) and III(D) of the IHDEP Program Section of the SuperNOFA for a complete list and detailed description of ineligible activities.

## **FY 2001 IHDEP FUNDS**

### **Funding Available: \$12,000,000 (Approximate)**

During FY 2001, HUD is distributing approximately \$12 million in grant funds for IHDEP on a national competitive basis. Maximum grant award amounts are computed for IHDEP on a sliding scale, using an overall maximum cap, depending upon the number of housing authority or tribal/TDHE units eligible for funding. This figure (the number of eligible units for funding) will determine the grant amount that the tribe/TDHE is eligible to receive if they meet the IHDEP criteria and score a minimum of 70 out of 100 points.

### **Unit Count**

The unit count includes rental, Turnkey III and Mutual Help Homeownership units that have not been conveyed to a homebuyer, and Section 23 lease housing bond-financed projects. Such units must be counted as Formula Current Stock (FCAS) under the Indian Housing Block Grant Program for FY 2001.

Eligible units are those which are under management and fully developed. Use the number of units counted as Formula Current Assisted Stock for the Fiscal Year 2001 as defined in 24 CFR 1000.316. (See Appendix B: Current Assisted Stock By Tribe.) For further information on this regulation, see <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>. Please verify this count with your local Area Office of Native American Programs (AONAP). Units that are developed or assisted under NAHASDA are not included in the unit count outlined above. They are, however, eligible to receive assistance under the IHDEP.

If it is determined that the unit count listed in your application is incorrect compared to the unit count listed in the application kit and your application is eligible for funding, you will be awarded the funding amount for which you are eligible based on the FCAS list or the amount requested in your application, whichever is less.

See IHDEP Program Section of the SuperNOFA Section IV(A) for information on unit count.

### **Maximum Award Amounts**

For tribes/TDHEs with 1-1,250 units: The maximum grant award cap is \$300 multiplied by the number of eligible units.

For tribes/TDHEs with 1,251 or more units: The maximum grant award cap is \$260 multiplied by the number of eligible units—up to, but not to exceed, a maximum grant award of \$1.5 million dollars.

See Section IV(A) of the IHDEP Program Section of the SuperNOFA for information on awards.

## **APPLICATION SUBMISSION REQUIREMENTS**

An applicant shall submit no more than one application per tribe/TDHE on behalf of the tribe for IHDEP. A TDHE cannot apply on behalf of a tribe that is applying on its own behalf. A tribe's application supersedes the application of a TDHE applying on behalf of that tribe.

In addition, joint applications that include more than one TDHE representing the tribe are permitted only in those cases where there is a single administrator managing another under contract or sharing a common executive director. In those cases, a separate budget, plan, timetable, and unit count shall be supplied in the application.

You must send an original application and one identical copy of your application to the Indian Housing Drug Elimination Information & Resource Center (IHDE-IRC), P.O. Box 14970, Silver Spring, MD 20911. You must also send one identical copy of your IHDEP application to the local AONAP Administrator by the deadline date and designated time as directed in the General Section of the SuperNOFA. (See the IHDEP Program Section of the SuperNOFA Section I for a list of local AONAP offices.)

The timely submission of your application will be based on the date and time it is submitted to the AONAP Office, NOT the date and time it is received at the IHDE-IRC. See the General Section of the SuperNOFA for specific procedures regarding the application due date and the submission of applications.

You must submit the required IHDEP FY 2001 Section of the Drug Elimination Programs Application Kit that contains all of the requisite forms in order to be considered for IHDEP funding. Your application submitted to HUD must also include items required under Section V, Application Selection Process, of the IHDEP Program Section of the SuperNOFA, including the plan to address the problem of drug-related crime in the developments proposed for funding.

HUD strongly requests that when you respond to each of the selection criterion and sub-criterion that you separate each criterion and sub-criterion with tabs. Please use page numbers and add a table of contents. To be rated, please make sure the response for each criterion is beneath the appropriate tab (heading). HUD suggests you use the title pages for each criterion located in Appendix C to organize your application. Keep the responses in the same order as the NOFA.

Limit your narrative explanations to 200 words or less and provide the necessary data that supports the response. Include all relevant material to a response under the same tab. Do not assume the reviewer will search for the answer or information to support the answer elsewhere in the application. **Do not include documentation that is not required by the selection criteria because irrelevant information will be disregarded during the review of your application.**

To be scored, each IHDEP application must contain the following items:

1. An application cover letter.
2. A summary of the proposed program activities in five (5) sentences or less on the Congressional Notification Form.
3. A list of the sub-grantees, if applicable. The list must include the names of the sub-grantees, as well as the relative roles and contributions of each sub-grantee in implementing the IHDEP grant activities (Factor 1).
4. An overall budget and implementation schedule that includes separate budgets, goals, and implementation schedules for each activity, and addresses milestones towards achieving each described goal. You must also describe the contributions and implementation responsibilities of each partner for each activity, goal, and milestone.
5. A description of the number of staff, the titles, professional qualifications, and respective roles of the staff assigned full or part-time to grant implementation. (Factor 1)
6. Lines of accountability (including an organization chart) for implementing the grant activity, coordinating the partnership, and assuring that the commitment made by you and your sub-grantees, if any, will be met. (Factor 1)
7. A list of open IHDEP grants, the grant amount, and amount expended as of the date of this application.
8. A narrative of the plan that will address the problem of drug-related crime in the developments proposed for funding. (Factor 3).
9. Narrative responses to each of the five Rating Factors in this NOFA: (1) Capacity of the Applicant and Relevant Organizational Experience; (2) Need/Extent of the Problem; (3) Soundness of Approach; (4) Leveraging Resources; and (5) Comprehensiveness and Coordination.
10. You must also include the following forms, which are included in the FY 2001 IHDEP Section of the Drug Elimination Programs Application Kit:

- ☐ Applicant Data Input Form
- ☐ Application Cover Letter
- ☐ Project Summary, Congressional Notification Information Sheet
- ☐ Implementation Schedule
- ☐ Standard Form-424, Application for Federal Assistance
- ☐ Form HUD-424M, Federal Funding Matrix
- ☐ Standard Form-424A, Budget Information (non-construction programs), with Activity Budget Narrative/and supporting documentation
- ☐ Standard Form-424B, Assurances (non-construction programs)
- ☐ FY 2001 Summary Budget Information (Budget Line Items)

- ☐ Form HUD-2880, Applicant/Recipient Disclosure/Update Report
- ☐ Form HUD-50070 Drug-Free Workplace Certification
- ☐ Form HUD-50071 Certification of Payments to Influence Federal Transactions (Lobbying Certification)
- ☐ Standard Form-LLL, Disclosure of Lobbying Activities Certification
- ☐ Form HUD-2992 Certification of Debarment and Suspension
- ☐ Form HUD-2994 You are our Client!
- ☐ Certification of Consistency with the Indian Housing Plan
- ☐ Certification of Resident Management Corporations, Resident Organizations, and Residents
- ☐ Acknowledgement of Application Receipt

## **APPLICATION SELECTION PROCESS**

Your application must address the five (5) factors, and sub-factors listed in Section V(B) of the IHDEP Program Section of the SuperNOFA. If your application does not address each of the five factors and sub-factors, it will not be scored and will be considered ineligible for funding.

The maximum number of points for this program is 100. An application must receive a score of at least 70 points to be eligible for funding.

HUD will rate and rank applications based on the five rating factors listed in the NOFA. HUD will select and fund the highest ranking applications based on total score, and will continue the process until all funds allocated have been awarded or to the point where there are insufficient acceptable applications for which to award funds.

In the event of a tie, HUD will select the highest-ranking application that can be fully funded. In the event that two eligible applications receive the same score, and both cannot be funded because of insufficient funds, the applicant with the highest score in rating factor two will be funded. If rating factor two is scored identically, the scores in rating factors one and four will be compared in that order, until one of the applications receives a higher score. If both applications still score the same, then the application which requests the least funding will be selected in order to promote the more efficient use of resources.

## **APPLICATION KITS AND ASSISTANCE**

To receive a copy of the Drug Elimination Programs Application Kit which includes the Indian Housing Drug Elimination Program application kit, please call the SuperNOFA Information Center at 1-800-HUD (483)-8929. Persons with hearing or speech impairment may call the Center's TTY number at 1-800-483-2209.

At HUD's website <http://www.hud.gov/grants>, you may download a copy of the SuperNOFA, the IHDEP Program Section of the SuperNOFA, and an application kit.

For further information and technical assistance, please call the AONAP with jurisdiction over your tribe or TDHE (See the IHDEP Program Section of the SuperNOFA Section I for a list of local AONAP offices) or IHDE-IRC (1-800-839-5561). You may also contact Tracy Outlaw at the Denver Program Office of Native American Programs, Department of Housing and Urban Development, 1999 Broadway, Suite 3390, Denver, CO 80202 or telephone 1-800-561-5913 or 303-675-1600 (The last is not a toll-free number).

See the SuperNOFA General Section for application due date and mailing procedures. See the IHDEP Program Section of the SuperNOFA for the submission address, application kit and further information, and technical assistance.

## **GENERAL REQUIREMENTS AND INFORMATION**

### **Term of Grant**

Grant funds must be expended within 24 months after HUD executes a Grant Agreement. The AONAP may grant a one-time extension of 6 months at the end of the grant term in accordance with the requirements of HUD Handbook 7490.1. For additional information on this Handbook, see <http://www.hudclips.org>. However, if no extension is requested and granted and the grant funds are not expended within 24 months after execution of the Grant Agreement, the funds will be recaptured by the Department.

### **Reports and Closeouts**

In accordance with 24 CFR 761.35, grantees are required to submit semi-annually an IHDEP Semi-Annual Performance Report and the Semi-Annual Financial Status Report (SF-269A) to the appropriate AONAP. For further information on this regulation, see <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>

These IHDEP Semi-Annual Performance Reports shall cover the periods ending June 30 and December 31, and must be submitted to the local AONAP by July 30 and January 31 of each year. The grantee must also comply with additional reporting requirements to be outlined in the near future which will include an annual survey of residents and additional supporting documentation for the semi-annual report, including the close-out report.

At grant completion, the grantee must comply with the close-out requirements described in Public Housing Notice PIH 98-60 (HA), entitled "Grant Close-out Procedures." By the end of the grant term, you must return any unexpended grant funds to the Department according to applicable requirements. For additional information on this Notice, see <http://www.hudclips.org>

### **Notification**

HUD will provide written notification to all applicants of whether or not they have been selected.

## **Grant Agreement**

After an application has been approved, HUD and the applicant shall enter into a grant agreement, setting the amount of the grant and its applicable terms, conditions, financial controls, payment mechanism, schedule, measurements/outcomes, monitoring schedules, and special conditions, including sanctions for violation of the agreement. The grant agreement will state the dates of the period of performance or “grant term” for the proposed application.

## **Clarifying Information**

After the application due date, HUD may not, consistent with its regulations in 24 CFR part 4, subpart B, consider any unsolicited information that you, the applicant, may want to provide. For further information on this regulation, see

<http://www.access.gpo.gov/nara/cfr/cfr-table-search.html> HUD may contact you, however, to clarify an item in your application. You should note, however, that HUD may not seek clarification of items or responses that improve the substantive quality of your response to any selection factors. HUD will make any requests for clarifying information in writing and will specify the item, or items, that need clarification and a time frame for response. Failure on your part to provide such requested information will result in the rejection of the application.

## **Corrections to Deficient Applications**

A technical deficiency is an error or oversight that, if corrected, would not alter, either in a positive or negative fashion, the review and rating of an application. Examples of technical deficiencies include the failure to submit the proper certifications as listed in section VI(C) of the IHDEP Program NOFA or the failure to have an original signature of an authorized official, as required, on an application form. Only successful applicants will be required to address technical deficiencies and this must be done before we make a grant award. If you do not provide the information necessary to address the deficiency within the time allowed, we will not award you the grant and will reject your application. The Area ONAP will notify you in writing and will describe the technical deficiency, what must be done to correct it, and the date by which you must submit this information. The AONAP will notify you by facsimile or by return receipt requested. Your response must be submitted (postmarked) within 14 calendar days from the date of receipt of the HUD notification.

## **Pre-Award Requirements**

If applicable, after the rating and ranking process has been completed but before award, we will be available to assist you in clarifying or confirming technical, curable deficiency information that is required to address a pre-award requirement. If the pre-award conditions as outlined in Section VIII of the IHDEP Program Section of the SuperNOFA are not met by the applicant, we will award those grant funds to another eligible applicant in accordance with the provisions of Section V of this Program NOFA.

## **Error and Appeals**

Judgments made within the provisions of this SuperNOFA and the program regulations (24 CFR 761) are not subject to claims of error. For further information on this regulation, see <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>. You may bring arithmetic errors in the rating and ranking of applications to the attention of the AONAP Administrator within 30 days of being informed of your score. If an arithmetic error was made in the application review and rating process that, when corrected, would result in the award of sufficient points to warrant the funding of an otherwise approvable project, the AONAP may fund that project in the next funding round without further competition.

## **Environmental Requirement**

Prior to the award of grant funds under the program, HUD will perform an environmental review to the extent required under the provisions of 24 CFR Part 50 for ONAP. For further information on this regulation, see <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>.

## **Public Burden Collections Requirement**

Information requested in accordance with Chapter 2, Subtitle C, Title V of the Anti-Drug Abuse Act of 1988 (42 U.S.C. 11901-11908), as amended by Section 581 of the National Affordable Housing Act (NAHA) (P.L. 1101—625, and approved November 28, 1990). The information will be used to rate applications, determine eligibility, and to establish grant amounts. Selection of applications for funding under the Indian Housing Drug Elimination Grant Program is based on rating factors listed in the Notice of Funding Availability (NOFA), which is published each year to announce the Program's funding round. The information collected in the application will only be collected for specific funding competitions.

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information, including suggestions for reducing the burden, to the Reports Management Officer, Paperwork Reduction Project (2577-0124), Office of Information Technology, U.S. Department of Housing and Urban Development, Washington, DC 20410-3600.



# Federal Register

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**Monday,  
February 26, 2001**

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## **Part II**

### **Department of Housing and Urban Development**

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**Super Notice of Funding Availability  
(SuperNOFA) for HUD's Housing,  
Community Development and  
Empowerment Programs and Section 8  
Housing Voucher Assistance for Fiscal  
Year 2001; Notice**



# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-4630-N-01]

## Super Notice of Funding Availability (SuperNOFA) for HUD's Housing, Community Development and Empowerment Programs and Section 8 Housing Voucher Assistance for Fiscal Year 2001

**AGENCY:** Office of the Secretary, HUD.

**ACTION:** Super Notice of Funding Availability (SuperNOFA) for HUD Grant Programs.

**SUMMARY:** This Fiscal Year 2001 Super Notice of Funding Availability (SuperNOFA) announces the availability of approximately \$2.75 billion in HUD program funds covering 45 grant categories within programs operated and administered by HUD offices and Section 8 housing voucher assistance.

The General Section of this SuperNOFA provides the application procedures and requirements that are applicable to all the programs in this SuperNOFA. The Programs Section of this SuperNOFA provides a description of the specific programs for which funding is made available and describes any additional procedures and requirements that are applicable to a specific program. Please be sure you read both the General Section and the Program Section of this SuperNOFA to ensure you respond to all the requirements for funding.

**APPLICATION DUE DATES:** *The information in this APPLICATION DUE DATES section applies to all programs that are part of this SuperNOFA.* You, the applicant, must submit a completed application to HUD no later than the application due date established for the program for which you are seeking funding. HUD will not accept for review and evaluation any applications sent by facsimile (fax).

**ADDRESSES AND APPLICATION SUBMISSION PROCEDURES:** *Addresses.* You, the applicant, must submit a complete application to the location identified in the Programs Section of this SuperNOFA. When submitting your application, please refer to the name of the program for which you are seeking funding.

*For Applications to HUD Headquarters.* If your application is due to HUD Headquarters, you must send the application to the following address: Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, DC 20410 (see the Program Chart or Programs Section for Room

location and additional information regarding the addresses for application submission). Please make sure that you note the room number. The correct room number is very important to ensure that your application is not misdirected.

*For Applications to HUD Field Offices.* If your application is required to be submitted to a HUD Field Office, please see the Programs Section for the exact office location for submission of your application.

*Applications Submission Procedures. Mailed Applications.* Your application will be considered timely filed if your application is postmarked on or before 12:00 midnight on the application due date and received by the designated HUD Office on or within ten (10) days of the application due date.

*Applications Sent by Overnight/Express Mail Delivery.* If your application is sent by overnight delivery or express mail, your application will be timely filed if it is received before or on the application due date, or when you submit documentary evidence that your application was placed in transit with the overnight delivery/express mail service by no later than the application due date.

*Hand-Carried Applications. Hand-carried to HUD Headquarters.* If your application is required to be submitted to HUD Headquarters, and you arrange for the application to be hand carried, hand carried applications delivered before and on the application due date must be brought to the specified location at HUD Headquarters and room number between the hours of 8:45 am to 5:15 pm, Eastern time. Applications hand carried on the application due date will be accepted in the South Lobby of the HUD Headquarters Building at the above address from 5:15 pm until 12:00 midnight, Eastern time. This deadline date is firm. Please make appropriate arrangements to arrive at the HUD Headquarters Building before 12:00 midnight, Eastern time, on the application due date.

*Hand-carried to HUD Field Office.* If your application is required to be submitted to a HUD Field Office, your application must be delivered to the appropriate HUD Field Office in accordance with the instructions specified in the Programs Section of the SuperNOFA. A hand carried application will be accepted at the specified HUD Field Office during normal business hours before the application due date. On the application due date, business hours will be extended to 6:00 pm, local time. (Appendix A-1 to this General Section of the SuperNOFA lists the HUD Field Offices. Please call to find out the hours of operation.) Please be

sure to arrive at the HUD Field Office with adequate time to submit the application before the 6:00 pm deadline on the application due date.

*Copies of Applications to HUD Offices.* The Programs Section of this SuperNOFA may specify that to facilitate the processing and review of your application, a copy of the application also must be sent to an additional HUD location (for example, a copy to the HUD Field Office if the original application is to be submitted to HUD Headquarters, or a copy to HUD Headquarters, if the original application is to be submitted to a HUD Field Office). Please follow the directions of the Programs Section to ensure that you submit your application to the proper location. For some programs, HUD requests additional copies in order to expeditiously review your application, and to ensure that all reviewers receive complete applications to review. HUD appreciates your assistance in providing the copies. Please note that for those applications for which copies are to be submitted to the Field Offices and HUD Headquarters, timeliness of submission will be based on the time your application is received at HUD Headquarters.

### FOR APPLICATION KITS, FURTHER INFORMATION AND TECHNICAL ASSISTANCE:

The information in this section is applicable to all programs that are part of this SuperNOFA. This section describes how you may obtain application kits, further information about the SuperNOFA and technical assistance. A guidebook to HUD programs, titled "Connecting with Communities: A User's Guide to the HUD Programs and the 2001 SuperNOFA process" is available from the SuperNOFA Information Center and the HUD website at [www.hud.gov/grants](http://www.hud.gov/grants). This guidebook provides a brief description of all of HUD's programs, a description of the SuperNOFA programs, and eligible applicants for these programs, and examples of how programs can work in combination to serve local community needs. The main sources for obtaining this information are:

The SuperNOFA Information Center, which you may reach by calling 1-800-HUD-8929 or the Center's TTY number at 1-800-HUD-2209; and

HUD's web site on the Internet at <http://www.hud.gov/grants>.

*For Application Kits and SuperNOFA User Guide.* HUD is pleased to provide you with the FY 2001 application kits and/or a guidebook to all HUD programs that are part of this SuperNOFA. For some announcements of funding

availability in this SuperNOFA, the process for applying for funds is so simple no application kit is required. Where this is the case, the program section for that funding will note that there is no application kit. The application kits are designed to guide you through the application process and ensure that your application addresses all requirements for the program funding you are seeking. *Please note that if there is a discrepancy between information provided in the application kit and the information provided in the published SuperNOFA, the information in the published SuperNOFA prevails.* Therefore, please be sure to review your application submission against the requirements in the SuperNOFA.

You may request general information and application kits from the SuperNOFA Information Center. When requesting an application kit from the SuperNOFA Information Center, please refer to the name of the program of the application kit you are interested in receiving. Please be sure to provide your name, address (including zip code), and telephone number (including area code). To ensure sufficient time to prepare your application, requests for application kits can be made immediately following publication of the SuperNOFA. The SuperNOFA Information Center opens for business simultaneously with the publication of the SuperNOFA.

The SuperNOFA Information Center (1-800-HUD-8929) can provide you with assistance, application kits, and guidance in determining which HUD Office(s) should receive a copy of your application. Persons with hearing or speech impairments may call the Center's TTY number at 1-800-HUD-2209. Additionally, you can obtain information on this SuperNOFA and application kits for this SuperNOFA through the HUD web site on the Internet at <http://www.hud.gov/grants>.

**Consolidated Application Submissions.** If you, the applicant, would like to apply for funding under more than one program in this SuperNOFA, you need only submit one originally signed SF-424 and one set of original signatures for the other standard assurances and certifications, accompanied by the matrix that is provided in each application kit. As long as you submit one originally signed set of these documents with an application, you need only submit copies of these documents with any additional application you submit. Your application should identify the program for which you have submitted the original signatures for the standard assurances and certifications.

Additionally, the Programs Section may specify additional forms, certifications, assurances, or other information that may be required for a particular program in this SuperNOFA.

**For Further Information.** For answers to your questions about this SuperNOFA, you have several options. You may call, during business hours, the SuperNOFA Information Center at 1-800-HUD-8929, or you may contact the HUD Office or Processing Center serving your area at the telephone number listed in the application kit for the program in which you are interested. If you are a person with a hearing or speech impairment you may call the Center's TTY number at 1-800-HUD-2209. You may also obtain information on this SuperNOFA and application kits for this SuperNOFA through the HUD web site on the Internet at <http://www.hud.gov/grants>.

**For Technical Assistance.** Before the application due date, HUD staff will be available to provide you with general guidance and technical assistance about this SuperNOFA. HUD staff, however, are not permitted to assist in preparing your application. Following selection of applicants, but before awards are made, HUD staff are available to assist in clarifying or confirming information that is a prerequisite to the offer of an award or Annual Contributions Contract (ACC) by HUD.

**Satellite Broadcasts.** HUD will hold information broadcasts via satellite for potential applicants to learn more about the programs in this SuperNOFA and preparation of the applications. For more information about the date and time of the broadcast, you should consult the HUD web site at <http://www.hud.gov/grants>.

**For Federal Grant Information.** The Federal Financial Assistance Management Improvement Act of 1999 (Pub. L. 106-107) directs each Federal agency to develop and implement a plan that, among other things, streamlines and simplifies the application, administrative and reporting procedures for Federal financial assistance programs administered by the agency. This law also requires the Director of the Office of Management and Budget (OMB) to direct, coordinate, and assist Federal agencies in establishing: (1) a common application and reporting system; and (2) an interagency process for addressing ways to streamline and simplify Federal financial assistance application and administrative procedures and reporting requirements for program applicants.

This law also requires OMB to consult with the grantee community as it works with the Federal agencies to develop

and implement the course of action that would be undertaken by the Federal agencies to establish an electronic site for accessing grant information and applications. Over the last few months, OMB has been conducting outreach sessions informing you of the goals of this new law and seeking your input as the Federal agencies work together to achieve implementation.

HUD has been an active member in the Federal agency working groups and has established a common website where you can find information about all the grant programs within HUD. You can access this site from our homepage at [www.hud.gov/grants](http://www.hud.gov/grants) and checking on grant program inventory. This site will be linked with other Federal agencies as the grants common website develops. If you are interested in finding out more about the "Federal Commons" and the work being done by other agencies, please visit the Inter-Agency Electronic Grants Committee (IAEGC) website at [www.fedcommons.gov](http://www.fedcommons.gov).

## INTRODUCTION TO THE FY 2001 SUPERNOFA

### HUD'S FY 2001 SuperNOFA Process

#### Background

This year marks the fourth year that HUD is issuing a SuperNOFA for almost all of its competitive grant programs, and additional programs have been added as noted below. The SuperNOFA approach, in which the great majority of HUD's competitive funds are announced in one document, is designed to simplify the application process, bring consistency and uniformity to the application and selection process, and accelerate the availability of funding. Equally important, the SuperNOFA approach is designed to increase the ability of applicants to consider and apply for funding under a wide variety of HUD programs. The SuperNOFA provides a "menu" of HUD competitive programs. From this menu, communities will be made aware of funding available for their jurisdictions. Nonprofits, public housing agencies, local and State governments, tribal governments and tribally designated housing entities, veterans service organizations, faith-based organizations and others will be able to identify the programs for which they are eligible for funding.

The most creative and novel element of the SuperNOFA is that it places heavy emphasis on the coordination of activities assisted by HUD funds to provide (1) greater flexibility and responsiveness by potential grantees in meeting local housing and community development needs, and (2) greater

flexibility for eligible applicants to determine what HUD program resources best fit the community's needs. The SuperNOFA's promotion of coordination and comprehensive planning of HUD assistance reduces duplication in the delivery of services by organizations and communities, and allows for delivery of a wider more integrated array of services, thereby resulting in more efficient use of HUD funds to more effectively serve a greater number of those most in need of HUD assistance.

#### *Changes Made in the SuperNOFA Process for FY 2001*

The FY 2001 SuperNOFA includes more grant assistance funding than in previous years and therefore further increases the ability of applicants to consider and apply for funding under a wide variety of HUD programs. Funding availability announcements that are being added to the Fiscal Year 2001 SuperNOFA are the following:

- Assisted Living Conversion Program (ALCP) for Eligible Multifamily Projects
- Community Development Block Grants for Indian Tribes and Alaska Native Villages
- Early Doctoral Research Program
- Doctoral Research Program
- HUD Urban Scholars Fellowship Program (a post doctoral program)
- Family Self-Sufficiency (FSS) Program Coordinators Program
- Healthy Homes Demonstration and Education Program (replacing the Healthy Homes Initiative Program of Fiscal Year 2000)
- Healthy Homes Research Program (replacing the program for Research to Improve the Evaluation and Control of Residential Lead-Based Paint)
- Indian Housing Drug Elimination Program
- Service Coordinators in Multifamily Housing

With respect to the program NOFA for the Community Development Block Grants for Indian Tribes and Alaska Native Villages (ICDBG), a rule that amends the regulations for this program was published on January 17, 2001 (66

FR 4578) and takes effect on April 16, 2001.

Funding availability announcements that were part of the Fiscal Year 2000 SuperNOFA but for which there will be no funding availability announcements in FY 2001 are the following:

- Public Housing Drug Elimination Technical Assistance for Safety and Security
- Outreach and Assistance Training Grants

#### *Organization of the SuperNOFA*

The SuperNOFA is divided into two major sections. The General Section of the SuperNOFA describes the procedures and requirements applicable to all applications. The Programs Section of the SuperNOFA describes each program that is part of this SuperNOFA. For each program, the Programs Section describes the eligible applicants, eligible activities, factors for award, and any additional requirements or limitations that apply to the program.

Please read carefully both the General Section and the Programs Section of the SuperNOFA for the program(s) for which you are applying. Your careful reading will ensure that you apply for program funding for which your organization is eligible to receive funds and that you fulfill all the requirements for that program(s).

As part of the simplification of this funding process, and to avoid duplication of effort, the SuperNOFA provides for consolidated applications for several of the programs that are part of this SuperNOFA. HUD programs that provide assistance for, or complement, similar activities (for example, the Continuum of Care programs and CPD Technical Assistance programs) have a consolidated application that reduces the administrative and paperwork burden applicants would otherwise encounter in submitting a separate application for each program. The Program Chart in this introductory section of the SuperNOFA identifies the programs that have been consolidated and for which a consolidated application is made available to eligible applicants.

As we did in last year's SuperNOFA, HUD is providing copies of the application forms in this publication. The standard forms, certifications and assurances applicable to all programs, or the great majority of programs, in the SuperNOFA follow the General Section as Appendix B. The forms and any additional certifications and assurances that are unique to the individual program will follow that program section of the SuperNOFA.

The specific statutory and regulatory requirements of the programs that are part of this SuperNOFA continue to apply to each program. The SuperNOFA will identify, where necessary, the statutory requirements and differences applicable to the specific programs. *Please pay careful attention to the individual program requirements that are identified for each program. Note that not all applicants are eligible to receive assistance under all programs identified in this SuperNOFA.*

#### **THE PROGRAMS OF THIS SUPERNOFA AND THE AMOUNT OF FUNDS ALLOCATED**

The programs that are part of this SuperNOFA are identified in the chart below. The approximate available funds for each program are based on appropriated funds, and for some programs, the available funding includes funds already recaptured. In the event (1) HUD recaptures funds (either for programs for which funding already reflects recaptured funds or other programs for which funding does not reflect recaptured funds), or (2) other funds become available for any program, HUD reserves the right to increase the available funding amount for a program by the additional amounts that become available.

The chart also includes the application due date for each program, the OMB approval number for the information collection requirements contained in the specific program, and the Catalog of Federal Domestic Assistance (CFDA) number.

**BILLING CODE 4210-32-P**

## HUD FY 2001 SUPERNOfA FUNDING

Program Name	Funding Available (funding is approximate)	Due Date	Submission Location and Room
<b>HOUSING AND COMMUNITY DEVELOPMENT</b>			
<b>Community Development Technical Assistance (TA)</b>	<b>Up to \$21.18</b>		
Community Housing Development Organization (CHDO) TA  CFDA No. 14.239 OMB Approval No.:2506-0166	Up to \$7.6 million	May 22, 2001	HUD Headquarters Room 7251, and one copy to appropriate local HUD Field Offices
HOME TA  CFDA No. 14.239 OMB Approval No.:2506-0166	Up to \$8 million	May 22, 2001	HUD Headquarters Room 7251, and one copy to appropriate local HUD Field Offices
McKinney-Vento Act Homeless Assistance Programs TA  CFDA No. 14.235 OMB Approval No.:2506-0166	Up to \$3 million	May 22, 2001	HUD Headquarters Room 7251, and one copy to appropriate local HUD Field Offices
HOPWA TA  CFDA No. 14.241 OMB Approval No.:2506-0133	Up to \$2.580 million	May 22, 2001	HUD Headquarters Room 7251 and one copy to appropriate local HUD Field Offices
<b>Community Development Block Grant Assistance</b>	<b>Up to \$71.3 million</b>		
Community Development Block Grants for Indian Tribes and Alaska Native Villages  CFDA No. 14.862 OMB Approval No.: 2577-0191	Up to \$71.3 million	May 23, 2001	HUD Area ONAP Office

<b>Program Name</b>	<b>Funding Available (funding is approximate)</b>	<b>Due Date</b>	<b>Submission Location and Room</b>
<b><i>UNIVERSITY AND COLLEGE PARTNERSHIPS</i></b>			
<b>University and College Programs</b>	<b>\$ 27.5 million</b>		
Community Outreach Partnership Centers (COPC)  CFDA No: 14511 OMB Approval No.:2528-0180	\$8 million	June 1, 2001	HUD Headquarters Room 7251
Historically Black Colleges and Universities (HBCUs) Program  CFDA No.: 14.237 OMB Approval No.: 2506-0122	\$10 million	June 1, 2001	HUD Headquarters Room 7251 and one copy to local HUD Field Office
Hispanic-Serving Institutions Assisting Communities (HSIAC) Program  CFDA No.: 14.514 OMB Approval No.:2528-0198	\$6.5 million	June 1, 2001	HUD Headquarters Room 7251
Alaska Native/Native Hawaiian Institutions Assisting Communities Program (AN/NHIAC)  CFDA No.: 14.515 OMB Approval No.:2528-0206	\$3 million	June 1, 2001	HUD Headquarters Room 7251
<b><i>FAIR HOUSING OUTREACH, ENFORCEMENT AND HOUSING COUNSELING</i></b>			
<b>Fair Housing and Housing Counseling Programs</b>	<b>\$34.05 million</b>		
Fair Housing -- Private Enforcement Initiative (PEI)  CFDA No.: 14.410 OMB Approval No.: 2539-0033	\$10.5 million	May 2, 2001	HUD Headquarters Room 5224
Fair Housing -- Education and Outreach Initiative (EOI)  CFDA No.: 14.409 OMB Approval No.: 2539-0033	\$3.9 million	May 2, 2001	HUD Headquarters Room 5224

<b>Program Name</b>	<b>Funding Available (funding is approximate)</b>	<b>Due Date</b>	<b>Submission Location and Room</b>
Fair Housing Organizations Initiative (FHOI)  CFDA No.: 14.413 OMB Approval No.: 2539-0033	\$2.1 million	May 2, 2001	HUD Headquarters Room 5224
Housing Counseling -- Local Housing Counseling Agencies  CFDA No.: 14.169 OMB Approval No.: 2502-0261	\$6.6 million	May 3, 2001	Appropriate HUD Homeownership Center (HOC)
Housing Counseling -- National, Regional, and Multi-State Intermediaries  CFDA No.: 14.169 OMB Approval No.: 2502-0261	\$9.95 million	May 3, 2001	HUD Headquarters Room 9166
Housing Counseling -- State Housing Finance Agencies  CFDA No.: 14.169 OMB Approval No.: 2502-0261	\$1 million	May 3, 2001	Appropriate HUD Homeownership Center (HOC)
<b><i>HEALTHY HOMES AND LEAD HAZARD CONTROL</i></b>			
<b>Healthy Homes and Lead Hazard Control Programs</b>	<b>\$66 million</b>		
Lead-Based Paint Hazard Control Program  CFDA No.: 14.901 OMB Approval No.: 2539-0015	\$59 million	May 17, 2001	Postal Service: HUD Headquarters, Office of Healthy Homes and Lead Hazard Control, Room P3206
Healthy Homes Research  CFDA No.: 14.901 OMB Approval No.: 2539-0010	\$1.5 million	May 17, 2001	Postal Service: HUD Headquarters, Office of Healthy Homes and Lead Hazard Control, Room P3206

<b>Program Name</b>	<b>Funding Available (funding is approximate)</b>	<b>Due Date</b>	<b>Submission Location and Room</b>
Healthy Homes Demonstration and Education Program  CFDA No.: 14.900 OMB Approval No.: 2539-0015	\$5.5 million	May 17, 2001	Postal Service: HUD Headquarters, Office of Healthy Homes and Lead Hazard Control, Room P3206
<b><i>PUBLIC AND INDIAN HOUSING REVITALIZATION AND DEMOLITION</i></b>			
<b>Revitalization and Demolition Programs</b>	<b>\$565 million</b>		
HOPE VI Revitalization Grants  CFDA No.: 14.866 OMB Approval No.: 2577-0208	\$490 million	June 22, 2001	HUD Headquarters Room 4130 and one copy to appropriate local HUD Field Office
HOPE VI Demolition Grants  CFDA No.: 14.866 OMB Approval No.: 2577-0208	\$75 million	July 10, 2001	HUD Headquarters Room 4130 and one copy to appropriate local HUD Field Office
<b><i>DRUG ELIMINATION IN PUBLIC AND ASSISTED HOUSING</i></b>			
<b>Drug Elimination Programs</b>	<b>\$49.15 million</b>		
Public Housing Drug Elimination - Technical Assistance (DETAP)  CFDA No.: 14.854 OMB Control No.: 2577-0124	\$900,000	July 27, 2001	HUD Headquarters Room 4206 and one copy to appropriate local HUD Field Office or Area ONAP Office
Indian Housing Drug Elimination Program  CFDA No.: 14.854 OMB Control No.: 2577-0124	\$12 million	April 24, 2001	IHDE-IRC P.O. Box 14970 Silver Spring, MD

<b>Program Name</b>	<b>Funding Available (funding is approximate)</b>	<b>Due Date</b>	<b>Submission Location and Room</b>
<b>Drug Elimination New Approach Anti-Drug Program</b> (Formerly Safe Neighborhood Grant)  CFDA No.: 14.854 OMB Control No.: 2577-0124	\$20 million	June 19, 2001	Appropriate Multifamily Hub or Program Center or Area ONAP Office
<b>Drug Elimination Grants for Multifamily Low Income Housing</b>  CFDA No.: 14.193 OMB Approval No.: 2502-0476	\$16.25 million	May 23, 2001	Appropriate Multifamily Hub or Program Center
<b><i>ECONOMIC DEVELOPMENT AND EMPOWERMENT</i></b>			
<b>Economic and Empowerment Programs</b>	<b>\$187.6 million</b>		
<b>Economic Development Initiative</b>  CFDA No.: 14.246 OMB Approval No.: 2506-0153	\$10.7 million	July 6, 2001	HUD Headquarters Room 7251 and one copy to appropriate local HUD Field Office
<b>Brownfields Economic Development Initiative</b>  CFDA No.: 14.246 OMB Approval No.: 2506-0153	\$25 million	May 22, 2001	HUD Headquarters Room 7251 and one copy to appropriate local HUD Field Office
<b>Self-Help Homeownership Opportunity Program (SHOP)</b>  CFDA No.: 14.247 OMB Approval No.: N/A	\$20 million	May 29, 2001	HUD Headquarters Room 7251
<b>Youthbuild</b>  CFDA No.: 14.243 OMB Approval No.: 2506-0142	\$52.9 million	May 30, 2001	HUD Headquarters Room 7255 and one copy to appropriate local HUD Field Office



<b>Program Name</b>	<b>Funding Available (funding is approximate)</b>	<b>Due Date</b>	<b>Submission Location and Room</b>
ROSS for Resident Management and Business Development  CFDA No.: 14.870 OMB Approval No.: 2577-0211	\$6 million	May 24, 2001	Grants Mgmt Ctr, Suite 800 501 School Street Washington, DC
ROSS for Capacity Building and Conflict Resolution  CFDA No.: 14.870 OMB Approval No.: 2577-0211	\$5 million	May 24, 2001	Grants Mgmt Ctr, Suite 800 501 School Street Washington, DC
ROSS for Resident Service Delivery Models  CFDA No.: 14.870 OMB Approval No.: 2577-0211	\$24 million	June 26, 2001	Grants Mgmt Ctr, Suite 800 501 School Street Washington, DC
ROSS for Service Coordinator Renewals  CFDA No.: 14.870 OMB Approval No.: 2577-0211	\$20 million	June 28, 2001	Grants Mgmt Ctr, Suite 800 501 School Street Washington, DC
Rural Housing and Economic Development Program  CFDA No.: 14.250 OMB Approval No.: 2506-0169	\$24 million	April 6, 2001	HUD Headquarters Room 7255

Program Name	Funding Available (funding is approximate)	Due Date	Submission Location and Room
<b>TARGETED HOUSING, HOMELESS AND SECTION 8 VOUCHER ASSISTANCE</b>			
<b>Targeted Housing and Homeless Assistance Programs</b>	<b>\$1591.8 million</b>		
Continuum of Care Homeless Assistance - Supportive Housing CFDA No.: 14.235 - Shelter Plus Care CFDA No.: 14.238 - Section 8 Moderate Rehabilitation Single Room Occupancy (SRO) CFDA No.: 14.249 OMB Approval No.: 2506-0112	\$850 million	May 31, 2001	HUD Headquarters Room 7270 and one copy to appropriate local HUD Field Offices
Housing Opportunities for Persons with AIDS  CFDA No.: 14.241 OMB Approval No.: 2506-0133	\$25.5 million	April 24, 2001 (for renewals)  June 13, 2001 (new requests)	HUD Headquarters Room 7251 and two copies to appropriate local HUD Field Office
Section 202 Supportive Housing for the Elderly  CFDA No.: 14.157 OMB Approval No.: 2502-0267	\$495.9 million	May 25, 2001	Appropriate local HUD Multifamily HUB or Multifamily Program Center
Section 811 Supportive Housing for Persons with Disabilities  CFDA No.: 14.181 OMB Approval No.: 2502-0462	\$121.2 million	May 25, 2001	Appropriate local HUD Multifamily Hub or Multifamily Program Center
Assisted Living Conversion Program for Eligible Multifamily Projects  CFDA No.: 14.314 OMB Approval No.: 2502-0542	\$75 million	June 21, 2001	Appropriate Multifamily Hub Office
Service Coordinators in Multifamily Housing  CFDA No.: 14.191 OMB Approval No.: 2577-0198	\$24.2	June 21, 2001	Appropriate local HUD Multifamily Hub Office or Multifamily Program Center
<b>Section 8 Housing Vouchers for Persons with Disabilities</b>	<b>\$139.1 million</b>		

<b>Program Name</b>	<b>Funding Available (funding is approximate)</b>	<b>Due Date</b>	<b>Submission Location and Room</b>
<b>Mainstream Housing Opportunities for Persons with Disabilities</b>  CFDA No.: 14.857 OMB Approval No.: 2577-0169	\$54.1 million	July 20, 2001	Grants Mgmt Ctr, Suite 800 501 School Street Washington, DC
<b>Rental Assistance for Non-Elderly Persons with Disabilities Related to Certain Types of Section 8 Project-Based Developments and Sections 202, 221(d) and 236 Developments</b>  CFDA No.: 14.857 OMB Approval No.: 2506-0169	\$20 million	June 15, 2001	Grants Mgmt Ctr, Suite 800 501 School Street Washington, DC
<b>Rental Assistance for Non-Elderly Persons with Disabilities in Support of Designated Housing Plans</b>           CFDA No.: 14.857 OMB Approval No.: 2577-0169	\$20 million	May 16, 2001 (for submittal of Designated Housing Plans only)     July 24, 2001 (for submittal of entire application)	Special Applications Ctr, Room 2401 77 West Jackson Blvd, Chicago, IL       Grants Mgmt Ctr, Suite 800 501 School Street Washington, DC
<b>Rental Certificate Housing Choice Voucher Family Self-Sufficiency (FSS) Program Coordinators</b>  CFDA No.: 14.855 & 14.857 OMB Approval No.: 2577-0198	\$45 million	April 25, 2001	Grants Mgmt Ctr, Suite 800 501 School Street Washington, DC

Program Name	Funding Available (funding is approximate)	Due Date	Submission Location and Room
<b>OTHER EDUCATIONAL PROGRAMS</b>			
<b>Doctoral Education Programs</b>	<b>Up to 1.3 million</b>		
Early Doctoral Student Research Grant Program  CFDA No.: 14.517 OMB Approval No.: Pending	\$150,000	April 26, 2001	University Partnerships Clearinghouse, Aspen Systems 2277 Research Blvd Rockville, MD 20850
Doctoral Dissertation Research Grant Program  CFDA No.: 14.516 OMB Approval No.: 2528-0213	\$600,000	April 26, 2001	University Partnerships Clearinghouse, Aspen Systems 2277 Research Blvd Rockville, MD 20850
HUD Urban Scholars Fellowship Program  CFDA No.: 14.518 OMB Approval No.: 2528-0214	\$550,000	June 5, 2001	University Partnerships Clearinghouse, Aspen Systems 2277 Research Blvd Rockville, MD 20850

*Paperwork Reduction Act Statement.* The information collection requirements in this SuperNOFA have been approved by the Office of Management and Budget (OMB) under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501–3520). The chart shown above provides the OMB approval number for each program that is part of this SuperNOFA. Where the chart notes that an OMB number is pending, this means that HUD has submitted the information to OMB to obtain an approval number and HUD's request for the number is pending. As soon as HUD receives the approval number, the number will be published in the **Federal Register** and provided to the SuperNOFA Information Center. Under the Paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid control number.

## GENERAL SECTION OF THE SUPERNOFA

### I. Authority; Purposes of the FY 2001 SuperNOFA; Funding Amount; Eligible Applicants and Eligible Activities

(A) *Authority.* HUD's authority for making funding under this SuperNOFA is the Fiscal Year 2001 Department of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations Act, 2001 (Pub.L. 106–377, approved October 27, 2000) (FY 2001 HUD Appropriations Act). Generally, the authority is not repeated in the individual program sections of this SuperNOFA. The authority provision of the program sections identify additional laws and regulations that authorize the requirements listed for the funding competitions that make up this SuperNOFA.

(B) *Purposes.* The purposes of this SuperNOFA are to:

(1) *Make funding available to empower communities and residents.* The funding made available by this SuperNOFA will assist community leaders and residents, particularly low- and moderate-income residents, in using HUD funds to develop viable communities and provide decent housing for all citizens, without discrimination.

(2) *Simplify the application process for funding under HUD programs.* For the majority of funding that is part of this year's SuperNOFA, the SuperNOFA continues to provide a single, uniform set of rating factors and submission requirements. This year's SuperNOFA also allows, as did last year's, for you, the applicant, to apply for more than

one program with a single application. This year's SuperNOFA also includes funding for the Housing Choice Voucher Program that is available on a first come, first serve basis.

(3) *Promote comprehensive approaches to housing and community development.* Through the SuperNOFA process, HUD encourages you, the applicant, to focus on the interrelationships that exist in a community and in HUD's funding programs, and to build community-wide efforts that coordinate the resources of multiple applicants and programs. To successfully address community needs and solve community problems, and to take advantage of existing resources, HUD encourages members of a community to join together and pool all available resources in a common, coordinated effort. By making all of HUD's competitive funding available in one document, HUD allows you, the applicant, to be able to relate the activities proposed for funding under this SuperNOFA to the community's Consolidated Plan and Analysis of Impediments to Fair Housing Choice.

(C) *Funding Available.* As noted in the Introduction Section to the SuperNOFA, the HUD programs that are part of this SuperNOFA are allocated amounts based on appropriated funds. If HUD recaptures funds in any program, HUD reserves the right to increase the available funding amounts by the amount of funds recaptured.

(D) *Restrictions on the Use of HUD Funds in Support of the Sale of Tobacco Products.* Section 211 of the FY 2001 HUD Appropriations Act requires that funds appropriated to HUD may not be used to construct, operate, or otherwise benefit a facility or facilities with a designated portion of that facility which sells or intends to sell predominantly cigarettes or other tobacco products. The Act defines the predominant sale of cigarettes or other tobacco products to mean sales representing more than 35 percent of the annual total in-store, non-fuel sales.

(E) *Eligible Applicants and Eligible Activities.* The Programs Section of the SuperNOFA describes the eligible applicants and eligible activities for each program.

### II. Requirements and Procedures Applicable to All Programs

Except as may be modified in the Programs Section of this SuperNOFA, or as noted within the specific provisions of this Section II, the requirements, procedures and principles listed below apply to all programs that are part of this SuperNOFA. Please be sure to read the Programs Section of the SuperNOFA

for additional requirements or information.

(A) *Statutory Requirements.* To be eligible for funding under this SuperNOFA, you, the applicant, must meet all statutory and regulatory requirements applicable to the program or programs for which you are seeking funding. If you need copies of the program regulations, they are available from the SuperNOFA Information Center or through the Internet at the HUD web site located at <http://www.hud.gov>. Among the reasons that HUD may find an application ineligible to receive further funding consideration is if the activities or projects proposed in the application are not eligible activities and projects. In addition (with the exception of the Section 202 and Section 811 programs) HUD may eliminate the ineligible activities from funding consideration and reduce the grant amount accordingly.

(B) *Threshold Requirements.* (1) *Compliance with Fair Housing and Civil Rights Laws.* With the exception of Federally recognized Indian tribes and their instrumentalities, all applicants and their subrecipients must comply with all Fair Housing and civil rights laws, statutes, regulations and executive orders as enumerated in 24 CFR 5.105(a). If you are a Federally recognized Indian tribe, you must comply with the nondiscrimination provisions enumerated at 24 CFR 1000.12.

If you, the applicant—

(a) Have been charged with a systemic violation of the Fair Housing Act by the Secretary alleging ongoing discrimination;

(b) Are a defendant in a Fair Housing Act lawsuit filed by the Department of Justice alleging an ongoing pattern or practice of discrimination; or

(c) Have received a letter of noncompliance findings under Title VI, Section 504, or Section 109,—

HUD will not rate and rank your application under this SuperNOFA if the charge, lawsuit, or letter of findings has not been resolved to the satisfaction of the Department before the application deadline stated in the individual program NOFA. HUD's decision regarding whether a charge, lawsuit, or a letter of findings has been satisfactorily resolved will be based upon whether appropriate actions have been taken to address allegations of ongoing discrimination in the policies or practices involved in the charge, lawsuit, or letter of findings.

(2) *Other Threshold Requirements.* The program section for the funding for which you are applying may specify other threshold requirements.

Additional threshold requirements may be identified in the discussion of "eligibility" requirements in the program section.

(C) *Additional Nondiscrimination Requirements.* You, the applicant and your subrecipients, must comply with the Americans with Disabilities Act of 1990 (42 U.S.C. 1201 *et seq.*), and Title IX of the Education Amendments Act of 1972 (20 U.S.C. 1681 *et seq.*).

(D) *Affirmatively Furthering Fair Housing.* Unless otherwise specified in the Programs Section of this SuperNOFA, if you are a successful applicant, you will have a duty to affirmatively further fair housing. Again, except as may be provided otherwise in the Programs Section of this SuperNOFA, you, the applicant, should include in your application or work plan the specific steps that you will take to:

(1) Address the elimination of impediments to fair housing that were identified in the jurisdiction's Analysis of Impediments (AI) to Fair Housing Choice;

(2) Remedy discrimination in housing; or

(3) Promote fair housing rights and fair housing choice.

Further, you, the applicant, have a duty to carry out the specific activities provided in your responses to the SuperNOFA rating factors that address affirmatively furthering fair housing. Please see the Programs Section of this SuperNOFA for further information.

(E) *Economic Opportunities for Low and Very Low-Income Persons (Section 3).* Certain programs in this SuperNOFA require recipients of assistance to comply with section 3 of the Housing and Urban Development Act of 1968, 12 U.S.C. 1701u (Economic Opportunities for Low and Very Low-Income Persons in Connection with assisted Projects) and the HUD regulations at 24 CFR part 135, including the reporting requirements subpart E of this part. Section 3 requires recipients to ensure that, to the greatest extent feasible, training, employment and other economic opportunities will be directed to (1) low and very low income persons, particularly those who are recipients of government assistance for housing and (2) business concerns which provide economic opportunities to low-and very low-income persons. As noted in the Programs Section of this SuperNOFA, Section 3 is applicable to the following programs:

- Community Development Block Grant Program for Indian Tribes and Alaska Native Villages;
- Historically Black Colleges and Universities (HBCU);

- Hispanic-Serving Institutions Assisting Communities (HSIAC);
  - Alaska Native/Native Hawaiian Institutions Assisting Communities (AN/NHIAC)
  - Lead-Based Paint Hazard Control;
  - Healthy Homes Demonstration and Education Program;
  - Healthy Homes Research Program;
  - HOPE VI Public Housing Revitalization and Demolition;
  - Indian Housing Drug Elimination Program;
  - Public Housing Drug Elimination Program—New Approach Anti-Drug Program
  - Resident Opportunities and Self-Sufficiency Program
  - Economic Development Initiative (EDI);
  - Brownfields Economic Development Initiative (BEDI);
  - Self-Help Homeownership Opportunity Program (SHOP);
  - Youthbuild Program;
  - Continuum of Care Homeless Assistance Programs;
  - Housing Opportunities for Persons with AIDS (HOPWA);
  - Section 202 Supportive Housing for the Elderly Program;
  - Assisted Living Conversion Program;
  - Section 811 Supportive Housing for Persons with Disabilities Program;
- (F) *Relocation.* Any person (including individuals, partnerships, corporations or associations) who moves from real property or moves personal property from real property directly (1) because of a written notice to acquire real property in whole or in part, or (2) because of the acquisition of the real property, in whole or in part, for a HUD-assisted activity is covered by Federal relocation statute and regulations. Specifically, this type of move is covered by the acquisition policies and procedures and the relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (URA), and the implementing governmentwide regulation at 49 CFR part 24. The relocation requirements of the URA and the governmentwide regulations cover any person who moves permanently from real property or moves personal property from real property directly because of acquisition, rehabilitation or demolition for an activity undertaken with HUD assistance.

(G) *Forms, Certifications and Assurances.* You, the applicant, are required to submit signed copies of the standard forms, certifications, and assurances listed in this section, unless the requirements in the Programs

Section specify otherwise. Also, the Programs Section may specify additional forms, certifications, assurances or other information that may be required for a particular program in this SuperNOFA.

As part of HUD's continuing efforts to improve the SuperNOFA process, several of the required standard forms have been simplified this year. The standard forms, certifications, and assurances are as follows:

- Standard Form for Application for Federal Assistance (SF-424) (which includes civil rights/fair housing certification);
- Federal Assistance Funding Matrix and Certifications, HUD-424M;
- Standard Form for Budget Information—Non-Construction Programs (SF-424A) or
- Standard Form for Budget Information—Construction Programs (SF-424C), as applicable;
- Standard Form for Assurances—Non-Construction Programs (SF-424B) or
- Standard Form for Assurances—Construction Programs (SF-424D), as applicable; Drug-Free Workplace Certification (HUD-50070);
- Certification of Payments to Influence Federal Transaction (HUD-50071) and if engaged in lobbying, the Disclosure Form Regarding Lobbying (SF-LLL); (Tribes and tribally designated housing entities (TDHEs) established by an Indian tribe as a result of the exercise of the tribe's sovereign power are not required to submit this certification. Tribes and TDHEs established under State law are required to submit this certification.)
- Applicant/Recipient Disclosure/Update Report (HUD-2880);
- Certification Regarding Debarment and Suspension (HUD-2992). This is the certification required by 24 CFR 24.510. (The provisions of 24 CFR part 24 apply to the employment, engagement of services, awarding of contracts, subgrants, or funding of any recipients, or contractors or subcontractors, during any period of debarment, suspension, or placement in ineligibility status, and a certification is required.);
- Certification of Consistency with EZ/EC Strategic Plan (HUD-2990);
- Certification of Consistency with the Consolidated Plan (HUD-2991) if applicable;
- Acknowledgment of Application Receipt (HUD-2993);
- Client Comments and Suggestions (HUD 2994)

Copies of these standard forms follow this General Section of the SuperNOFA. Copies of forms that are particular to an

individual program, follow the funding information for that program.

Also included in the Appendix B to the General Section is the Funding Application for the Section 8 Housing Choice Voucher Program (HUD 52515). Note that Forms SF-424A and SF-424C ask for information which is similar to the same information that is required by form HUD 4123-Cost Summary which is listed as a required form under the ICDBG program section of this SuperNOFA and which is a required application for that program. Also note that there are assurances separate from SF-424B and 424D for the ICDBG program which are specific to that program.

(H) *OMB Circulars and Governmentwide Regulations Applicable to Grant Programs.* Certain OMB circulars also apply to programs in this SuperNOFA. The policies, guidance, and requirements of: OMB Circular No. A-87 (Cost Principles Applicable to Grants, Contracts and Other Agreements with State and Local Governments); OMB Circular A-21 (Cost Principles for Education Institutions) OMB Circular No. A-122 (Cost Principles for Nonprofit Organizations); OMB Circular A-133 (Audits of States, Local Governments, and Non-Profit Organizations); and the regulations in 24 CFR part 84 (Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-Profit Organizations) and 24 CFR part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local, and Federally recognized Indian tribal governments)—may apply to the award, acceptance and use of assistance under the programs of this SuperNOFA, and to the remedies for noncompliance, except when inconsistent with the provisions of the FY 2001 HUD Appropriations Act, other Federal statutes or the provisions of this SuperNOFA. Compliance with additional OMB Circulars or governmentwide regulations may be specified for a particular program in the Programs Section of the SuperNOFA. Copies of the OMB Circulars may be obtained from EOP Publications, Room 2200, New Executive Office Building, Washington, DC 20503, telephone (202) 395-7332 (this is not a toll free number) or from the website at <http://whitehouse.gov/wh/eop/omb/html/circulars>.

(I) *Environmental Requirements.* If you become a grantee under one of the programs in this SuperNOFA that assist physical development activities or property acquisition, you are generally prohibited from acquiring, rehabilitating, converting, leasing,

repairing or constructing property, or committing or expending HUD or non-HUD funds for these types of program activities, until one of the following has occurred:

(1) HUD has completed an environmental review in accordance with 24 CFR part 50; or

(2) For programs subject to 24 CFR part 58, HUD has approved a grantee's Request for Release of Funds (HUD Form 7015.15) following a Responsible Entity's completion of an environmental review.

You, the applicant, should consult the Programs Section of the SuperNOFA for the applicable program to determine the procedures for, timing of, and any exclusions from environmental review under a particular program. For applicants applying for funding under the Sections 202 or 811 Programs, please note the environmental review requirements for these programs.

(J) *Conflicts of Interest.* If you are a consultant or expert who is assisting HUD in rating and ranking applicants for funding under this SuperNOFA, you are subject to 18 U.S.C. 208, the Federal criminal conflict of interest statute, and the Standards of Ethical Conduct for Employees of the Executive Branch regulation published at 5 CFR part 2635. As a result, if you have assisted or plan to assist applicants with preparing applications for this SuperNOFA, you may not serve on a selection panel and you may not serve as a technical advisor to HUD for this SuperNOFA. All individuals involved in rating and ranking this SuperNOFA, including experts and consultants, must avoid conflicts of interest or the appearance of conflicts. Individuals involved in the rating and ranking of applications must disclose to HUD's General Counsel or HUD's Ethics Law Division the following information if applicable: how the selection or non-selection of any applicant under this SuperNOFA will affect the individual's financial interests, as provided in 18 U.S.C. 208; or how the application process involves a party with whom the individual has a covered relationship under 5 CFR 2635.502. The individual must disclose this information prior to participating in any matter regarding this SuperNOFA. If you have questions regarding these provisions or if you have questions concerning a conflict of interest, you may call the Office of General Counsel, Ethics Law Division, at 202-708-3815 and ask to speak to one of HUD's attorneys in this division.

(K) *Accessible Technology.* The Rehabilitation Act Amendments of 1998 apply to all electronic information technology (EIT) used by a grantee for

transmitting, receiving, using, or storing information to carry out the responsibilities of any federal grant awarded. It includes, but is not limited to, computers (hardware, software, wordprocessing, email and web pages) facsimile machines, copiers and telephones. Recipients of HUD funds when developing, procuring, maintaining or using EIT must ensure that the EIT allows (1) employees with disabilities to have access to and use information and data that is comparable to the access and use of data by employees who do not have disabilities; and (2) members of the public with disabilities seeking information or service from a grantee must have access to and use of information and data and comparable to the access and use of data by members of the public who do not have disabilities. If these standards impose on a funding recipient, they may provide an alternative means to allow the individual to use the information and data. However, no grantee will be required to provide information services to a person with disabilities at any location other than the location at which the information services is generally provided.

### III. Application Selection Process

(A) *Rating Panels.* To review and rate your applications, HUD may establish panels. These panels may include persons not currently employed by HUD. HUD may include these non-HUD employees to obtain certain expertise and outside points of view, including views from other Federal agencies.

(1) *Rating.* HUD will evaluate and rate all applications for funding that meet the threshold requirements and rating factors for award described in this SuperNOFA. The rating of you, as the "applicant," or of your organization, "the applicant's organization and staff," for technical merit or threshold compliance will include any sub-contractors, consultants, sub-recipients, and members of consortia which are firmly committed to the project.

(2) *Ranking.* HUD will rank applicants within each program (or, for Continuum of Care applicants, across the three programs identified in the Continuum of Care section of this SuperNOFA). HUD will rank applicants only against other applicants that applied for the same program funding. Where there are set-asides within a program competition, you, the applicant, will compete against only those applicants in the same set-aside competition.

(B) *Threshold Requirements.* HUD will review your application to determine whether it meets all of the threshold requirements described in

Section II(B), above. Only if your application meets all of the threshold requirements will it be eligible to be rated and ranked.

(C) *Factors For Award Used To Evaluate and Rate Applications.* For each program that is part of this SuperNOFA, the points awarded for the rating factors total 100. Depending upon the program for which you the applicant seek funding, the program may provide for up to four bonus points as provided in paragraphs (1) and (2) of this Section III(C).

(1) *Bonus Points.* The SuperNOFA provides for the award of up to two bonus points for eligible activities/ projects that the applicant proposes to be located in federally designated Empowerment Zones (EZs), Enterprise Communities (ECs), Urban Enhanced Enterprise Communities (EECs), or Strategic Planning Communities and serve the residents of these federally designated areas, and are certified to be consistent with the strategic plan of these federally designated areas. (For ease of reference in the SuperNOFA, these federally designated areas are collectively referred to as "EZs/ECs" and residents of these federally designated areas as EZ/EC residents.)<sup>1</sup> The individual funding announcement will indicate if the bonus points are available for that funding. The application kit contains a certification which must be completed for the applicant to be considered for EZ/EC bonus points. A list of EZs, ECs, EECs and Strategic Planning Communities is attached to this General Section of the SuperNOFA as Appendix A-2 and is also available from the SuperNOFA Information Center, through the HUD web site at <http://www.hud.gov/grants>.

In the BEDI competition, two bonus points are available for federally designated Brownfields Show Case Communities. (Please see BEDI section of this SuperNOFA for additional information). A listing of the federally designated EZs, ECs, and Enhanced ECs and Brownfields Showcase Communities is available from the SuperNOFA Information Center, or through the HUD web site on the Internet at <http://www.hud.gov/grants>.

<sup>1</sup> In December 1994, 72 urban areas and 33 rural communities were designated as Empowerment Zones or Enterprise Communities. These designated areas receive more than \$1.5 billion in performance grants and more than \$2.5 billion in tax incentives. The Taxpayers Relief Act of 1997 established a second round of designations for 15 new Empowerment Zones. Round II designees were announced in December 1998. Strategic Planning Communities are HUD designations that ranked competitively in the Round II competition but were not selected for EZ designation.

(2) *Court-Ordered Consideration.* For any application submitted by the City of Dallas, Texas, for funds under this SuperNOFA for which the City of Dallas is eligible to apply, HUD will consider the extent to which the strategies or plans in the city's application or applications will be used to eradicate the vestiges of racial segregation in the Dallas Housing Authority's low income housing programs. The City of Dallas should address the effect, if any, that vestiges of racial segregation in Dallas Housing Authority's low income housing programs have on potential participants in the programs covered by this NOFA, and identify proposed actions for remedying those vestiges. HUD may add up to 2 points to the score based on this consideration. This special consideration results from an order of the U.S. District Court for the Northern District of Texas, Dallas, Division. (This Section III(C)(2) is limited to applications submitted by the City of Dallas.)

(3) *The Five Standard Rating Factors.* The majority of programs in this SuperNOFA use the five rating factors described below. Additional details about the five rating factors listed below, and the maximum points for each factor, are provided in the Programs Section of the SuperNOFA. You, the applicant, should carefully read the factors for award as described in the Programs Section of the SuperNOFA. HUD has established these five factors as the basic factors for award in every program that is part of this SuperNOFA. For a specific HUD program, however, HUD may have modified these factors to take into account specific program needs, or statutory or regulatory limitations imposed on a program. The standard factors for award, except as modified in the program area section are:

- Factor 1: Capacity of the Applicant and Relevant Organizational Staff
- Factor 2: Need/Extent of the Problem
- Factor 3: Soundness of Approach
- Factor 4: Leveraging Resources
- Factor 5: Comprehensiveness and Coordination

The Continuum of Care Homeless Assistance Programs have only two factors that receive points: Need and Continuum of Care.

(D) *Negotiation.* After HUD has rated and ranked all applications and has made selections, HUD may require, depending upon the program, that all winners participate in negotiations to determine the specific terms of the grant agreement and budget. In cases where HUD cannot successfully conclude negotiations with a selected applicant or

a selected applicant fails to provide HUD with requested information, an award will not be made to that applicant. In this instance, HUD may offer an award to the next highest ranking applicant, and proceed with negotiations with the next highest ranking applicant.

(E) *Adjustments to Funding.*

(1) HUD reserves the right to fund less than the full amount requested in your application to ensure the fair distribution of the funds and to ensure that the purposes of a specific program are met.

(2) HUD will not fund any portion of your application that is not eligible for funding under specific program statutory or regulatory requirements; which does not meet the requirements of this SuperNOFA or which may be duplicative of other funded programs or activities from previous years' awards or other selected applicants. Only the eligible portions of your application (including non-duplicative portions) may be funded.

(3) If funds remain after funding the highest ranking applications, HUD may fund all or part of the next highest ranking application in a given program. If you, the applicant, turn down the award offer, HUD will make the same determination for the next highest ranking application. If funds remain after all selections have been made, remaining funds may be available for other competitions for each program where there is a balance of funds.

(4) In the event HUD commits an error that, when corrected, would result in selection of an otherwise eligible applicant during the funding round of this SuperNOFA, HUD may select that applicant when sufficient funds become available.

(F) *Performance and Compliance Actions of Grantees.* HUD will measure and address the performance and compliance actions of grantees in accordance with the applicable standards and sanctions of their respective programs.

#### IV. Application Submission Requirements

As discussed in the Introduction Section of this SuperNOFA, part of the simplification of this funding process is to reduce the duplication of effort that has been required of applicants in the past. Before the SuperNOFA process, many of HUD's applicants were required to complete and submit similar applications for HUD funded programs. As the Program Chart above shows, the FY 2001 SuperNOFA provides, as did the previous SuperNOFAs, for consolidated applications for several of



the programs for which funding is available under this SuperNOFA.

#### V. Corrections to Deficient Applications

After the application due date, HUD may not, consistent with its regulations in 24 CFR part 4, subpart B, consider any unsolicited information you, the applicant, may want to provide. HUD may contact you, however, to clarify an item in your application or to correct technical deficiencies. You should note, however, that HUD may not seek clarification of items or responses that improve the substantive quality of your response to any rating factors. In order not to unreasonably exclude applications from being rated and ranked, HUD may, however, contact applicants to ensure proper completion of the application and will do so on a uniform basis for all applicants. *Examples* of curable (correctable) technical deficiencies include your failure to submit the proper certifications or your failure to submit an application that contains an original signature by an authorized official. In each case, HUD will notify you in writing by describing the clarification or technical deficiency. HUD will notify applicants by facsimile or by return receipt requested. You must submit clarifications or corrections of technical deficiencies in accordance with the information provided by HUD within 14 calendar days of the date of receipt of the HUD notification. (If the due date falls on a Saturday, Sunday, or Federal holiday, your correction must be received by HUD on the next day that is not a Saturday, Sunday, or Federal holiday.) If your deficiency is not corrected within this time period, HUD will reject your application as incomplete, and it will not be considered for funding. (Note that the Sections 202 and 811 Programs provide for appeal of rejection of an application on technical deficiency. Please see the Programs Sections for these programs for additional information and instructions.)

#### VI. Promoting Comprehensive Approaches to Housing and Community Development

(A) *General.* HUD believes the best approach for addressing community problems is through a community-based process that provides a comprehensive response to identified needs. This Section VI of the General Section of the SuperNOFA describes important initiatives that applicants should be aware of.

(B) *Linking Program Activities With AmeriCorps.* You are encouraged to link your proposed activities with

AmeriCorps, a national service program engaging thousands of Americans on a full or part-time basis to help communities address their toughest challenges, while earning support for college, graduate school, or job training. For information about AmeriCorps, call the Corporation for National Service at (202) 606-5000, or visit the AmeriCorps website at [www.cns.gov/ameriCorps](http://www.cns.gov/ameriCorps).

(C) *Linking Program Activities with USDA.* In this year's SuperNOFA, HUD is working with the Department of Agriculture (USDA) to provide technical assistance to public housing authorities to develop a natural resource stewardship program to enhance the natural environment through activities such as tree planting, creating green spaces in areas devoid of vegetation and protecting areas from erosion and storm water runoff. Further information about this initiative can be found on the U.S. Forest Service website at [www.fs.us/research/rvur/urban/urbanforest.html](http://www.fs.us/research/rvur/urban/urbanforest.html).

(D) *Encouraging Visitability in New Construction and Substantial Rehabilitation Activities.* In addition to applicable accessible design and construction requirements, you are encouraged to incorporate visitability standards where feasible in new construction and substantial rehabilitation projects. Visitability standards allow a person with mobility impairments access into the home, but do not require that all features be made accessible. Visitability means at least one entrance at grade (no steps), approached by an accessible route such as a sidewalk; the entrance door and all interior passage doors are at least 2 feet 10 inches wide, allowing 32 inches of clear passage space. A visitable home also serves persons without disabilities, such as a mother pushing a stroller, or a person delivering a large appliance. Copies of the Uniform Federal Accessibility Standards (UFAS) are available from the SuperNOFA Information Center (1-800-HUD-8929 or 1-800-HUD-2209 (TTY)) and also from the Office of Fair Housing and Equal Opportunity, U.S. Department of Housing and Urban Development, Room 5230, 451 Seventh Street, SW, Washington, DC 20410, telephone (202) 755-5404 or the TTY telephone number, 1-800-877 8399 (Federal Information Relay Service).

(E) *Encouraging Universal Design.* Universal design is the design of products and environments to be usable by all people, to the greatest extent possible, without the need for adaptation or specialized design. The intent of universal design is to simplify life for everyone by making products, communications and the built

environment more usable by as many people as possible at little or no extra cost. Universal design benefits people of all ages and abilities. In addition to any applicable required accessibility features under section 504 of the Rehabilitation Act of 1973 or the design and construction requirements of the Fair Housing Act, the Department encourages applicants to incorporate the principles of universal design when developing housing, community facilities, electronic communication mechanisms, or when communicating with community residents at public meetings or events.

(F) *Developing Healthy Homes.* HUD's Healthy Homes Initiative is one of the initiatives developed by the White House Task Force on Environmental Health Risks and Safety Risks to Children that was established under Executive Order 13045 ("Protection of Children from Environmental Health Risks and Safety Risks"). HUD encourages the funding of activities (to the extent eligible under specific programs) that promote healthy homes, or that promote education on what is a healthy home. These activities may include, but are not limited to, the following: educating homeowners or renters about the need to protect children in their home from dangers that can arise from items such as curtain cords, electrical outlets, hot water, poisons, fire, and sharp table edges, among others; incorporating child safety measures in the construction, rehabilitation or maintenance of housing, which include but are not limited to: child safety latches on cabinets, hot water protection devices, proper ventilation and moisture control to protect from mold, window guards to protect children from falling, proper pest management to prevent cockroaches which can trigger asthma, and activities directed to control of lead-based paint hazards. The National Lead Information Hotline is 1-800-424-5323, and information is also available at the following website—[www.hud.gov:80/health/safehome.html](http://www.hud.gov:80/health/safehome.html).

(G) *Participation in PATH.* If you are applying for funds that may be utilized for construction or rehabilitation, HUD encourages participation in Partnership for Advancing Technology in Housing (PATH). PATH's goal is to achieve dramatic improvement in the quality of American housing by the year 2010. PATH encourages leaders from the home building, product manufacturing, insurance and financial industries and representatives from federal agencies dealing with housing issues to work together to spur housing design and construction innovations. PATH has a

FY 2001 budget of \$10 million. PATH will provide technical support in design and cost analysis of advanced technologies to be incorporated in project construction.

Applicants should see [www.pathnet.org](http://www.pathnet.org) on the Internet for more information, the list of technologies, latest PATH Newsletter, results from field demonstrations and PATH projects. Applicants are encouraged to employ PATH technologies to exceed prevailing national building practices by: reducing costs; improving durability; increasing energy efficiency; improving disaster resistance; and reducing environmental impact.

HUD's objective is to select projects funded under this SuperNOFA which demonstrate high potential opportunities for application of PATH technologies. HUD will provide technical assistance in the form of architectural, engineering and financial analysis to incorporate the specific technologies appropriate to the type of construction and climate. More information about PATH is available at the following website—[www.pathnet.org/about/about.html](http://www.pathnet.org/about/about.html).

(H) *Bridging the Digital Divide*. Bridging the Digital Divide is an initiative whose objective is to provide access to computers to low and moderate income families and children who do not have access and therefore may be disadvantaged with respect to education, work and training opportunities. HUD encourages applicants to incorporate education and job training opportunities through initiatives such as HUD's Neighborhood Networks and Twenty/20 Education communities in their programs.

(1) *Neighborhood Networks*. The Neighborhood Networks Initiative enhances the self-sufficiency, employability and economic self-reliance of low-income families and the elderly living in HUD insured and HUD assisted properties by providing them with on-site access to computer and training resources.

(2) *The Twenty/20 Education Communities Initiative*. This initiative (formerly known as Campus of Learners) is designed to transform public housing into safe and livable communities where families undertake training in new telecommunications and computer technology and partake in educational opportunities and job training initiatives.

(I) *Bridging the Gap Initiative*. Bridging the Gap is a HUD initiative aimed at expanding economic and skills building opportunities offered through registered apprenticeship programs in

HUD assisted construction related and maintenance activities. Apprenticeship programs have a long history of providing structured, highly competent, safe and comprehensive occupational training which produces highly qualified journey level workers. Through this initiative, HUD seeks to encourage and promote the use of apprenticeship programs in programs sponsored with HUD funds and to ensure the beneficiaries of such apprenticeship programs are HUD's client community of public housing and low-and moderate-income residents of our nation's communities.

## VII. Findings and Certifications

(A) *Environmental Impact*. A Finding of No Significant Impact with respect to the environment has been made in accordance with HUD regulations at 24 CFR part 50 that implement section 102(2)(C) of the National Environmental Policy Act of 1969 (42 U.S.C. 4332). The Finding of No Significant Impact is available for public inspection during regular business hours in the Office of the General Counsel, Regulations Division, Room 10276, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, DC 20410-0500.

(B) *Executive Order 13132, Federalism*. Executive Order 13132 (entitled "Federalism") prohibits, to the extent practicable and permitted by law, an agency from promulgating policies that have federalism implications and either impose substantial direct compliance costs on State and local governments and are not required by statute, or preempt State law, unless the relevant requirements of section 6 of the Executive Order are met. This SuperNOFA does not have federalism implications and does not impose substantial direct compliance costs on State and local governments or preempt State law within the meaning of the Executive Order.

(C) *Prohibition Against Lobbying Activities*. You, the applicant, are subject to the provisions of section 319 of the Department of Interior and Related Agencies Appropriation Act for Fiscal Year 1991, 31 U.S.C. 1352 (the Byrd Amendment), which prohibits recipients of Federal contracts, grants, or loans from using appropriated funds for lobbying the executive or legislative branches of the Federal Government in connection with a specific contract, grant, or loan. You are required to certify, using the certification found at Appendix A to 24 CFR part 87, that you will not, and have not, used appropriated funds for any prohibited lobbying activities. In addition, you

must disclose, using Standard Form LLL, "Disclosure of Lobbying Activities," any funds, other than Federally appropriated funds, that will be or have been used to influence Federal employees, members of Congress, and congressional staff regarding specific grants or contracts. Tribes and tribally designated housing entities (TDHEs) established by an Indian tribe as a result of the exercise of the tribe's sovereign power are excluded from coverage of the Byrd Amendment, but tribes and TDHEs established under State law are not excluded from the statute's coverage.

(D) *Section 102 of the HUD Reform Act; Documentation and Public Access Requirements*. Section 102 of the Department of Housing and Urban Development Reform Act of 1989 (42 U.S.C. 3545) (HUD Reform Act) and the regulations codified in 24 CFR part 4, subpart A, contain a number of provisions that are designed to ensure greater accountability and integrity in the provision of certain types of assistance administered by HUD. On January 14, 1992 (57 FR 1942), HUD published a notice that also provides information on the implementation of section 102. The documentation, public access, and disclosure requirements of section 102 apply to assistance awarded under this SuperNOFA as follows:

(1) *Documentation and public access requirements*. HUD will ensure that documentation and other information regarding each application submitted pursuant to this SuperNOFA are sufficient to indicate the basis upon which assistance was provided or denied. This material, including any letters of support, will be made available for public inspection for a 5-year period beginning not less than 30 days after the award of the assistance. Material will be made available in accordance with the Freedom of Information Act (5 U.S.C. 552) and HUD's implementing regulations in 24 CFR part 15.

(2) *Disclosures*. HUD will make available to the public for 5 years all applicant disclosure reports (HUD Form 2880) submitted in connection with this SuperNOFA. Update reports (update information also reported on Form 2880) will be made available along with the applicant disclosure reports, but in no case for a period less than 3 years. All reports—both applicant disclosures and updates—will be made available in accordance with the Freedom of Information Act (5 U.S.C. 552) and HUD's implementing regulations at 24 CFR part 5.

(3) *Publication of Recipients of HUD Funding*. HUD's regulations at 24 CFR

4.7 provide that HUD will publish a notice in the **Federal Register** to notify the public of all decisions made by the Department to provide:

(i) Assistance subject to section 102(a) of the HUD Reform Act; or

(ii) Assistance that is provided through grants or cooperative agreements on a discretionary (non-formula, non-demand) basis, but that is not provided on the basis of a competition.

(E) *Section 103 HUD Reform Act.*

HUD's regulations implementing section 103 of the Department of Housing and Urban Development Reform Act of 1989 (42 U.S.C. 3537a), codified in 24 CFR part 4, subpart B, apply to this funding competition. The regulations continue to apply until the announcement of the selection of successful applicants. HUD

employees involved in the review of applications and in the making of funding decisions are limited by the regulations from providing advance information to any person (other than an authorized employee of HUD) concerning funding decisions, or from otherwise giving any applicant an unfair competitive advantage. Persons who apply for assistance in this competition should confine their inquiries to the subject areas permitted under 24 CFR part 4.

Applicants or employees who have ethics related questions should contact the HUD Ethics Law Division at (202) 708-3815. (This is not a toll-free number.) For HUD employees who have specific program questions, the employee should contact the appropriate field office counsel, or

Headquarters counsel for the program to which the question pertains.

**VIII. The FY 2001 SuperNOFA Process and Future HUD Funding Processes**

Each year, HUD strives to improve its SuperNOFA. The FY 2001 SuperNOFA was revised based on comments received during the FY 2000 funding process. HUD continues to welcome comments and feedback from applicants and other members of the public on how HUD may further improve its competitive funding process.

The description of programs for which funding is available under this SuperNOFA follows this General Section and its appendices.

Dated: February 15, 2001.

**Mel Martinez,**  
*Secretary.*

**APPENDIX A-1.—LIST OF HUD FIELD OFFICES**

Jurisdiction	Office	Address and phone numbers
NEW ENGLAND .....	Boston, MA .....	HUD—Boston Office, O'Neil Federal Building, 10 Causeway Street, Rm. 375, Boston, MA 02222-1092, OFC PHONE (617) 565-5236
	Hartford, CT .....	HUD—Hartford Office, One Corporate Center, Hartford, CT 06103-3220, OFC PHONE (860) 240-4844
	Manchester, NH .....	HUD—Manchester Office, Norris Cotton Federal Bldg., 275 Chestnut Street, Manchester, NH 03101-2487, OFC PHONE (603) 666-7510
	Providence, RI .....	HUD—Providence Office, 10 Weybosset Street, Sixth Floor, Providence, RI 02903-2808, OFC PHONE (401) 528-5352
	Bangor, ME .....	HUD—Bangor Office, 202 Harlow Street—Chase Bldg., Suite 101, Bangor, ME 04401-4925, OFC PHONE (207) 945-0468
	Burlington, VT .....	HUD—Burlington Office, Room 237—Federal Building, 11 Elmwood Avenue, PO Box 879, Burlington, VT 05401-0879, OFC PHONE (802) 951-6290
NY/NEW JERSEY .....	New York, NY .....	HUD—New York Office, 26 Federal Plaza—Suite 3541, New York, NY 10278-0068, OFC PHONE (212) 264-4377
	Buffalo, NY .....	HUD—Buffalo Office, Lafayette Court, 5th Floor, 465 Main Street, Buffalo, NY 14203-1780, OFC PHONE (716) 551-5755
	Camden, NJ .....	HUD—Camden Office, 2nd Floor—Hudson Bldg., 800 Hudson Square, Camden, NJ 08102-1156, OFC PHONE (856) 757-5088
	Newark, NJ .....	HUD—Newark Office—13th Floor, One Newark Center, Newark, NJ 07102-5260, OFC PHONE (973) 622-7619
	Albany, NY .....	HUD—Albany Office, 52 Corporate Circle, Albany, NY 12203-5121, OFC PHONE (518) 464-4200
MID-ATLANTIC .....	Philadelphia, PA .....	HUD Philadelphia Office, The Wanamaker Building, 100 Penn Square, East, Philadelphia, PA 19107-3380, OFC PHONE (215) 656-0600
	Baltimore, MD .....	HUD Baltimore Office, 5th Floor, 10 South Howard Street, Baltimore, MD 21201-2505, OFC PHONE (410) 962-2520
	Pittsburgh, PA .....	HUD Pittsburgh Office, 339 Sixth Avenue—Sixth Floor, Pittsburgh, PA 15222-2515, OFC PHONE (412) 644-5945
	Washington, DC .....	(Office Temporarily covered), HUD Washington, DC Office, Suite 300, 820 First Street, NE., Washington, DC 20002-4205, OFC PHONE (202) 275-9200
	Richmond, VA .....	HUD Richmond Office, 3600 West Broad Street, Richmond, VA 23230-4920, OFC PHONE (804) 278-4500
	Charleston, WV .....	HUD—Charleston Office, 405 Capitol Street, Suite 708, Charleston, WV 25301-1795, OFC PHONE (304) 347-7036
	Wilmington, DE .....	HUD—Delaware State Office, One Rodney Square, 920 King Street, Suite 404, Wilmington, DE 19801, OFC PHONE (302) 573-6300
	Atlanta, GA .....	HUD—Atlanta Office, 40 Marietta Street—Five Points Plaza, Atlanta, GA 30303-2806, OFC PHONE (404) 331-4111
SOUTHEAST/CARRIBEAN .....	Birmingham, AL .....	HUD—Birmingham Office, Medical Forum Building, 950 22nd St., North, Suite 900, Birmingham, AL 35203-5301, OFC PHONE (205) 731-2630
	Louisville, KY .....	HUD—Louisville Office, 601 West Broadway, PO Box 1044, Louisville, KY 40201-1044, OFC PHONE (502) 582-5251

## APPENDIX A-1.—LIST OF HUD FIELD OFFICES—Continued

Jurisdiction	Office	Address and phone numbers
	Jackson, MS .....	HUD—Jackson Office, McCoy Federal Building, 100 W. Capitol Street, Room 910, Jackson, MS 39269-1096, OFC PHONE (601) 965-4700
	Memphis, TN .....	HUD—Memphis Office, 200 Jefferson Avenue, Suite 1200, Memphis, TN 38103-2335, OFC PHONE (901) 544-3403
	Nashville, TN .....	HUD—Nashville Office, 235 Cumberland Bend Drive, Suite 200, Nashville, TN 37228-1803, OFC PHONE (615) 736-5213
	Jacksonville, FL .....	HUD—Jacksonville Office, 301 West Bay Street, Suite 2200, Jacksonville, FL 32202-5121, OFC PHONE (904) 232-2627
	Miami, FL .....	HUD—Florida State Office, 909 SE First Avenue, Miami, FL 33131, OFC PHONE (305) 536-5676
	Greensboro, NC .....	HUD—Greensboro Office, Koger Building, 2306 West Meadowview Road, Greensboro, NC 27407-3707, OFC PHONE (336) 547-4001, 4002, 4003
	San Juan, PR .....	HUD—Caribbean Office, 171 Carlos E. Chardon Avenue, San Juan, PR 00918-0903, OFC PHONE (787) 766-5201
	Columbia, SC .....	HUD—Columbia Office, 1835 Assembly Street, Columbia, SC 29201-2430, OFC PHONE (803) 765-5592
	Knoxville, TN .....	HUD—Knoxville Office, 710 Locust Street, SW, Knoxville, TN 37902-2526, OFC PHONE (423) 545-4384
	Orlando, FL .....	HUD—Orlando Office, 3751 Maguire Boulevard, Room 270, Orlando, FL 32803-3032, OFC PHONE (407) 648-6441
	Tampa, FL .....	HUD—Tampa Office, 500, Zack St., #402, Tampa, FL 33602-3945, OFC PHONE (813) 228-2431
MIDWEST .....	Chicago, IL .....	HUD—Chicago Office, Ralph Metcalfe Federal Building, 77 West Jackson Boulevard, Chicago, IL 60604-3507, OFC PHONE (312) 353-5680
	Detroit, MI .....	HUD—Detroit Office, 477 Michigan Avenue, Detroit, MI 48226-2592, OFC PHONE (313) 226-7900
	Indianapolis, IN .....	HUD—Indianapolis Office, 151 North Delaware Street, Suite 1200, Indianapolis, IN 46204-2526, OFC PHONE (317) 226-7034
	Grand Rapids, MI .....	HUD—Grand Rapids Office, Trade Center Building, 50 Louis Street, N.W., Grand Rapids, MI 49503-2648, OFC PHONE (616) 456-2125
	Minneapolis, MN .....	HUD—Minneapolis Office, 220 Second Street, South, Minneapolis, MN 55401-2195, OFC PHONE (612) 370-3000
	Cincinnati, OH .....	HUD—Cincinnati Office, 525 Vine Street, Suite 700, Cincinnati, OH 45202-3188, OFC PHONE (513) 684-2967
	Cleveland, OH .....	HUD—Cleveland Office, 1350 Euclid Avenue, Suite 500, Cleveland, OH 44115-1815, OFC PHONE (216) 522-4058
	Columbus, OH .....	(Office Temporarily Covered), HUD—Columbus Office, 200 North High Street, Columbus, OH 43215-2499, OFC PHONE (614) 469-2540
	Milwaukee, WI .....	HUD—Milwaukee Office, 310 West Wisconsin Avenue, Room 1380, Milwaukee, WI 53203-2289, OFC PHONE (414) 297-3214
	Flint, MI .....	HUD—Flint Office, 1101 S. Saginaw Street, North Building, Flint, MI 48502-1953, OFC PHONE (810) 766-5082
	Springfield, IL .....	HUD—Springfield Office, 320 West Washington, 7th Floor, Springfield, IL 62707, OFC PHONE (217) 492-4120
SOUTHWEST .....	Fort Worth, TX .....	HUD—Fort Worth Office, 801 Cherry Street, PO Box 2905, Ft. Worth, TX 76113-2905, OFC PHONE (817) 978-5965
	Dallas, TX .....	HUD—Dallas Office, 525 Griffin Street, Room 860, Dallas, TX 75202-5007, OFC PHONE (214) 767-8300
	Albuquerque, NM .....	HUD—Albuquerque Office, 625 Silver Avenue SW, Suite 100, Albuquerque, NM 87102-3185, OFC PHONE (505) 346-6463
	Houston, TX .....	HUD—Houston Office, 2211 Norfolk, #200, Houston, TX 77098-4096, OFC PHONE (713) 313-2274
	Lubbock, TX .....	HUD—Lubbock Office, 1205 Texas Avenue, Rm. 511, Lubbock, TX 79401-4093, OFC PHONE (806) 472-7265
	San Antonio, TX .....	HUD—San Antonio Office, 800 Dolorosa, San Antonio, TX 78207-4563, OFC PHONE (210) 475-6806
	Little Rock, AR .....	HUD—Little Rock Office, 425 West Capitol Avenue #900, Little Rock, AR 72201-3488, OFC PHONE (501) 324-5401
	New Orleans, LA .....	HUD—New Orleans Office, Hale Boggs Bldg.—501 Magazine Street, 9th Floor, New Orleans, LA 70130-3099, OFC PHONE (504) 589-7201
	Shreveport, LA .....	HUD—Shreveport Office, 401 Edwards Street, Rm. 1510, Shreveport, LA 71101-3289, OFC PHONE (318) 676-3440
	Oklahoma City, OK .....	HUD—Oklahoma City Office, 500 W. Main Street, Suite 400, Oklahoma City, OK 73102-2233, OFC PHONE (405) 553-7500
	Tulsa, OK .....	HUD—Tulsa Office, 50 East 15th Street Tulsa, OK 74119-4030, OFC PHONE (918) 581-7496

## APPENDIX A-1.—LIST OF HUD FIELD OFFICES—Continued

Jurisdiction	Office	Address and phone numbers
GREAT PLAINS .....	Kansas City, KS .....	HUD—Kansas City Office, 400 State Avenue, Room 200, Kansas City, KS 66101–2406, OFC PHONE (913) 551–5462
	Omaha, NE .....	HUD—Omaha Office, 10909 Mill Valley Road, Suite 100, Omaha, NE 68154–3955, OFC PHONE (402) 492–3103
	St. Louis, MO .....	HUD—St. Louis Office, 1222 Spruce Street #3207, St. Louis, MO 63103–2836, OFC PHONE (314) 539–6560
	Des Moines, IA .....	HUD—Des Moines Office, 210 Walnut Street, Room 239, Des Moines, IA 50309–2155, OFC PHONE (515) 284–4573
ROCKY MOUNTAINS .....	Denver, CO .....	HUD—Denver Office, 633 17th Street, 14th Floor, Denver, CO 80202–3607, OFC PHONE (303) 672–5440
	Salt Lake City, UT .....	HUD—Salt Lake City Office, 257 East, 200 South, Rm. 550, Salt Lake City, UT 84111–2048, OFC PHONE (801) 524–6071
	Helena, MT .....	HUD—Helena Office, 7 West Sixth Avenue, Power Block Building, Helena, MT 59601, OFC PHONE (406) 449–5048
	Sioux Falls, SD .....	HUD—Sioux Falls Office, 2400 West 49th Street, Rm. I–201, Sioux Falls, SD 57105–6558, OFC PHONE (605) 330–4223
	Fargo, ND .....	HUD—Fargo Office, 657 2nd Avenue North, Room 366, Fargo, ND 58108, OFC PHONE (701) 239–5040
	Casper, WY .....	HUD—Wyoming Office, 150 East B Street, Room 1010, Casper, WY 82601–1969, OFC PHONE (307) 261–6250
	San Francisco, CA .....	HUD—San Francisco Office, 450 Golden Gate Avenue, Box 36003, San Francisco, CA 94102–3448, OFC PHONE (415) 436–6532
PACIFIC/HAWAII .....	Honolulu, HI .....	HUD—Honolulu Office, 7 Waterfront Plaza, #500 Ala Moana Blvd. #500, Honolulu, HI 96813–4918, OFC PHONE (808) 522–8175
	Los Angeles, CA .....	HUD—Los Angeles Office, 611 W. Sixth Street, Suite 800, Los Angeles, CA 90017, OFC PHONE (213) 894–8007
	Sacramento, CA .....	HUD—Sacramento Office, 925 L Street, Sacramento, CA 95814, OFC PHONE (916) 498–5220
	Reno, NV .....	HUD—Reno Office, 3702 S. Virginia Street, Suite G–2, Reno, NV 89502–6581, OFC PHONE (775) 784–5383
	San Diego, CA .....	HUD—San Diego Office, Symphony Towers, 750 B Street, Suite 1600, San Diego, CA 92101–8131, OFC PHONE (619) 557–5310
	Las Vegas, NV .....	HUD—Las Vegas Office, 333 N. Rancho Drive-Atrium Bldg., Suite 700, Las Vegas, NV 89106–3714, OFC PHONE (702) 388–6208/6500
	Phoenix, AZ .....	HUD—Phoenix Office, 400 North Fifth Street, Suite 1600, Phoenix, AZ 85004–2361, OFC PHONE (602) 379–4434
	Santa Ana, CA .....	HUD—Santa Ana Office, 1600 N. Broadway, Suite 100, Santa Ana, CA 92706–3927, OFC PHONE (714) 796–5577
	Tucson, AZ .....	HUD—Tucson Office, 33 North Stone Avenue #700, Tucson, AZ 85701–1467, OFC PHONE (520) 670–6000
	Fresno, CA .....	HUD—Fresno Office, 2135 Fresno Street, Suite 100, Fresno, CA 93721–1718, OFC PHONE (559) 487–5032
	Seattle, WA .....	HUD—Seattle Office, 909 First Avenue, Suite 200, Seattle, WA 98104–1000, OFC PHONE (206) 220–5101
	Portland, OR .....	HUD—Portland Office, 400 SW 6th Avenue #700, Portland, OR 97204–1632, OFC PHONE (503) 326–2561
	Anchorage, AK .....	HUD—Anchorage Office, 949 East 36th Avenue, Suite 401, Anchorage, AK 99508–4399, OFC PHONE (907) 271–4170
NORTHWEST/ALASKA .....	Boise, ID .....	HUD—Boise Office, Suite 220, Plaza IV, 800 Park Boulevard, Boise, Idaho 83712–7743, OFC PHONE (208) 334–1990
	Spokane, WA .....	HUD—Spokane Office, US Courthouse Bldg., 920 W. Riverside, Suite 588, Spokane, WA 99201–1010, OFC PHONE (509) 353–0682

**Appendix A-2—List of EZs, ECs, Urban Enhanced Enterprise Communities, Strategic Planning Communities***AK, Anchorage*

Ms. Linda Yarbrough, Municipality of Anchorage, Department of Community Planning and Development, P.O. Box 196650, Anchorage, AK 99501, 907–343–4303 (Phone), 907–343–4220 (Fax)

Terrence Booth, Metlakatla Indian Enterprise Community, Metlakatla, AK 99926, 907–886–4441 (Phone), 907–886–7997 (Fax)

*AL, Anniston*

David Umling, Chambers County Enterprise Community, Anniston, AL 36202, 256–237–6741 (Phone), 256–237–6763 (Fax)

*AL, Birmingham*

Mr. Keith Strother, City of Birmingham, 710 N. 20th St. City Hall, Third Floor, Birmingham, AL 35203, 205–254–2870 (Phone), 205–254–7741 (Fax)

*AL, Epes*

John Zippert, Greene and Sumter Enterprise Community, Epes, AL 35460, 205–652–9676 (Phone), 205–652–9678 (Fax)

*AR, Blytheville*

Sam Scruggs, Mississippi County Enterprise Community, Blytheville, AR 72316, 870–532–2348 (Phone), 870–532–2625 (Fax)

*AR, Forrest City*

Robert Cole, Eastern Arkansas Enterprise Community, Forrest City, AR 72335, 870–630–2005 (Phone), 870–630–2035 (Fax)

*AR, Little Rock*

Mr. Henry L. McHenry, County of Pulaski, Enterprise Community Alliance, Inc., 3805 W. 12th St. Suite 205, Little Rock, AR

72204, 501-379-1543 (Phone), 501-379-1571 (Fax)

*AZ, Douglas*

Art Macias, Jr., Arizona Border Region Enterprise Community, Douglas, AZ 85607, 520-364-7501 (Phone), 520-364-7507 (Fax)

*AZ, Nogales*

Laura Ornelas, Arizona Border Region Enterprise Community, Nogales, AZ 85621, 520-287-6571 (Phone), 520-287-9159 (Fax)

*AZ, Phoenix*

Steve Capobres, Arizona Border Region Enterprise Community, Phoenix, AZ 85012-1920, 602-280-1365 (Phone), 602-280-1470 (Fax)

Ms. Jennifer Harper, City of Phoenix, Department of Neighborhood Services, 200 W. Washington St. Fourth Floor, Phoenix, AZ 85003-1611, 602-262-4730 (Phone), 602-534-1555 (Fax)

*AZ, San Luis*

Frank Carrillo, Arizona Border Region Enterprise Community, San Luis, AZ 85349, 520-627-2027 (Phone), 520-627-3879 (Fax)

*AZ, Window Rock*

Anthony Perry, Four Corners Enterprise Communities (Navajo Nation, Window Rock, AZ 86515, 520 871-6504 (Phone), 520-871-7381 (Fax)

*CA, El Centro*

Ken Hollis, Imperial County Enterprise Community, El Centro, CA 92243, 760-337-7814 (Phone), 760-337-8907 (Fax)

*CA, Fresno*

Becki Mendibles Central California Enterprise Community, Fresno, CA 93727, 559-452-0881 (Phone), 559-452-8038 (Fax)

*CA, Indio*

John Thurman, Desert Communities Empowerment Zone, Indio, CA 92201, 760-863-8225 (Phone), 760-863-7049 (Fax)

*CA, Los Angeles*

Ms. Alicia DeCastro, City of Los Angeles, Department of Community Development, 215 W. Sixth St., Third Floor, Los Angeles, CA 90014, 213-485-1023 (Phone), 213-847-0890 (Fax)

Mr. Robert Perez, City of Los Angeles, Department of Community Development, 215 W. Sixth St., Third Floor, Los Angeles, CA 90014, 213-485-8161 (Phone), 213-847-0890 (Fax)

*CA, Oakland*

Mr. Mahlon Harmon, One Stop Capital Shop, 519 17th St. Sixth Floor, Oakland, CA 94612-2032, 510-238-2353 (Phone), 510-238-7999 (Fax)

*CA, San Diego*

Ms. Bonnie Contreras, City of San Diego, Division of Economic Development, 1200 Third Ave. Suite 1300, San Diego, CA

92101-3863, 619-236-6846 (Phone), 619-533-6515 (Fax)

*CA, San Francisco*

Ms. Anna Yee, City of San Francisco, Enterprise Community Program, 25 Van Ness Ave. Suite 700, San Francisco, CA 94102, 415-252-3130 (Phone), 415-252-3110 (Fax)

*CA, Santa Ana*

Ms. Shawna Lahey, City of Santa Ana, Community Development Agency, P.O. Box 1988, Santa Ana, CA 92702, 714-647-5372 (Phone), 714-647-6580 (Fax)

*CA, Watsonville*

Anna Espinoza, Watsonville/City of Santa Cruz Enterprise Community, Watsonville, CA 95076, 831-763-4033 (Phone), 831-761-0736 (Fax)

*CO, Denver*

Mr. Ernest Hughes, City and County of Denver, Community Planning and Development Agency, 216 16th St. Suite 1400, Denver, CO 80202, 720-913-1547 (Phone), 720-913-1800 (Fax)

*CT, Bridgeport*

Ms. Janice B. Willis, City of Bridgeport, Office of Central Grants, 999 Broad St. City Hall Annex Chase Bldg., Bridgeport, CT 06604, 203-332-5662 (Phone), 203-332-3060 (Fax)

*CT, New Haven*

Ms. Diana E. Edmonds, City of New Haven, Office of Business Development, 200 Orange St. Fifth Floor, New Haven, CT 06510, 203-946-7727 (Phone), 203-946-8049 (Fax)

Ms. Sherri Killins, Empower New Haven, Inc., 59 Elm St. Fourth Floor, Suite 410, New Haven, CT 06510, 203-776-2777 (Phone), 203-776-0537 (Fax)

*DC, Washington*

Ms. Judy Brown, Enterprise Community Programs, Office of Economic Development EZ/EC Urban Task Force, 801 N. Capitol St., Sixth Floor, Washington, DC 20002, 202-442-7205 (Phone), 202-442-7090 (Fax)

*DE, Wilmington*

Ms. Edwina Bell-Mitchell, Wilmington Enterprise Community/New Castle County, 800 French St. Louis L. Redding Bldg., Ninth Floor, Wilmington, DE 19801, 302-571-4472 (Phone), 302-571-4326 (Fax)

*FL, Marianna*

Bill Stanton, Jackson County Enterprise Community, Marianna, FL 32447, 850-526-4005 (Phone), 850-526-4008 (Fax)

Stan Whitehurst, Jackson County Enterprise Community, Marianna, FL 32447, 850-526-7669 (Phone), 850-526-4008 (Fax)

*FL, Miami*

Mr. Bryan K. Finnie, Miami-Dade Empowerment Trust, Inc., 140 W. Flagler St., Suite 1107, Miami, FL 33130, 305-372-7620 (Phone), 305-372-7629 (Fax)

*FL, Naples*

Barbara Cacchione, Empowerment Alliance of Southwest Florida EC, Naples, FL 34103, 941-649-5000 (Phone), 941-649-5337 (Fax)

*FL, Tampa*

Ms. Jeanette LaRussa-Fenton, City of Tampa, Department of Business and Community Services, 2105 N. Nebraska Ave. Ybor Service Center, Tampa, FL 33602-2529, 813-274-7966 (Phone), 813-274-7927 (Fax)

*GA, Albany*

Ms. Julie Duke, City of Albany, Office of the City Manager, P.O. Box 447, Albany, GA 31702, 229-431-3234 (Phone), 229-431-3223 (Fax)

*GA, Atlanta*

Ms. Charisse Richardson, Atlanta Empowerment Zone Corp., 675 Ponce De Leon Ave., N.E. City Hall East, Second Floor, Atlanta, GA 30308, 404-853-7610 (Phone), 404-853-7315 (Fax)

*GA, Augusta*

Hilda Alexander, CSRA Enterprise Community, Augusta, GA 30904, 706-667-4179 (Phone), 706-737-1459 (Fax)

*GA, Cordele*

Robert Cooke, Southwest Georgia United Empowerment Zone, Cordele, GA 31010, 912-273-9111 (Phone), 912-276-0450 (Fax)

Bambi Hayes, Southwest Georgia United Empowerment Zone, Cordele, GA 31010, 912-273-9111 (Phone), 912-276-0450 (Fax)

*HI, Kaunakakai*

Stacy Crivello, Molokai Enterprise Community, Kaunakakai, HI 96748, 808-553-5123 (Phone), 808-553-3735 (Fax)

Karen M. Holt, Molokai Enterprise Community, Kaunakakai, HI 96748, 808-553-3244 (Phone), 808-553-3370 (Fax)

*IA, Des Moines*

Ms. Caroline Gathright, City of Des Moines, Division of Neighborhood Planning, 602 E. First St., Des Moines, IA 50309, 515-283-4151 (Phone), 515-237-1713 (Fax)

*IL, Chicago*

Mr. Wallace Goode, City of Chicago, 20 N. Clark St., 28th Floor, Chicago, IL 60602-5086, 312-744-9623 (Phone), 312-744-9696 (Fax)

*IL, E. St. Louis*

Mr. Ralph Muhammed, East St. Louis Enterprise Community, 301 River Park Dr., Third Floor, E. St. Louis, IL 62201, 618-482-6642 (Phone), 618-482-6788 (Fax)

*IL, Springfield*

Ms. Cleatia Bowen, City of Springfield, Office of Economic Development, 231 S. Sixth St., Springfield, IL 62701, 217-789-2377 (Phone), 217-789-2380 (Fax)

*IL, Ullin*

Donna Raynalds, Southernmost Illinois Delta Empowerment Zone, Ullin, IL 62992, 618-634-9471 (Phone), 618-634-9452 (Fax)

*IN, Austin*

Donald Campbell, Town of Austin Enterprise Community, Austin, IN 47102, 812-794-2877 (Phone), 812-794-2859 (Fax)  
 Charlotte Mathis, Town of Austin Enterprise Community, Austin, IN 47102, 812-794-9446 (Phone), 812-794-8765 (Fax)

*IN, East Chicago*

Mr. John D. Artis, City of East Chicago, Department of Redevelopment and Housing Authority, P.O. Box 498, East Chicago, IN 46312-0498, 219-397-9974 (Phone), 219-397-4249 (Fax)

*IN, Gary*

Ms. Venus Cobb, City of Gary, Empowerment Zone Office, 840 Broadway First Floor, Gary, IN 46404, 219-886-9047 (Phone), 219-886-9051 (Fax)

*IN, Hammond*

Ms. Rocharda Moore-Harris, City of Hammond, Department of Planning, 649 Conkey St., Hammond, IN 46324, 219-853-6371 (Phone), 219-853-6334 (Fax)

*IN, Indianapolis*

Ms. Renia Colbert, City of Indianapolis, Division of Community Development and Financial Services, 200 E. Washington St., City County Bldg., Suite 1841, Indianapolis, IN 46204, 317-327-5869 (Phone), 317-327-5908 (Fax)

*KS, Leoti*

Sharla Krenzel, Wichita County Enterprise Community, Leoti, KS 67861, 316-375-2182 (Phone), 316-375-4350 (Fax)  
 Elmer Ridder, Wichita County Enterprise Community, Leoti, KS 67861, 316-375-2731 (Phone), 316-375-4350 (Fax)

*KY, Bowling Green*

Lisa Ryan, City of Bowling Green Enterprise Community, Bowling Green, KY 42102-0430, 270-393-3658 (Phone), 502-393-3698 (Fax)

*KY, London*

Jerry Rickett, Kentucky Highlands Empowerment Zone, London, KY 40743, 606-864-5175 (Phone), 606-864-5194 (Fax)

*KY, Louisville*

Mr. Walter Munday, City of Louisville, Empowerment Zone Community, 200 S. Seventh St., Louisville, KY 40202, 502-574-2682 (Phone), 502-574-4227 (Fax)

*KY, Whitley City*

Bruce Murphy, McCreary County Enterprise Community, Whitley City, KY 42653, 606-376-2413 (Phone), 606-376-9499 (Fax)

*LA, Ferriday*

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*LA, Monroe*

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*MA, Roxbury*

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*MA, Springfield*

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*MD, Baltimore*

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*MI, Flint*

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*OR, Portland*

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*SC, Kingstree*

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*SC, Sumter*

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*SD, Yale*

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*WA, Tacoma*

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**DEPARTMENT OF HOUSING  
AND URBAN DEVELOPMENT**

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**INDIAN HOUSING DRUG  
ELIMINATION PROGRAM**



## FUNDING AVAILABILITY FOR THE INDIAN HOUSING DRUG ELIMINATION PROGRAM

### Program Overview

**Purpose of the Program:** The purpose of the Indian Housing Drug Elimination Program (IHDEP) is to provide grants to eliminate drugs and drug-related crime in American Indian and Alaskan Native communities.

**Available Funds:** Approximately \$12,000,000 million is being made available for Indian Housing Drug Elimination Program (IHDEP) grants.

**Eligible Applicants:** Indian tribes who are eligible to be recipients of assistance under the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA recipients) which means an Indian tribe or the entity for one or more Indian tribes that is authorized to receive grant amounts

under NAHASDA on behalf of the tribe or tribes.

**Application Deadline:** April 24, 2001.  
**Match:** None.

### Additional Information

If you are interested in applying for funding under this program, please review carefully the General Section of this SuperNOFA and the following additional information.

#### I. Application Due Date, Submission Address, Application Kits, Further Information, and Technical Assistance

**Application Due Date:** Your completed application (one original and two copies) is due on or before April 24, 2001.

See the General Section of this SuperNOFA for specific procedures that you must follow for the form of application submission (e.g., mailed applications, express mail, overnight delivery, or hand carried).

**Address For Submitting Applications.** Please Note: We are instituting a new submission policy. Please submit an original and one identical copy to the Indian Housing Drug Elimination Information & Resource Center (IHDE-IRC), P.O. Box 14970, Silver Spring, MD 20911. You must also send one identical copy of your IHDEP application by the application due date to the local Area Office of Native American Programs (AONAP) Attention: HUD Administrator, Area Office of Native American Programs (AONAP). A list of local offices is provided below. The timely submission of your application will be based on the date and time it is submitted to the AONAP listed below, not the date and time it is received at the Indian Housing Drug Elimination Information & Resource Center. Again, please see the General Section of the SuperNOFA for specific procedures on the application due date.

If you are applying from this geographic location then * * *	Send your application to this AONAP
All states East of the Mississippi River, Plus Iowa and Minnesota.	Eastern/Woodlands Office of Native American Programs, Grants Management Division, 77 West Jackson Blvd., Room 2400, Chicago, IL 60604-3507, Telephone: (312) 886-4532, Ext. 2815.
Louisiana, Kansas, Oklahoma, and Texas, except West Texas.	Southern Plains Office of Native American Programs, Grants Management Division, 500 W. Main Street, Suite 400, Oklahoma City, OK 73102-3202, Telephone: (405) 553-7525.
Colorado, Montana, Nebraska, North Dakota, South Dakota, Utah, and Wyoming.	Northern Plains Office of Native American Programs, Grants Management Division, Wells Fargo Tower North, 633 17th Street, Denver, CO 80202-3607, Telephone: (303) 672-5465.
Arizona, California, and Nevada .....	Southwest Office of Native American Programs, Grants Management Division, Two Arizona Center, 400 N. Fifth Street, Suite 1650, Phoenix, AZ 85004-2361, Telephone: (602) 379-3865.
New Mexico and West Texas .....	Southwest Office of Native American Programs, Grants Management Division, Albuquerque Plaza, 201 3rd Street N.W., Suite 1830, Albuquerque, NM 87102-3368, Telephone: (505) 346-6923.
Idaho, Oregon, Washington .....	Northwest Office of Native American Programs, Grants Management Division, Federal Office Building, 909 First Avenue, Suite 300, Seattle, WA 98104-1000, Telephone: (206) 220-5271.
Alaska .....	Alaska Office of Native American Programs, Grants Management Division, 949 E. 36th Avenue, Suite 401, Anchorage, AK 99508-4399, (907) 271-4603.

In order to expedite the review of your application and to ensure that your application is given a thorough and complete review of all responses to each of the components of the selection criteria, HUD strongly requests that when you respond to each of the selection criterion and sub-criterion that you separate each selection criterion and sub-criterion with tabs. In order for your IHDEP application to be rated, make sure the response for each criterion is beneath the appropriate tab (heading). Keep the responses in the same order as the NOFA. Limit your narrative explanations to 200 words or less and provide the necessary data that supports the response. Include all relevant material to a response under the same tab. Do not assume the reviewer will search for the answer or information to support the answer elsewhere in the application. Do not include documentation that is not

required by the selection criteria because irrelevant information will be disregarded during the review of your application.

**For Application Kits.** To receive a copy of the IHDEP application kit, please call the Indian Housing Drug Elimination Information & Resource Center (IHDE-IRC) at 1-800-839-5561. Persons with hearing or speech impairments may call the Center's TTY number at 1-800-483-2209. When requesting an application kit, please refer to the Indian Housing Drug Elimination Program (IHDEP). Please provide your name, address, including zip code, and telephone number (including area code). The application kit contains information on all exhibits, forms, and certifications required for IHDEP.

**For Further Information and Technical Assistance.** Please call the AONAPs with jurisdiction over your

tribe/tribally designated housing entity (TDHE) or HUD's IHDE-IRC at 1-800-839-5561 or Tracy C. Outlaw, Denver Program Office of Native American Programs (ONAP), Department of Housing and Urban Development, 1999 Broadway, Suite 3390, Denver, CO 80202, telephone 1-800-561-5913 or (303) 675-1600 (this is not a toll-free number). Hearing or speech-impaired individuals may access this number via TTY by calling the toll-free Federal Information Relay Service at 1-800-877-8339. Also, please see ONAP's website at <http://www.codetalk.fed.us.html> where you will be able to download a copy of the IHDEP NOFA and application kit from the Internet. Before the application deadline, we will be available to provide you with general guidance. We cannot, however, provide you with guidance on the actual contents of your application.

If applicable, after the rating and ranking process has been completed but before award, we will be available to assist you in clarifying or confirming technical, curable deficiency information that is required to address a pre-award requirement. If the pre-award conditions as outlined in Section VIII of the NOFA are not met by the applicant, we will award those grant amounts to another eligible applicant in accordance with the provisions of Section V of this NOFA.

## II. Amount Allocated

Approximately \$12 million is being made available under this NOFA from the FY 2001 HUD Appropriations Act (Pub.L. 106-377, approved October 27, 2000).

## III. Program Description; Eligible Applicants; Eligible/Ineligible Activities

(A) *Program Description.* Funds are only available for Indian tribes who are eligible to be recipients under NAHASDA to develop and finance drug and drug-related crime elimination efforts in their affordable housing developments. You may use funds for enhancing security within your developments, making physical improvements to enhance security; and/or developing and implementing prevention, intervention and treatment programs to stop drug use in Indian housing communities.

(B) *Eligible Applicants.* Indian tribes who are eligible to be recipients under NAHASDA. "NAHASDA recipient" means an Indian tribe or the tribally designated housing entity (TDHE) for one or more Indian tribes that is authorized to receive grant amounts under NAHASDA on behalf of the tribe or tribes. "Tribe" means an Indian tribe, band, nation or other organized group or community of Indians, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act, that is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians pursuant to the Indian Self-Determination and Education Assistance Act of 1975. A tribe can apply either in its own name or through its TDHE. A TDHE cannot apply on behalf of a tribe that is applying on its own behalf. A tribe's application supersedes the application of a TDHE applying on behalf of that tribe. Resident Management Corporations (RMCs) and Resident Organizations (ROs) are eligible for funding as subgrantees. RMCs, and ROs that were operating pursuant to 24 CFR part 950

are eligible for funding from tribes or TDHEs as subgrantees to develop security and substance abuse prevention programs. In addition, an applicant must meet all the applicable threshold requirements found in Section II(b) of the General Section of the SuperNOFA.

(C) *Eligible/Ineligible Activities.* IHDEP grants may be used for seven types of activities: (1) The employment of security personnel; (2) reimbursement of local law enforcement agencies for additional security and protective services; (3) physical improvements which are specifically designed to enhance security; (4) the employment of one or more individuals to (A) investigate drug-related crime on or about the real property comprising any public or other federally assisted affordable housing project; and (B) provide evidence relating to such crime in any administrative or judicial proceeding; (5) the provision of training, communications equipment, and other related equipment for use by voluntary tenant patrols acting in cooperation with local law enforcement officials; (6) programs designed to reduce use of drugs in and around public or other federally assisted affordable housing projects, including drug-abuse prevention, intervention, referral, and treatment programs; and (7) where a tribe or TDHE receives a grant, providing funding to nonprofit resident management corporations and resident organizations to develop security and drug abuse prevention programs involving site residents.

Following is a discussion by activity type of what can and cannot be funded and specific requirements or items that need to be discussed in your application if you are including that activity in your application.

### (1) *Physical Improvements to Enhance Security.*

(a) Physical improvements specifically designed to enhance security may include: installing barriers, speed bumps, lighting systems, fences, surveillance equipment (e.g., Closed Circuit Television (CCTV), computers and software, fax machines, cameras, monitors, and supporting equipment), bolts, locks, and landscaping or reconfiguring common areas to discourage drug-related crime.

(i) All physical improvements must be accessible to persons with disabilities. For example, locks or buzzer systems that are not accessible to persons with restricted or impaired strength, mobility, or hearing may not be funded by IHDEP. Defensible space improvements must comply with civil rights requirements and cannot exclude or segregate people because of their

race, color, or national origin from benefits, services, or other terms or conditions of housing. All physical improvements must meet the accessibility requirements of 24 CFR part 8 and the provisions found in section 504 of the Rehabilitation Act of 1973 and other relevant Federal, state and local statutes that apply.

(ii) Funding is permitted for the purchase or lease of house trailers of any type that are not designated as a building if they are used for eligible community policing, educational programs for youth and adults, employment training facility, youth activities, and drug abuse treatment activities. A justification of purchase versus lease must be supported by your cost-benefit analysis.

### (2) *Programs to Reduce Drug Use (Prevention, Intervention, Treatment, Structured Aftercare and Support Systems).*

(a) Eligible activities may include:

- (i) Drug abuse prevention, intervention, and referral programs;
- (ii) Programs of local, social, faith-based and/or other organizations that provide treatment services (contractual or otherwise) for dependency/remission; and
- (iii) Structured aftercare/support system programs.

(b) General Requirements and Strategies. HUD is looking for you to structure your drug abuse prevention, intervention, treatment, and aftercare program using a "continuum of care" approach. A "continuum of care" approach includes not just treating the addiction or dependency but also providing aftercare, mentoring, and support services such as day care, family counseling, education, training, employment development opportunities, and other activities.

You must develop a drug abuse/sobriety (remission)/treatment (dependency) strategy to adequately plan your drug abuse prevention, intervention, treatment, and structured aftercare efforts. In many cases, you may want to include education, training, and employment opportunities for residents. When undertaking these activities, you should be leveraging your IHDEP resources with other Federal, state, local and tribal resources. For example, your application may propose providing space and other infrastructure for these efforts with other tribal agencies providing staff and other resources at limited or no cost. Your application should also discuss how your strategy incorporates existing community resources and how they will be used in your program. The strategy should also document how community resources

will be provided on-site or how participants will be referred and transported to treatment programs that are not on-site.

A community-based approach also requires you to develop a culturally appropriate strategy. Curricula, activities, and staff should address the cultural issues of the local community which requires your application to indicate your familiarity and facility with the language and cultural norms of the community. As applicable, your strategy should discuss cultural competencies associated specifically with your Native American or Alaskan Native community.

Your activities should focus resources directly to tribal residents and families.

For all activities involving education, training and employment, you should demonstrate efforts to coordinate with Federal, Tribal, state and local employment training and development services, including "welfare to work" efforts.

The current Diagnostic and Statistical Manual (DSM) of Mental Disorders of the American Psychiatric Association dated July 2000, contains information on drug abuse, dependency and structured aftercare. For more information about this reference, contact: APPI, 1400 K. Street, NW, Suite 1100, Washington, DC 20005 or 1(800) 368-5777 or World Wide Web site at <http://www.appi.org>.

(c) *Activities must be in and around the affordable housing development in your "Indian area"*. IHDEP funding is permitted for programs that reduce/eliminate drug-related crime in affordable housing developments in the "Indian area". "Indian area" is defined in 24 CFR 1000.10 of the NAHASDA regulations as the area in which an Indian tribe operates affordable housing programs or the area in which a TDHE is authorized by one or more Indian tribes to operate affordable housing programs.

(d) *Eligible costs*. (i) Funding is permitted for reasonable, necessary, and justified purchasing or leasing (whichever is documented as the most cost effective) of vehicles for transporting adult and youth residents for education, job training, and off-site treatment programs directly related to reducing drugs and drug-related crime. The cost reasonableness can be determined by a comparison of the number of participants in and anticipated costs of these programs compared to the purchase or lease cost of the vehicles. If these costs are included in your application, you must include a description of why the expenses are necessary. Under no

circumstances are these vehicles to be used for other than their intended purpose under your grant.

(ii) Funding is permitted for reasonable, necessary and justified program costs, such as meals and beverages incurred only for training, education and employment activities, including provisions for daycare and youth services directly related to reducing drugs and drug-related crime. Refer to Office of Management and Budget (OMB) Circular A-87, Cost Principles for State, Local and Indian Tribal Governments.

(e) *Prevention*. Prevention programs must demonstrate that they will provide directly, or otherwise make available, services designed to distribute drug education information, to foster effective parenting skills, and to provide referrals for treatment and other available support services including daycare in the housing development or the community for tribal residents and families.

Prevention programs should provide a comprehensive prevention approach for residents that address the individual resident and his or her relationship to family, peers, and the community. Your prevention programs activities should identify and change the causal factors present in tribal communities that lead to drug-related crime thereby lowering the risk of drug usage. Many components of a comprehensive approach, including refusal and restraint skills training programs or drug, drug abuse/dependency and family counseling, and daycare may already be available in the tribal community developments and should be included to the maximum extent possible in your proposed program of activities.

The following eligible activities under a prevention program are discussed below:

(i) *Educational Opportunities*. The causes and effects of illegal drug abuse must be discussed in a culturally appropriate and structured setting. As a grantee, you may use contractors or subgrantees (in accordance with 24 CFR 85.36) to provide such knowledge and skills through training programs. The professionals contracted to provide these services are required to base their services on your needs assessment and program plan. These educational opportunities may be a part of resident meetings, youth activities, or other gatherings of tribal housing residents.

(ii) *Family and Other Support Services*. "Supportive services" are services that allow families to have access to prevention, educational and employment opportunities. Supportive

services may include: child care, employment training, computer skills training, remedial education, drug abuse counseling, help in getting a high school equivalency certificate, and other services to reduce drug-related crime.

(iii) *Youth Services*. Proposed youth prevention programs must demonstrate that they have included groups composed of young people ages 8 through 18. Your youth prevention activities should be coordinated by adults but have tribal youth actively involved in organizing youth leadership, sports, recreational, cultural and other activities. Eligible youth services may include: youth sports, youth leadership skills training, cultural and recreational activities/camps, youth entrepreneurship, negotiation and mediation/peacemaking, and cross-cultural communication. These youth services provide an alternative to drugs and drug-related criminal activity for Native American youth. Youth leadership skills training may include training in leadership, peer pressure reversal, resistance or refusal skills, life skills, goal planning, parenting skills, youth entrepreneurship, negotiation and mediation/peacemaking, and cross-cultural communication and other relevant topics. Youth leadership training should be designed to place youth in leadership roles including: mentors to younger program participants, assistant coaches, managers, and team captains. Cultural and recreational activities may include ethnic heritage classes, art, dance, drama and music appreciation.

The following are eligible youth services expenses:

(1) Salaries and expenses for staff for youth sports programs and cultural activities and leadership training;

(2) Sports and recreation equipment to be used by participants;

(3) Funding for non-profit subgrantees that provide scheduled organized sports competitions, cultural, educational, recreational or other activities, including but not limited to: United National Indian Tribal Youth, Inc. (UNITY), Wings of America, Native America Sports Council, Boys and Girls Clubs of America, Girl Scouts of America, First Tee Program, YMCAs, YWCAs, the Inner City Games, Association of Midnight Basketball Leagues, and Big Brothers and Big Sisters of America.

(4) Liability insurance costs for youth sports activities.

(iv) *Economic and Educational Opportunities for Resident Adult and Youth*. Your proposed economic and educational activities must provide residents opportunities for interaction

with, or referral to, established higher education, vocational institutions and/or private sector businesses in the immediate surrounding communities with the goal of developing or building on the residents' skills to pursue educational, vocational and economic goals and become self-sufficient.

You should discuss your economic and educational opportunities for residents and youth activities in the context of "welfare to work" and related Federal, tribal, state and local government efforts for employment training, education and employment opportunities related to the goals of "welfare to work." Establishing or referring adults and youths to computer learning centers, employment service centers (coordinated with Federal, tribal, state and local employment offices), and micro-business centers are eligible activities. Funding is permitted for the purchase or lease of house trailers if they are used for the activities listed above and as specified in Section III.C(1)(a)(ii) of this NOFA.

Limited educational scholarships are permitted under this section. For the purpose of this IHDEP NOFA, educational scholarships will be defined as merit-based financial assistance for high-school and college students who have demonstrated a need for financial assistance and academic excellence. No one individual award may exceed \$500, and there is a total maximum scholarship program cap of \$10,000. Educational scholarship IHDEP funds must be obligated and expended during the term of your IHDEP grant which is (24) twenty-four months. You must demonstrate in your plan a timetable, the strategy or method for awarding scholarships to students, and, the financial/management controls that will be used and projected outcomes.

In addition, section 3 of the Housing and Urban Development Act of 1968, 12 U.S.C. 1701u is applicable to many of the activities eligible under this NOFA. Therefore, to the greatest extent feasible, training, employment and other economic opportunities that you develop must be directed to (1) low- and very low-income persons and (2) business concerns which provide economic opportunities to low- and very low-income persons. For more information about section 3, see Section II(E) of the General Section of the SuperNOFA or HUD's section 3 implementing regulations at 24 CFR Part 135.

(f) *Intervention.* The aim of intervention is to identify or detect residents with substance abuse issues, assist them in modifying their behavior,

getting early treatment, and obtaining structured aftercare.

(g) *Drug Abuse/Dependency Treatment.*

(1) Treatment funded under this program should be "in and around" the premises of the affordable housing development(s) you proposed for funding. In undertaking drug abuse/dependency treatment programs, you must establish a confidentiality policy regarding medical and disability related information.

(i) Funds awarded for drug abuse/dependency treatment must be targeted towards developing and implementing, or expanding and improving sobriety maintenance, drug-free maintenance support groups, drug abuse counseling, referral treatment services, and short- or long-range structured aftercare for residents.

(ii) Your proposed drug program must address the following goals for residents:

(1) Increasing accessibility of treatment services;

(2) Decreasing drug-related crime "in and around" your affordable housing development(s) by reducing and/or eliminating drug use; and

(3) Providing services designed for youth and/or adult drug abusers and recovering addicts (e.g., prenatal and postpartum care, specialized family and parental counseling, parenting classes, domestic or youth violence counseling).

(iii) You must discuss in your overall strategy the following factors:

(1) Formal referral arrangements to other treatment programs in cases where the resident is able to obtain treatment costs from sources other than this program.

(2) Family/youth counseling.

(3) Linkages to educational and vocational training and employment counseling.

(4) Coordination of services from and to appropriate local drug abuse/treatment agencies, HIV-related service agencies, and mental and public health programs.

(iv) As applicable, you must demonstrate a working partnership with the Single State Agency or local, tribal or state license provider or authority with substance abuse program(s) coordination responsibilities to coordinate, develop and implement your drug dependency treatment proposal.

(v) You must demonstrate that counselors (contractual or otherwise) meet Federal, state, tribal, and local government licensing, bonding, training, certification and continuing training recertification requirements.

(vi) You must get certification from the Single state Agency or tribal agency with drug abuse and dependency programs coordination responsibilities so that your proposed program is consistent with the tribal plan; and that the service(s) meets all Federal, state, tribal and local government medical licensing, training, bonding, and certification requirements.

(vii) Funding is permitted for drug treatment of tribal residents at local in-patient medical treatment programs and facilities. IHDEP funding for structured in-patient drug treatment using IHDEP funds is limited to 60 days, and structured drug out-patient treatment, which includes individual/family aftercare, is limited to 6 months. If you are undertaking drug treatment programs, your proposal must demonstrate how individuals who complete drug treatment will be provided employment training, education and employment opportunities.

(viii) Funding is permitted for detoxification procedures designed to reduce or eliminate the short-term presence of toxic substances in the body tissues of a patient.

(ix) Funding is not permitted for maintenance drug programs. Maintenance drugs are medications that are prescribed regularly for a short/long period of supportive therapy (e.g. methadone maintenance), rather than for immediate control of a disorder.

(3) *Resident Management Corporations (RMCs) and Resident Organizations (ROs) Programs.* RMCs, and incorporated ROs, may be a subcontractor to their tribe/TDHE, to develop security and drug abuse prevention programs for residents. Such programs may include voluntary tenant patrol activities, drug abuse education, intervention, and referral programs, youth programs, and outreach efforts. The elimination of drug-related crime within the tribal community must have the active involvement and commitment of tribal residents and their organizations.

To enhance the ability of tribes/TDHEs, to combat drug-related crime within their developments, RMCs, and ROs may undertake program management functions as subcontractors. Sub-contracts with the RMC/RO must comply with the requirements of 24 CFR 85.36 and 37 and include the amount of funding, applicable terms, conditions, financial controls, payment mechanism schedule, performance and financial report requirements, special conditions, including sanctions for violating the agreement, and monitoring

requirements. Costs must not be incurred by the RMC or RO until a written contract is executed.

(4) *Employment of Security Personnel.* You may employ security personnel to provide services in and around your affordable housing developments. Contracts for security personnel must be awarded on a competitive basis. Security personnel must perform services that are over and above those usually performed by local municipal law enforcement agencies on a routine basis. Eligible services may include patrolling inside buildings, providing personnel services at building entrances to check for proper identification or patrolling and checking car parking lots for appropriate parking decals. You are encouraged to involve police officials residing in tribal housing to partake in IHDEP security-related programs. The following specific requirements apply to all employment of security personnel activities funded under IHDEP:

(a) *Compliance.* Security guard personnel must demonstrate compliance with all relevant Federal, state, tribal or local government insurance, licensing, certification, training, bonding, or other law enforcement requirements.

(b) *Law Enforcement Service Agreement.* You (the applicant), the local law enforcement agency, and if relevant, the contract provider of security personnel services, must enter into a law enforcement service agreement with the local law enforcement agency. Your service agreement must be submitted with your IHDEP application and include:

(i) The activities security guard personnel will perform; the scope of authority; written policies, procedures, and practices that will govern security personnel performance (i.e., a policy manual and how security guard personnel will coordinate activities with your local law enforcement agency);

(ii) The types of activities that your approved security guard personnel are expressly prohibited from undertaking.

(c) *Policy Manual.* Security guard personnel services must be guided by a policy manual that directs the activities of its personnel and contains the policies, procedures, and general orders that regulate conduct and describes in detail how jobs are to be performed. The policy manual must exist before you undertake this activity.

(d) *Data Management.* A daily activity and incident complaint form approved by the tribe/TDHE must be used by security personnel and officers for the collection and analysis of criminal incidents and responses to service calls. Security guard personnel and tribal police departments must establish and

maintain a system of records management for the daily activity and incident complaint forms that appropriately ensures the confidentiality of personal criminal information. Management Information Systems (MIS) (computers, software, and associated equipment) are eligible costs that the tribe/TDHE may include in support of collection and analysis activities.

(e) *Employment of Residents.* HUD encourages you to employ qualified resident(s) as security guard personnel, and/or to contract with security guard personnel firms that demonstrate a program to employ qualified residents as security guard personnel.

(5) *Reimbursement of Local Law Enforcement Agencies for Additional Security and Protective Services (Supplemental—Over and Above Local Law Enforcement Baseline Services).* Additional security and protective services are permitted if services are over and above the local police department's current level of baseline services. Tribes/TDHEs are required to identify the level of local law enforcement services they are required to receive and the increased level of services to be received in their local Cooperation Agreement. Local police department baseline services are defined as ordinary and routine services, including patrols, police officer responses to 911 communications and other calls for service, and investigative follow-up of criminal activity, provided to residents as a part of the overall deployment of police resources by the local jurisdiction in which the tribe/TDHE is located.

(a) If you are an applicant seeking funding for this activity, you must describe the current level of local law enforcement agency baseline services being provided to the affordable housing development(s) proposed for assistance. Local law enforcement baseline services are defined as ordinary and routine services provided to the residents as part of the overall city and/or county wide deployment of police resources to respond to crime and other public safety incidents including: 911 communications, processing calls for service, routine patrol officer responses to calls for service and investigative follow-up of criminal activity.

(b) Tribal police departments funded under this program that are not employing a community policing concept but would like to establish such a program for affordable housing developments must submit a plan and timetable for the implementation of community policing. Community policing has a variety of definitions; however, for the purposes of this

program, it is defined as a method of providing law enforcement services that stresses a partnership among residents, police, schools, churches, government services, the private sector and other local, state, tribal and Federal law enforcement agencies to prevent crime and improve the quality of life by addressing the conditions and problems that lead to crime and fear of crime. Community policing uses proactive measures including foot patrols, bicycle patrols and motor scooter patrols. It also includes activities where police officers operate out of police mini-stations and other community based facilities in tribal communities providing human resource activities with youth and citizen contacts. This concept empowers police officers at the beat and zone level and residents in neighborhoods to: reduce crime and fear of crime; ensure the maintenance of order; provide referrals of residents, victims and homeless persons to social services and government agencies; ensure feedback of police actions to victims of crime; and promote a law enforcement value system based on the needs and rights of residents.

(6) *Employment of Investigators.* Employment of, and equipment for, one or more individuals to investigate drug-related crime "in and around" the real property comprising your affordable housing development(s) for which the application is submitted and providing evidence relating to such crime in any administrative or judicial proceedings is permitted. Under this section, reimbursable costs associated with the investigation of drug-related crimes (e.g., travel directly related to the investigator's activities, or costs associated with the investigator's testimony at judicial or administrative proceedings) may only be those directly incurred by the investigator.

(a) If you are a tribe/TDHE that employs investigators funded by this program, you must demonstrate compliance with all relevant Federal, tribal, state or local government insurance, licensing, certification, training, bonding, or other similar law enforcement requirements.

(b) Both you and the provider of the investigative services are required to execute a written agreement that describes the following:

(i) The activities that your investigators will perform, their scope of authority, reports to be completed, established investigative policies, procedures, and practices that will govern their performance (i.e., a Policy Manual) and how your investigators will coordinate their activities with local, state, tribal, and Federal law



enforcement agencies; and prohibited activities.

(ii) The activities the tribal investigators are expressly prohibited from undertaking.

(c) Your investigator(s) may use IHDEP funds to purchase or lease any law enforcement clothing or equipment, such as uniforms, ammunition, firearms/weapons, or vehicles; including cars, vans, buses, protective vests, and any other supportive equipment.

(d) Your investigator(s) shall report on drug-related crime in your developments. You must establish, implement and maintain a system of records management that ensures confidentiality of criminal records and information. Tribal-approved activity forms must be used for collection, analysis and reporting of activities by your investigators. You are encouraged to develop and use Management Information Systems (MIS) (computers, software, hardware, and associated equipment) and hire management personnel for crime and workload reporting in support of your crime prevention and security activities.

(7) *Voluntary Tenant Patrols.* Members of tenant patrols must be volunteers and must be residents of the affordable housing development(s). Volunteers must have extensive background investigations to ensure there are no outstanding warrants or arrest records for past crimes, especially crimes against children. Voluntary tenant patrols are expected to patrol in and around your affordable housing development(s) proposed for assistance, and to report illegal activities to appropriate local, state, tribal, and Federal law enforcement agencies, as appropriate.

(a) Training equipment, including uniforms for use by voluntary tenant patrols acting in cooperation with officials of local law enforcement agencies is permitted. All costs must be reasonable, necessary and justified. Bicycles, motor scooters, all-season uniforms, communications equipment and associated equipment to be used, exclusively, by the members of your voluntary tenant patrol are eligible items. Voluntary tenant patrol uniforms and equipment must be identified with your specific tribal development(s) identification and markings.

(b) Tribes/TDHEs are required to obtain liability insurance to protect themselves and the members of the voluntary tenant patrol against potential liability for the activities of the patrol under this program. The cost of this insurance is eligible.

(c) Before expending grant funds for voluntary tenant patrol activities, you, your local law enforcement agency, and the tenant patrol are required to execute a written agreement that includes:

(i) The nature of the activities to be performed by your voluntary tenant patrol, the patrol's scope of authority, assignment, policies, procedures, and practices that will govern the voluntary tenant patrol's performance and how the patrol will coordinate its activities with the law enforcement agency;

(ii) The activities the voluntary tenant patrol is expressly prohibited from undertaking including the carrying or use of firearms, weapons, nightsticks, clubs, handcuffs, or mace;

(iii) Required initial and on-going voluntary tenant patrol training members will receive from the local law enforcement agency; (please note that training by HUD-approved trainers and/or the local law enforcement agency is required before putting a voluntary tenant patrol into effect); and

(iv) That voluntary tenant patrol members will be subject to individual or collective liability for any actions undertaken outside the scope of their authority (described in paragraph (ii) above) and that such acts are not covered under your housing authority liability insurance.

(d) IHDEP grant funds *must not* be used for any type of financial compensation, such as full-time wages or salaries for voluntary tenant and/or patrol participants. Funding for tribe/TDHE personnel or resident(s) to be hired to coordinate this activity is permitted. Excessive staffing is not permitted.

(D) *Ineligible Activities.* IHDEP funding *is not permitted* for any of the activities listed below.

(1) Costs incurred before the effective date of your grant agreement (Form HUD-1044), including, but not limited to, consultant fees related to the development of your application or the actual writing of your application.

(2) The purchase of controlled substances for any purpose. Controlled substance shall have the meaning provided in section 102 of the Controlled Substance Act (21 U.S.C. 802).

(3) Compensation of informants, including confidential informants. These should be part of the baseline services provided and budgeted by local law enforcement agencies.

(4) Direct purchase or lease of clothing or equipment, vehicles (including cars, vans, and buses), uniforms, ammunition, firearms/weapons, protective vests, and any other supportive equipment for use in law

enforcement or military enforcement except for eligible tribal police department, voluntary tenant patrols and investigator activities listed in this NOFA.

(5) Construction of facility space in a building or unit, and the costs of retrofitting/modifying existing buildings owned by the tribe/TDHE for purposes other than: community policing mini-station operations, adult/youth education, employment training facilities, and drug abuse treatment activities.

(6) Organized fund raising, advertising, financial campaigns, endowment drives, solicitation of gifts and bequests, rallies, marches, community celebrations, stipends and similar expenses.

(7) Court costs and attorneys fees related to screening or evicting residents for drug-related crime.

(8) Transfer of IHDEP grant funds to any Federal agency.

(9) Costs to establish resident associations, resident organizations, and resident corporations.

(10) Indirect costs.

(11) The supplanting of existing positions/activities. For purposes of the IHDEP, supplanting is defined as "taking the place of or to supersede".

(12) Alcohol-exclusive activities and programs *are not eligible* for funding under this NOFA, although activities and programs may address situations of multiple abuse involving controlled substances and alcohol.

(13) Physical improvements that involve demolishing any units in a development or that would displace persons.

(14) Acquiring real property.

#### IV. Program Requirements

The following requirements apply to IHDEP funding:

(A) *Grant Award Amounts.* HUD is distributing grant funds for the IHDEP under this NOFA on a national competition basis. The maximum grant award amounts are computed for IHDEP on a sliding scale, using an overall maximum cap, depending upon the number of tribe/TDHE units eligible for funding. This figure (number of eligible units for funding) will determine the grant amount that the tribe/TDHE is eligible to receive if they meet the IHDEP criteria and score a minimum of 70 out of 100 points.

(1) *Amount per unit.* (a) For tribes/TDHEs with 1–1,250 units: The maximum grant award cap is \$300 multiplied by the number of eligible units. (b) For tribes/TDHEs with 1,251 or more units: The maximum grant award cap is \$260 multiplied by the

number of eligible units; up to, but not to exceed, a maximum grant award of \$1.5 million dollars.

(2) *Units counted.* (a) The unit count includes rental, Turnkey III and Mutual Help Homeownership units which have not been conveyed to a homebuyer, and Section 23 lease housing bond-financed projects. Such units must be counted as Formula Current Assisted Stock under the Indian Housing Block Grant Program for FY 2001.

(b) Eligible units are those units which are under management and fully developed.

(c) Use the number of units counted as Formula Current Assisted Stock for Fiscal Year 2001 as defined in 24 CFR 1000.316 and which is included in the FY 2001 IHDEP application kit. Please verify your Formula Current Assisted Stock figures with your local AONAP for accuracy.

(d) Affordable housing units that are developed or assisted under NAHASDA are not included in the unit count outlined above, however, they are eligible to receive assistance under the IHDEP.

(e) If it is determined that the unit count listed in your application is incorrect compared to the unit count listed in the application kit and your application is eligible for funding, you will be awarded the funding amount for which you are eligible based on the FCAS list or the amount requested in your application, whichever is less.

(B) *Complying with Civil Rights Requirements.* To protect and insure the civil rights of occupants of HUD-sponsored housing and residents around that housing, your proposed strategies should ensure that you do not undertake crime-fighting and drug prevention activities that violate civil rights and fair housing statutes. You may not use race, color, sex, religion, national origin, disability or familial status to profile persons as suspects or otherwise target them in conducting these activities. You are encouraged to involve as many segments of your intended population as possible in developing and implementing your strategies.

(C) *Confidentiality of Records Requirements.* You must establish a confidentiality policy regarding medical and disability-related information for programs involving prevention, intervention, or drug abuse/dependency treatment and aftercare.

(D) *Term of Grant.* Your grant funds must be expended within 24 months after HUD executes a Grant Agreement. The AONAP may grant a one-time extension of 6 months at the end of the grant term in accordance with the requirements of HUD Handbook 7490.1.

However, if no extension is requested and granted and the grants funds are not expended within 24 months after execution of the Grant Agreement, the funds will be recaptured by the Department.

(E) *Reports and Close-out.* (1) In accordance with 24 CFR 761.35, if funded, you are required to submit semi-annually an IHDEP Semi-Annual Performance Report and the Semi-Annual Financial Status Report (SF-269A) to the appropriate HUD AONAP. These IHDEP Semi-Annual Performance Reports shall cover the periods ending June 30 and December 31, and must be submitted to HUD by July 30 and January 31 of each year. The grantee must also comply with additional reporting requirements to be outlined in the near future which will include an annual survey of residents and additional supporting documentation for the semi-annual report including the close-out report.

(2) At grant completion, you must comply with the close-out requirements described in Public Housing Notice PIH 98-60(HA), entitled "Grant Close-out Procedures," and by the end of the grant term, return unexpended grant funds to the Department, according to applicable requirements.

(F) *Federal Labor Standards.* When grant funds are used to undertake physical improvements to increase security, Federal Labor standards set out in 24 CFR 761.40 apply.

## V. Application Selection Process

### (A) *Rating and Ranking.*

*General.* HUD will rate and rank applications based on the 5 rating factors listed in Section V.(B) of this NOFA, below. HUD will select and fund the highest ranking applications based on total score, and will continue the process until all funds allocated to it have been awarded or to the point where there are insufficient acceptable applications to award funds. The maximum number of points for this program is 100.

*Tiebreakers.* In the event of a tie, HUD will select the highest ranking application that can be fully funded. In the event that two eligible applications receive the same score, and both cannot be funded because of insufficient funds, the applicant with the highest score in rating factor two will be funded. If rating factor two is scored identically, the scores in rating factors one and four will be compared in that order, until one of the applications receives a higher score. If both applications still score the same then the application which requests the least funding will be

selected in order to promote the more efficient use of resources.

*Pre-award requirements.* If there are technical deficiencies in successful applications, you must satisfactorily address these deficiencies before we can make a grant award. Please see Section VIII. Correction of Technical Deficiencies of this NOFA for a definition of such a deficiency and a description of the process to address and correct the deficiency. You must correct all technical deficiencies within the time frame established by HUD. If they are not corrected, we will not make the grant award and will reject your application.

(B) *Factors For Award to Evaluate and Rank Applications.* Your application must address the five (5) factors, and sub-factors listed below. If your application does not address each of the five factors and sub-factors, it will not be scored and will be considered ineligible for funding. The maximum number of points for this program is 100. Your application must receive a score of at least 70 points to be eligible for funding.

### Rating Factor 1: Capacity of the Applicant and Relevant Organizational Experience (30 Points)

This factor addresses the extent to which you have the capacity, the proper organizational experience and resources to implement the proposed activities in a timely and effective manner. The rating of the "applicant" or the "applicant's organization and staff" for technical merit, unless otherwise specified, includes any subcontractors, consultants, sub-recipients, and members of consortia which are firmly committed to your project. Your application must include descriptive evidence demonstrating that you possess managerial, technical and/or administrative capability necessary to carry out the proposed project. Your application must address who will administer the project and how you plan to handle all aspects of executing the project. Your application must describe the experience and expertise of existing staff in the implementation of the specific activity for which you are applying and what responsibilities they will have in project implementation, as well as those aspects of project implementation that will be contracted to outside entities. If any subcontractors, consultants, sub-recipients and/or members of consortia are used, your application must demonstrate that the entity is firmly committed to the project. If applicable, past performance in administering previous IHDEP grants will be taken into consideration. In

rating this factor, HUD will consider the following:

(15 points) The knowledge and experience of your staff and your administrative capability to manage grants of this size and type. This includes your administrative support and procurement entities, defined organizational lines of authority, and demonstrated fiscal management capacity.

(15 points) Past performance in administering Drug Elimination grants during the last three (3) years. If you do not have any open IHDEP grants, then you will receive 15 points under this sub-factor unless there are unresolved findings and/or outstanding recommendations from prior HUD reviews or audits undertaken by HUD, the Office of Inspector General, the General Accounting Office or independent public accountants. The extent of any findings or recommendations will then be considered. If you have an open IHDEP grant from FY 1998 or a prior year, you will receive 0 points for this sub-factor.

For tribes/TDHEs that had previously applied as IHAs under the Drug Elimination Program, HUD will consider any open grants for which the tribe/TDHE has the responsibility to administer.

#### **Rating Factor 2: Need/Extent of the Problem (25 Points)**

This factor examines the extent to which there is a need for funding the proposed program activities to address a documented problem in your proposed target area (i.e., the degree of the severity of the drug-related crime problem in the project proposed for funding). In responding to this factor, you will be evaluated on: (1) The extent to which a critical level of need for your proposed activities is explained; and (2) the urgency of meeting the need in the target area. You must include in your response a description of the extent and nature of drug-related crime "in or around" the housing units or developments proposed for funding.

Applicants will be evaluated on the following:

(1) (15 Points) *Data Supporting the extent of Drug and Drug-related Crime.* You must identify and include supporting data indicating the extent of drugs and drug-related crime problems in the developments proposed for assistance under your program. HUD will consider the extent and quality of the data provided. Examples of the data that should be included in your application include:

(a) Surveys of residents and staff in your targeted developments about drugs

and drug-related crime or on-site reviews to determine drug/crime activity;

(b) Government or scholarly studies or other research in the past year that analyze drug-related crime activity in your targeted developments.

(c) Annual vandalism cost at your targeted developments, to include elevator vandalism (where appropriate) and other vandalism attributable to drug-related crime as a ratio to total annual approved budget for the targeted developments.

(d) Information from schools, health service providers, residents and Federal, state, local, and tribal officials, and the verifiable opinions and observations of individuals having direct knowledge of drug-related crime and the nature and frequency of these problems in developments proposed for assistance. (These individuals may include Federal, state, tribal, and local government law enforcement officials, resident or community leaders, school officials, community medical officials, drug abuse, treatment (dependency/remission) or counseling professionals, or other social service providers).

(e) The school dropout rate and level of absenteeism for youth that you can relate to drug-related crime as a percentage or ratio of the rate outside the area.

(f) The number of lease terminations or evictions for drug-related crime at the targeted developments; and

(g) The number of emergency room admissions for drug use or that result from drug-related crime. Such information may be obtained from police departments and/or fire departments, emergency medical service agencies and hospitals.

(h) The number of police calls for service from tribe/TDHE developments that include resident initiated calls, officer-initiated calls, domestic violence calls, drug distribution complaints, found drug paraphernalia, gang activity, graffiti that reflects drugs or gang-related activity, vandalism, drug arrests, and abandoned vehicles.

(2) (10 points) *Objective Crime Data* relevant to your target area. To the extent that you can provide objective drug-related crime data specific to the community or targeted development proposed for funding, you will be awarded up to 10 points or up to a total of 5 points if substantial information is provided as to why Objective Crime Data could not be obtained. Objective Crime Data must include the most current and specific local law enforcement crime statistics or Part I Crime data and relevant Part II Crime data available from the FBI's Uniform

Crime Reporting Program (UCR) system. Part I Crimes include: homicide; rape; robbery; aggravated assault; burglary; larceny; auto theft; and arson. Part II drug-related crimes include: drug abuse violations; simple assault; vandalism; and weapons violations. You may also detail other crimes which you are proposing to be targeted as part of your grant. In assessing this sub-factor, HUD will consider the extent to which you provide specific statistical data (records, not anecdotes) and the data's specificity to the targeted sites (e.g., data specific to those targeted developments proposed for funding by crime type versus tribal/TDHE-community or county-wide data by aggregated crimes).

The objective crime data provided in your application will become a "baseline" tracking future changes in your crime rate.

If you did not provide objective crime data, please provide information as to why objective crime data could not be obtained; the efforts being made to obtain it; what efforts will be made during the grant period to begin obtaining the data; and an explanation of how you plan to measure how grant activities will result in reducing drug-related crime in the targeted developments and what will be used as a baseline.

#### **Rating Factor 3: Soundness of Approach—(Quality of the Plan) (25 Points)**

This factor examines the quality and effectiveness of your proposed work plan. In rating this factor, HUD will consider the impact of your activities on the drug and drug-related crime problems identified in Factor 2, as appropriate, and the extent to which you identify attainable goals, objectives, and performance measures to ensure that tangible benefits can be attained by the community and by your target population.

Your application must include a detailed narrative describing: each proposed activity for your developments proposed for assistance; the amount and extent of resources committed to each activity or service proposed; measurable goals and objectives for all major program activities that focus on outcome and results; and the process used to collect the data needed to report progress made against these goals.

In evaluating this factor, HUD will consider the following:

(1) (5 points) The strength of your plan to address the drug-related crime problem, and the problems associated with drug-related crime in your developments proposed for funding, the resources allocated, and how well the

proposed activities fit with the plan: The rationale for your proposed activities and methods used including written, descriptive evidence that proposed activities have been effective in similar circumstances in controlling drug-related crime in your community.

(2) The extent to which you have:

(a) (5 points) Stated performance goals that will measure program outcomes and designed your major activities to meet stated, measurable goals and objectives for drug and drug-related crime reduction. Outcomes include accomplishments, results, impact and the ultimate effects of your program on the drug or crime problem in your target/project area. The goals must be objective, quantifiable, and/or qualitative and they must be stated in such a way that at the end of the 24 month grant, one can determine if the activities were effective. Specific crime reduction goals must be measurable. For example, "eliminating or reducing crime and drug-related crime" is not a specific nor measurable goal, whereas a goal of "reducing inhalant sniffing etc. by 5% in development X by the end of the 24 month grant period based on measurements against the baseline year crime selection rate in the targeted development X as stated in the application" is specific and measurable.

(b) (5 points) Provided the actual baseline data which will establish a starting point against which program outcomes will be measured and stated expected results for all major grant activities proposed in your application. For example: "The total population in community X is 1,400, we have 400 family units, 80% of the residents are under 18 years of age, total drug arrests is 23, there is still a lot of violence in our community and we want more programs to give youth positive influences. We conducted a resident survey in 1999 and 100 residents responded. Approximately 75% of the residents had the following concerns \* \* \*

(c) (5 points) Discussed what performance measurement system exists for providing information to HUD semi-annually on progress made in achieving the established outcome goals. For example: "Unit of measure: Number of youth participants with a 2.0 through a 2.9 grade-point average (GPA). Community X met with residents to establish a mentoring/tutoring program to assist youth. The following performance indicators/outcomes measures was developed: 90% of participants maintain a C average. The following types of records were maintained during the course of the year: compilation of all GPAs for all

participants, semi-annual summary of GPAs for each participant based on school report cards, monthly reports which included number of mentor/tutor hours, number of participants for each session, and pre-post test results for each participant."

(3) (5 points) Provide evidence of existing youth programs and activities in your community that reduce drug abuse among youth, aftercare services for youth involved in the juvenile justice system, social services for children with emotional and behavioral problems, programs to reduce delinquency and gang participation, programs that improve academic performance and reduce the dropout rate through the use of mentors, drug and alcohol education activities, conflict resolution and counseling sessions/programs that have been implemented.

#### **Rating Factor 4: Leveraging Resources (10 Points)**

This factor addresses your ability to secure community and government resources that can be combined with HUD's program resources to achieve program purposes.

In assessing this factor, HUD will consider the following:

(1) (5 points) Written evidence of firm commitment of funding, staff, or in-kind resources, partnership agreements, and on-going or planned cooperative efforts with law enforcement agencies, local, state, tribal or national entities who have committed services through a memorandum of understanding (MOU), or memorandum of agreements (MOA) to participate. Such commitments must be signed by an official of the organization legally able to make commitments for the organization. This evidence of commitment must include organization name, resources, and responsibilities of each participant to increase the effectiveness of the proposed program activities. The signed, written agreement may be contingent upon an applicant receiving a grant award. Commitments can include interagency activities already undertaken, participation in local, state, tribal or Federal anti-drug related crime efforts such as: education, training and employment provision components of Welfare Reform efforts which may include descriptions of tribal TANF plans and participation in Native Employment Works (NEW) program, or any of the following programs administered by the Department of Justice such as Operation Weed and Seed, Community Oriented Policing Services Tribal Resources Grant Program (COPS), Indian Tribal Courts, Drug-Free Communities Support

Program, Tribal Youth Program, Safe Start Initiative, STOP Violence Against Indian Women Discretionary Grants and Mental Health and Juvenile Justice Program.

(2) (5 points) Successful coordination of your law enforcement, or other activities with local, state, Tribal or Federal law enforcement agencies to foster meaningful collaborations and strengthen community anti-drug coalition efforts to reduce drug abuse among youth and adults and actions implemented to eradicate violent crime.

Letters from various agencies supporting the submission of your IHDEP application do not constitute a letter for firm commitment. Firm commitment must be demonstrated by these agencies with exact details of the partnership between the applicant and the agency and/or provides a commitment that specifically states the amount of funding, number of staff committed and their roles and responsibilities and a description of in-kind resources available for this project.

#### **Rating Factor 5: Comprehensiveness and Coordination (10 Points)**

This factor addresses the extent to which you have coordinated your activities with other known organizations and are working towards addressing a need in a holistic and comprehensive manner through linkages with other activities in your community. In evaluating this factor, HUD will consider the extent to which you can demonstrate you have:

(1) (5 points) Coordinated your proposed activities with those of other groups or organizations prior to submission in order to best complement, support and address the needs of your community as identified in Rating Factor 2: Need/Extent of the Problem. Any firm written agreements, MOUs/MOAs in place, or that will be in place after award should be described and/or included.

(2) (2 points) Taken specific steps to become active in your community's Indian Housing Block Grant process by providing written evidence that you have addressed crime prevention and safety issues, and that your proposed activities reflect the priorities, needs, goals or objectives of crime prevention and safety in the Indian Housing Plan (IHP). Written evidence could include a copy of your tribe's Crime Prevention and Safety Plan that is included in your Indian Housing Plan (IHP) or a written statement or letter from the tribal official stating that the FY 2001 crime prevention and safety activities will be implemented in coordination with activities outlined in the IHP.

(3) (1 point) Taken specific steps to develop linkages to coordinate comprehensive solutions through meetings, information networks, planning processes or other mechanisms with:

(a) Other HUD-funded projects/activities outside the scope of those covered by the IHP; and other Federal, state, tribal, or locally funded activities, including those proposed, or on-going that will sustain a comprehensive system to address the needs of your community.

(4) (1 point) Your application must describe what role residents in your targeted developments, applicable community leaders and organizations and law enforcement agencies have had in planning the activities described in your application, what role they will have in implementing such activities for the duration of your grant and how services may be sustained beyond the grant term.

(5) (1 point) Your application must include a discussion and written evidence (i.e. comments from residents, minutes from community meetings) of the extent to which community representatives and tribal, local, state and Federal Government officials, including law enforcement agency officials were actively involved in the design and implementation of your plan and will continue to be involved in implementing such activities during and after the period of your IHDEP funding.

#### **VI. Application Submission Requirements**

(A) You must submit the required IHDEP FY 2001 Application Kit that contains all of the requisite forms in order to be considered for IHDEP funding. Your application submitted to HUD must also include items required under Section V. Application Selection Process, of this NOFA, including the plan to address the problem of drug-related crime in the developments proposed for funding. Please note that the address for submitting applications has changed. Please see the Program Overview, Section I., regarding the address for submitting applications.

(B) You must submit no more than one application per tribe or TDHE on behalf of the tribe for the IHDEP. An application from a tribe will supersede an application from a TDHE on behalf of that tribe. In addition, joint applications that include more than one TDHE representing the tribe are permitted only in those cases where they have a single administration (such as a TDHE managing several tribes under contract or TDHEs sharing a common executive director). In those

cases, a separate budget, plan and timetable, and unit count shall be supplied in the application. In addition, you must respond to the factors for award for each tribe/TDHE for which you are acting as administrator and requesting funds, if your responses would be different (e.g., the tribes are in different jurisdictions and, therefore, the Indian Housing Plans, crime data, etc. would all be different). The application kit includes the forms, certifications and assurances required under this NOFA.

(C) To be considered eligible for review and scoring, each IHDEP application must include the following items:

(1) An application cover letter.

(2) A summary of the proposed program activities in five (5) sentences or less on the Congressional Notification Form.

(3) A list of the sub-grantees, if applicable. The list must include the names of the sub-grantees, as well as the relative roles and contributions of each sub-grantee in implementing the IHDEP grant activities (Factor 1).

(4) An overall budget and timetable that includes separate budgets, goals, and timetables for each activity, and addresses milestones towards achieving each described goal. You must also describe the contributions and implementation responsibilities of each partner for each activity, goal, and milestone.

(5) A description of the number of staff, the titles, professional qualifications, and respective roles of the staff assigned full or part-time to grant implementation. (Factor 1)

(6) Lines of accountability (including an organization chart) for implementing the grant activity, coordinating the partnership, and assuring that the commitment made by you and your sub-grantees, if any, will be met. (Rating Factor 1)

(7) A list of open IHDEP grants, the grant amount and amount expended as of the date of this application.

(8) A narrative of the plan that will address the problem of drug-related crime in the developments proposed for funding. (Rating Factor 3)

(9) Narrative responses to each of the five Rating Factors and their sub-factors in this NOFA: (1) Capacity of the Applicant and relevant organizational Experience, (2) Need/Extent of the Problem, (3) Soundness of Approach, (4) Leveraging Resources and (5) Comprehensiveness and Coordination.

(10) You must also include the following forms which are included in the FY 2001 IHDEP Application Kit: FY 2001 IHDEP Applicant Data Input Form,

Application Cover Letter, Project Summary—Congressional Notification Information Sheet, Implementation Schedule, Standard Form—424, Application for Federal Assistance, Form HUD 424—M, Federal Assistance Funding Matrix, Standard Form—424A, Budget Information (non-construction programs), Standard Form—424B, Assurances (non-construction programs), FY 2001 IHDEP Summary Budget Information, Form HUD—2880, Applicant/Recipient Disclosure/Update Report, Form HUD—50070, Drug-Free Workplace Certification, Form HUD—50071, Lobbying Certification, SF—LLL Disclosure of Lobbying Activities Certification, Form HUD—2992, Certification of Debarment and Suspension, Certification of Consistency with the Indian Housing Plan, and Certification of Resident Management Corporations, Resident Organizations and Residents Acknowledgment of Application Receipt.

#### **VII. Clarifying Information**

After the application due date, HUD may not, consistent with its regulations in 24 CFR part 4, subpart B, consider any unsolicited information you, the applicant, may want to provide. HUD may contact you, however, to clarify an item in your application. You should note, however, that HUD may not seek clarification of items or responses that improve the substantive quality of your response to any selection factors. HUD will make any requests for clarifying information in writing and will specify the item, or items, that need clarification and a time frame for response. Failure on your part to provide such requested information will result in the rejection of the application.

#### **VIII. Correction of Technical Deficiencies**

The General Section of the SuperNOFA provides the procedures for corrections to deficient applications. Additionally, please note that as indicated under Section V.(A)(3), only successful applicants will be required to address technical deficiencies and this must be done before we make a grant award. If you do not provide the information necessary to address the deficiency within the time allowed, we will not award you the grant and will reject your application. The AONAP will notify you in writing and will describe the technical deficiency, what

must be done to correct it, and the date by which you must submit this information. The AONAP will notify you by facsimile or by return receipt requested. Your response must be submitted (postmarked) within 14 calendar days from the date of receipt of the HUD notification.

#### **IX. Error and Appeals**

Judgments made within the provisions of this NOFA and the program regulations (24 CFR 761) are not subject to claims of error. You may bring arithmetic errors in the rating and ranking of applications to the attention of the AONAP Administrator within 30 days of being informed of your score. If

an arithmetic error was made in the application review and rating process that, when corrected, would result in the award of sufficient points to warrant the funding of an otherwise approvable project, the AONAP may fund that project in the next funding round without further competition.

#### **X. Environmental Requirements**

Certain eligible activities under this IHDEP NOFA are categorically excluded from review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321) and are not subject to review under related laws, in accordance with 24 CFR 50.19(b)(4), (b)(12), or (b)(13). If the IHDEP

application proposes the use of grant funds to assist any non-exempt activities, HUD will perform an environmental review to the extent required by 24 CFR part 50, prior to grant award.

#### **XI. Authority**

Chapter 2, Subtitle C, Title V of the Anti-Drug Abuse Act of 1988 (42 U.S.C. 11901 et seq.), as amended.

#### **Appendix A—Required Forms and Certifications**

The non-standard forms, which follow this checklist, are required for your application (the standards form follow the General Section).

**BILLING CODE 4210-32-P**

## **Appendix A**

### **Required Forms and Certifications**

- ☐ Applicant Data Input Form
- ☐ Application Cover Letter
- ☐ Project Summary, Congressional Notification Information Sheet
- ☐ Implementation Schedule
- ☐ Standard Form-424, Application for Federal Assistance
- ☐ Form HUD-424M, Federal Funding Matrix
- ☐ Standard Form-424A, Budget Information (non-construction programs), with Activity Budget Narrative/and supporting documentation
- ☐ Standard Form-424B, Assurances (non-construction programs)
- ☐ FY 2001 Summary Budget Information (Budget Line Items)
- ☐ Form HUD-2880, Applicant/Recipient Disclosure/Update Report
- ☐ Form HUD-50070 Drug-Free Workplace Certification
- ☐ Form HUD-50071 Certification of Payments to Influence Federal Transactions (Lobbying Certification)
- ☐ Standard Form-LLL, Disclosure of Lobbying Activities Certification
- ☐ Form HUD-2992 Certification of Debarment and Suspension
- ☐ Form HUD-2994 You are our Client!
- ☐ Certification of Consistency with the Indian Housing Plan
- ☐ Certification of Resident Management Corporations, Resident Organizations, and Residents
- ☐ Acknowledgement of Application Receipt

## FY 2001 IHDEP Applicant Data Input Form

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Application control number \_\_\_\_\_ / \_\_\_\_\_ (Tribe, or TDHE No.)

IRS Employer Identification Number (EIN) \_\_\_\_\_ (from Item 6. of the SF-424)

Tribe, or TDHE Name \_\_\_\_\_

HUD Area Office \_\_\_\_\_ State \_\_\_\_\_

Tribe, or TDHE Executive Director \_\_\_\_\_ Phone \_\_\_\_\_

Tribe, or TDHE Grant Coordinator \_\_\_\_\_ Phone \_\_\_\_\_

List the names of the specific developments targeted for assistance under this grant.  
(Attach additional pages if more space is needed.)

As per section IV(A) of the IHDEP Program Section of the SuperNOFA, provide the unit count(s).

UNITS	COUNT
1. Indian Housing	_____
2. Section 23 Leased Housing	_____
3. Turnkey III Homeownership	_____
4. Mutual Help Homeownership	_____
5. TOTAL UNIT COUNT	=====

Applicant must attach documentation verifying the unit count.

**Has the applicant confirmed the tribe, or TDHE unit count with the local HUD/AONAPs?**

Yes ☐

No ☐

Date \_\_\_\_\_

Name of Area Office representative that confirmed the unit count:

\_\_\_\_\_

Please be advised section IV(2)(e) of the Program Requirements states that if it is determined that the unit count listed in your application is incorrect compared to the unit count listed in the application kit and your application is eligible for funding, you will be awarded the funding amount for which you are eligible based on the FCAS list or the amount requested in your application, whichever is less.

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## FY 2001 IHDEP APPLICATION COVER LETTER

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The \_\_\_\_\_ (Tribe/TDHE)  
is applying for funding under the FY 2001 Indian Housing Drug Elimination Program.

HUD strongly advises that when you respond to each of the selective criterion and sub-criterion that you separate each criterion with tabs. For your IHDEP application to be rated, please make sure the response for each criterion is beneath the appropriate tab (heading). Keep the responses in the same order as the NOFA. HUD suggests you use the title pages provided in Appendix 3 to organize your application. Limit your narrative explanations to 200 words or less and provide the necessary data that supports the response.

**To be considered eligible for review and scoring**, I know this IHDEP application must also include the following forms and application kit requirements (please check off):

- \_\_\_\_\_ Applicant Data Input Form
  - \_\_\_\_\_ Application Cover Letter
  - \_\_\_\_\_ Project Summary, Congressional Notification Information Sheet
  - \_\_\_\_\_ Implementation Schedule
  - \_\_\_\_\_ Standard Form-424, Application for Federal Assistance
  - \_\_\_\_\_ Form HUD-424M, Federal Funding Matrix
  - \_\_\_\_\_ Standard Form-424A, Budget Information (non-construction programs), with Activity Budget Narrative/and supporting documentation
  - \_\_\_\_\_ Standard Form-424B, Assurances (non-construction programs)
  - \_\_\_\_\_ FY 2001 Summary Budget Information (Budget Line Items)
  - \_\_\_\_\_ Form HUD-2880, Applicant/Recipient Disclosure/Update Report
  - \_\_\_\_\_ Form HUD-50070 Drug-Free Workplace Certification
  - \_\_\_\_\_ Form HUD-50071 Certification of Payments to Influence Federal Transactions (Lobbying Certification)
  - \_\_\_\_\_ Standard Form-LLL, Disclosure of Lobbying Activities Certification
  - \_\_\_\_\_ Form HUD-2992 Certification of Debarment and Suspension
  - \_\_\_\_\_ Form HUD-2994 You are our Client!
  - \_\_\_\_\_ Certification of Consistency with the Indian Housing Plan
  - \_\_\_\_\_ Certification of Resident Management Corporations, Resident Organizations, and Residents
  - \_\_\_\_\_ Acknowledgement of Application Receipt
- \_\_\_\_\_ A list of the sub-grantees, if applicable. The list must include the names of the sub-grantees, as well as the relative roles and contributions of each sub-grantee in implementing the IHDEP grant activities (Factor 1).

- \_\_\_\_\_ An overall budget and timetable that includes separate budgets, goals, and timetables for each activity, and addresses milestones towards achieving each described goal. You must also describe the contributions and implementation responsibilities of each partner for each activity, goal, and milestone.
- \_\_\_\_\_ A description of the number of staff, the titles, professional qualifications, and respective roles of the staff assigned full or part-time to grant implementation. (Factor 1)
- \_\_\_\_\_ Lines of accountability (including an organization chart) for implementing the grant activity, coordinating the partnership, and assuring that the commitment made by you and your sub-grantees, if any, will be met. (Factor 1)
- \_\_\_\_\_ A list of any open IHDEP grants, the grant amount and amount expended as of the date of this application.
- \_\_\_\_\_ A narrative of the plan that will address the problem of drug-related crime in the developments proposed for funding. (Factor 3)
- \_\_\_\_\_ Narrative responses to each of the five Rating Factors and their sub-factors in this NOFA: (1) Capacity of the Applicant and relevant organizational Experience; (2) Need/Extent of the Problem; (3) Soundness of Approach; (4) Leveraging Resources; and (5) Comprehensiveness and Coordination.

**PROJECT SUMMARY  
CONGRESSIONAL NOTIFICATION  
INFORMATION SHEET  
FY 2001 IHDEP**

**U.S. DEPARTMENT OF HOUSING  
AND URBAN DEVELOPMENT**

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A narrative summary (one paragraph only) must be completed by each applicant. HUD will use this narrative for congressional notifications.

**PLEASE INCLUDE IN YOUR NARRATIVE:**

1. Components or elements of your comprehensive plan.
  2. Major activities and/or programs.
  3. Use specific names of targeted developments including location of developments.
  4. Identify population to be assisted and projected number of residents served (if applicable).
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NAME(S) OF CONGRESSIONAL REPRESENTATIVE(S)	CONGRESSIONAL DISTRICT
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____

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## FY 2001 IHDEP IMPLEMENTATION SCHEDULE

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### *Implementation Schedule*

Create an overall timetable and separate timetables for each of your proposed activities. Determine overall and individual activity goals. Develop milestones toward achieving each of these goals. Briefly describe the contributions and implementation responsibilities of each partner for each activity, goal, and milestone.

Use the forms provided. There is both an **Implementation Schedule: Overall** and an **Implementation Schedule: Activity \_\_\_\_**. Make as many additional copies of the individual activity implementation schedule as you have activities.

## FY 2001 IHDEP Implementation Schedule: Overall

Applicant Name: \_\_\_\_\_

[illegible]

**FY 2001 IHDEP**  
**Implementation Schedule: Activity \_\_\_\_\_** (refer to overall plan for activity #s)

Applicant Name: \_\_\_\_\_

[illegible]

# Application for Federal Assistance

OMB Approval No. 0348-0043

		2. Date Submitted (mm/dd/yyyy)	Applicant Identifier
<b>1. Type of Submission</b> <b>Application</b> <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction <b>Pre-application</b> <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		3. Date Received by State (mm/dd/yyyy)	State Application Identifier
		4. Date Received by Federal Agency (mm/dd/yyyy)	Federal Identifier
<b>5. Applicant Information</b>			
Legal Name		Organizational Unit	
Address (give city, county, State, and zip code)		Name and telephone number of the person to be contacted on matters involving this application (give area code)	
<b>6. Employer Identification Number (EIN) (xx-yyyymm)</b> <input type="text"/> — <input type="text"/>		<b>7. Type of Applicant</b> (enter appropriate letter in box) <input type="text"/> A. State B. County C. Municipal D. Township E. Interstate F. Inter-municipal G. Special District H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Nonprofit O. Public Housing Agency P. Other (Specify)	
<b>8. Type of Application:</b> <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es): <input type="text"/> <input type="text"/> A. Increase Award    B. Decrease Award    C. Increase Duration D. Decrease Duration    Other (specify)		<b>9. Name of Federal Agency</b>	
<b>10. Catalog of Federal Domestic Assistance Number (xx-yyy)</b> Title: <input type="text"/> — <input type="text"/>		<b>11. Descriptive Title of Applicant's Project</b>	
<b>12. Areas Affected by Project</b> (cities, counties, States, etc.)			
<b>13. Proposed Project</b> Start Date (mm/dd/yyyy)    Ending Date (mm/dd/yyyy)		<b>14. Congressional Districts of</b> a. Applicant b. Project	
<b>15. Estimated Funding</b> <div>Complete form HUD-424-M, Funding Matrix</div>		<b>16. Is Application Subject to Review by State Executive Order 12372 Process?</b> a. <b>Yes</b> This pre-application/application was made available to the State Executive Order 12372 Process for review on: Date (mm/dd/yyyy) _____ b. <b>No</b> <input type="checkbox"/> Program is not covered by E.O. 12372 <b>or</b> <input type="checkbox"/> Program has not been selected by State for review.	
		<b>17. Is the Applicant Delinquent on Any Federal Debt?</b> <input type="checkbox"/> Yes    If "Yes," attach an explanation <input type="checkbox"/> No	
<b>18. To the best of my knowledge and belief, all data in this application/pre-application are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached assurances if the assistance is awarded.</b>			
a. Typed Name of Authorized Representative		b. Title	c. Telephone Number (Include Area Code)
d. Signature of Authorized Representative		e. Date Signed (mm/dd/yyyy)	

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## Instructions for the SF-424

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Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043, Washington, DC 20503).

**Please do not return your completed form to the Office of Management and Budget.  
Send it to the address provided by the sponsoring agency .**

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This is a standard form used by applicants as a required face sheet for pre-applications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

- | Item | Entry  | Item | Entry   |
|------|--|------|---|
| 1.   | Self-explanatory.  | 12.  | List only the largest political entities affected (e.g., State, counties, cities).  |
| 2.   | Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).  | 13.  | Self-explanatory.   |
| 3.   | State use only (if applicable).  | 14.  | List the applicant's Congressional District and any District(s) affected by the program or project.   |
| 4.   | If this application is to continue or revise an existing award, enter present Federal identifier number. If for a new project, leave blank.  | 15.  | <b>Use form HUD-4243-M, Funding Matrix.</b> Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15. |
| 5.   | Legal name of applicant, name of primary organizational unit which will undertake the assistance activity, complete address of the applicant, and name and telephone number of the person to contact on matters related to this application.   | 16.  | Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process  |
| 6.   | Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.  | 17.  | This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.   |
| 7.   | Enter the appropriate letter in the space provided.  | 18.  | To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)   |
| 8.   | Check appropriate box and enter appropriate letter(s) in the space(s) provided:<br>– "New" means a new assistance award.<br>– "Continuation" means an extension for an additional funding budget period for a project with a projected completion date.<br>– "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. |      |   |
| 9.   | Name of Federal agency from which assistance is being requested with this application.   |      |   |
| 10.  | Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.  |      |   |
| 11.  | Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For pre-applications, use a separate sheet to provide a summary description of this project.   |      |   |



# Federal Assistance Funding Matrix

The applicant must provide the funding matrix shown below, listing each program for which Federal funding is being requested, and complete the certifications.

Program*	Applicant Share	Federal Share	State Share	Local	Other	Program Income	Total
<b>Grand Totals</b>							

\* For FHIPs, show both initiative and component

## Instructions for the HUD-424-M

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

This form is to be used by applicants requesting funding from the Department of Housing and Urban Development for application submissions for Federal assistance.

Enter the following information:

**Program:** The HUD funding program you are applying under.

**Applicant Share:** Enter the amount of funds or cash equivalent of in-kind contributions you are contributing to your project or program of activities.

**Federal Share:** Enter the amount of HUD funds you are requesting with your application.

**State Share:** Enter the amount of funds or cash equivalent of in-kind services the State is contributing to your project or program of activities.

**Local Share:** Enter the amount of funds or cash equivalent of in-kind services your local government is contributing to your project or program of activities.

**Other:** Enter the amount of other sources of private, non-profit, or other funds or cash equivalent of in-kind services being contributed to your project or program of activities.

**Program Income:** Enter the amount of program income you expect to generate and contribute to this program over the life of your award.

**Total:** Please total all columns and fill in the amounts.

# Budget Information — Non-Construction Programs

OMB Approval No. 0348-0044

Section A - Budget Summary						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. Totals		\$	\$	\$	\$	\$

  

Section B - Budget Categories					
6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1)	(2)	(3)	(4)	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual					
g. Construction					
h. Other					
i. Total Direct Charges (sum of 6a-6h)					
j. Indirect Charges					
k. Totals (sum of 6i and 6j)					
7. Program Income	\$	\$	\$	\$	\$

Section C - Non-Federal Resources				
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) Totals
8.	\$	\$	\$	\$
9.				
10.				
11.				
12. <b>Total</b> (sum of lines 8 - 11)	\$	\$	\$	\$

Section D - Forcasted Cash Needs					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$	\$	\$	\$	\$
14. Non-Federal					
15. <b>Total</b> (sum of lines 13 and 14)	\$	\$	\$	\$	\$

Section E - Budget Estimates of Federal Funds Needed for Balance of the Project				
(a) Grant Program	Future Funding Periods (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.	\$	\$	\$	\$
17.				
18.				
19.				
20. <b>Total</b> (sum of lines 16-19)	\$	\$	\$	\$

Section F - Other Budget Information	
21. Direct Charges	22. Indirect Charges
23. Remarks	

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## Instructions for the SF-424A

Public Reporting Burden for this collection of information is estimated to average 3.0 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Please do not return your completed form to the Office of Management and Budget; send it to the address provided by the sponsoring agency.

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### General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the later case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

### Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a **single** Federal grant program (Federal Domestic Assistance Catalog number) and **not requiring** a functional or activity breakdown, enter on Line 1 under Column (a) the catalog program title and the catalog number in Column (b).

For applications pertaining to a **single** program **requiring** budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the catalog program title on each line in **Column** (a) and the respective catalog number on each line in Column (b).

For applications pertaining to **multiple** programs where one or more programs **require** a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

### Lines 1-4, Columns (c) through (g)

**For new applications**, leave Columns (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

**For continuing grant program applications**, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

**For supplemental grants and changes** to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

**Line 5**—Show the totals for all columns used.

### Section B. Budget Categories

In the column headings (a) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

**Lines 6a-i**—Show the totals of Lines 6a to 6h in each column.

**Line 6j**—Show the amount of indirect cost.

**Line 6k**—Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

**Line 7**—Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show under the program narrative statement the nature and source of income. The estimated amount of program income may be considered by the federal grantor agency in determining the total amount of the grant.

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## Section C. Non-Federal Resources

**Lines 8-11**—Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

**Column (a)**—Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

**Column (b)**—Enter the contribution to be made by the applicant.

**Column (c)**—Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

**Column (d)**—Enter the amount of cash and in-kind contributions to be made from all other sources.

**Column (e)**—Enter totals of Columns (b), (c), and (d).

**Line 12**—Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f) Section A.

## Section D. Forecasted Cash Needs

**Line 13**—Enter the amount of cash needed by quarter from the grantor agency during the first year.

**Line 14**—Enter the amount of cash from all other sources needed by quarter during the first year.

**Line 15**—Enter the totals of amounts on Lines 13 and 14.

## Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

**Lines 16-19**—Enter in Column (a) the same grant program titles shown in Column (a), Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funds for the current year of existing grants.

If more than four lines are needed to list the program titles, submit additional schedules as necessary.

**Line 20**—Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

## Section F. Other Budget Information

**Line 21**—Use this space to explain amounts for individual direct object-class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

**Line 22**—Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

**Line 23**—Provide any other explanations or comments deemed necessary.

**FY 2001 IHDEP  
Budget Narrative**

As an attachment to Form SF-424A, provide a narrative budget for each of your proposed activities. Provide the following information:

**BRIEF ACTIVITY DESCRIPTION:** In a paragraph no more than ½ page in length, summarize the activity in question and how it fits into your overall plan for eliminating drugs and drug-related crime in the affected developments.

**FUNDS REQUESTED FOR ACTIVITY:**

**AMPLIFIED BUDGET ITEMS BY OBJECT CLASS**

**CATEGORY:** Break down the budget for each of your activities using the budget categories in Section B(6) of the SF-424A. These are:

Personnel  
Fringe Benefits  
Travel  
Equipment  
Supplies  
Contractual  
Construction  
Other

You will note for each category how much of funding comes from proposed IHDEP funding and how much from other local, in-kind sources.

# Assurances—Non-Construction Programs

OMB Approval No. 0348-0040

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Please do not return your completed form to the Office of Management and Budget; send it to the address provided by the sponsoring agency.

**Note:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§ 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.O. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 36701 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with the provisions of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 276a and 276a-7), the Copeland Act (40 U.S.C. § 276c and 18 U.S.C. §§ 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (e) evaluation of flood hazards in flood plains in accordance with EO 11988; (e) assurance of

project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the national Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).

14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984 or OMB Circular No. A-133, Audits of Institutions of Higher Learning and other Non-profit Institutions.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

Signature of Authorized Certifying Official

Title

Applicant Organization

Date Submitted



# FY 2001 IHDEP Summary Budget Information

## (HUD AUTOMATED TRACKING)

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**Name and Address of Applicant:**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Program:**

**Area Office:**

\_\_\_\_\_

**List amounts budgeted for each line item. These line items and amounts will be programmed into HUD's Line of Credit Control System (LOCCS) for designating and tracking uses of drawdowns.**

### DETAILED DESCRIPTION OF BUDGET

Budget Line Item No.	Activities	Funds Requested	Funds Approved (HUD use only)
9110	Reimbursement of local law enforcement agencies over and above baseline service	\$	\$
9120	Security Personnel		
	1. Employment of Security Personnel	\$	\$
	2. Contracted Security Guards	\$	\$
	3. Tribal Police Department	\$	\$
	Sub-Total: (1-3)	\$	\$
9130	Employment of Investigator(s)	\$	\$
9140	Voluntary Tenant Patrol	\$	\$
9150	Physical Improvements	\$	\$
Programs to reduce the use of illegal drugs			
9160	Drug Prevention	\$	\$
9170	Drug Intervention	\$	\$
9180	Drug Treatment	\$	\$
Grant Administration			
9190	Other Program Costs	\$	\$
	Total IHDEP funding requested:	\$	\$
	<b>HUD Official Use:</b> Total funding approved by HUD	\$	\$

# Applicant/Recipient Disclosure/Update Report

U.S. Department of Housing  
and Urban Development

OMB Approval No. 2510-0011 (exp. 3/31/2003)

**Instructions.** (See Public Reporting Statement and Privacy Act Statement and detailed instructions on page 2.)

## Applicant/Recipient Information

Indicate whether this is an Initial Report ☐ or an Update Report ☐

1. Applicant/Recipient Name, Address, and Phone (include area code):  (    )    -	2. Social Security Number or Employer ID Number:  -    -
3. HUD Program Name	4. Amount of HUD Assistance Requested/Received
5. State the name and location (street address, City and State) of the project or activity:	

## Part I Threshold Determinations

1. Are you applying for assistance for a specific project or activity? These terms do not include formula grants, such as public housing operating subsidy or CDBG block grants. (For further information see 24 CFR Sec. 4.3). <input type="checkbox"/> Yes <input type="checkbox"/> No	2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD) , involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9 <input type="checkbox"/> Yes <input type="checkbox"/> No.
---	---

If you answered "**No**" to either question 1 or 2, **Stop!** You do not need to complete the remainder of this form. **However**, you must sign the certification at the end of the report.

## Part II Other Government Assistance Provided or Requested / Expected Sources and Use of Funds.

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/State/Local Agency Name and Address	Type of Assistance	Amount Requested/Provided	Expected Uses of the Funds

(Note: Use Additional pages if necessary.)

## Part III Interested Parties. You must disclose:

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
2. any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)	Social Security No. or Employee ID No.	Type of Participation in Project/Activity	Financial Interest in Project/Activity (\$ and %)

(Note: Use Additional pages if necessary.)

## Certification

**Warning:** If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I certify that this information is true and complete.

Signature:  X	Date: (mm/dd/yyyy)
---------------------	--------------------

**Public reporting burden** for this collection of information is estimated to average 2.0 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection information unless that collection displays a valid OMB control number.

**Privacy Act Statement.** Except for Social Security Numbers (SSNs) and Employer Identification Numbers (EINs), the Department of Housing and Urban Development (HUD) is authorized to collect all the information required by this form under section 102 of the Department of Housing and Urban Development Reform Act of 1989, 42 U.S.C. 3531. Disclosure of SSNs and EINs is optional. The SSN or EIN is used as a unique identifier. The information you provide will enable HUD to carry out its responsibilities under Sections 102(b), (c), and (d) of the Department of Housing and Urban Development Reform Act of 1989, Pub. L. 101-235, approved December 15, 1989. These provisions will help ensure greater accountability and integrity in the provision of certain types of assistance administered by HUD. They will also help ensure that HUD assistance for a specific housing project under Section 102(d) is not more than is necessary to make the project feasible after taking account of other government assistance. HUD will make available to the public all applicant disclosure reports for five years in the case of applications for competitive assistance, and for generally three years in the case of other applications. Update reports will be made available along with the disclosure reports, but in no case for a period generally less than three years. All reports, both initial reports and update reports, will be made available in accordance with the Freedom of Information Act (5 U.S.C. §552) and HUD's implementing regulations at 24 CFR Part 15. HUD will use the information in evaluating individual assistance applications and in performing internal administrative analyses to assist in the management of specific HUD programs. The information will also be used in making the determination under Section 102(d) whether HUD assistance for a specific housing project is more than is necessary to make the project feasible after taking account of other government assistance. You must provide all the required information. Failure to provide any required information may delay the processing of your application, and may result in sanctions and penalties, including imposition of the administrative and civil money penalties specified under 24 CFR §4.38.

**Note:** This form only covers assistance made available by the Department. States and units of general local government that carry out responsibilities under Sections 102(b) and (c) of the Reform Act must develop their own procedures for complying with the Act.

## Instructions

### Overview.

**A. Coverage.** You must complete this report if:

- (1) You are applying for assistance from HUD for a specific project or activity **and** you have received, or expect to receive, assistance from HUD in excess of \$200,000 during the fiscal year;
- (2) You are updating a prior report as discussed below; or
- (3) You are submitting an application for assistance to an entity other than HUD, a State or local government if the application is required by statute or regulation to be submitted to HUD for approval or for any other purpose.

**B. Update reports (filed by "Recipients" of HUD Assistance):**

**General.** All recipients of covered assistance must submit update reports to the Department to reflect substantial changes to the initial applicant disclosure reports.

### Line-by-Line Instructions.

#### Applicant/Recipient Information.

All applicants for HUD competitive assistance, must complete the information required in blocks 1-5 of form HUD-2880:

1. Enter the full name, address, city, State, zip code, and telephone number (including area code) of the applicant/recipient. Where the applicant/recipient is an individual, the last name, first name, and middle initial must be entered.
2. Entry of the applicant/recipient's SSN or EIN, as appropriate, is optional.
3. Applicants enter the HUD program name under which the assistance is being requested.
4. Applicants enter the amount of HUD assistance that is being requested. Recipients enter the amount of HUD assistance that has been provided and to which the update report relates. The amounts are those stated in the application or award documentation. **NOTE:** In the case of assistance that is provided pursuant to contract over a period of time (such as project-based assistance under section 8 of the United States Housing Act of 1937), the amount of assistance to be reported includes all amounts that are to be provided over the term of the contract, irrespective of when they are to be received.
5. Applicants enter the name and full address of the project or activity for which the HUD assistance is sought. Recipients enter the name and full address of the HUD-assisted project or activity to which the update report relates. The most appropriate government identifying number must be used (e.g., RFP No.; IFB No.; grant announcement No.; or contract, grant, or loan No.) Include prefixes.

#### Part I. Threshold Determinations - Applicants Only

Part I contains information to help the applicant determine whether the remainder of the form must be completed. **Recipients filing Update Reports should not complete this Part.**

If the answer to **either** questions 1 or 2 is No, the applicant need not complete Parts II and III of the report, but must sign the certification at the end of the form.

#### Part II. Other Government Assistance and Expected Sources and Uses of Funds.

**A. Other Government Assistance.** This Part is to be completed by both applicants and recipients for assistance and recipients filing update reports. Applicants and recipients must report any other government assistance involved in the project or activity for which assistance is sought. Applicants and recipients must report any other government assistance involved in the project or activity. Other government assistance is defined in note 4 on the last page. For purposes of this definition, other government assistance is expected to be made available if, based on an assessment of all the circumstances involved, there are reasonable grounds to anticipate that the assistance will be forthcoming.

Both applicant and recipient disclosures must include all other government assistance involved with the HUD assistance, as well as any other government assistance that was made available before the request, but that has continuing vitality at the time of the request. Examples of this latter category include tax credits that provide for a number of years of tax benefits, and grant assistance that continues to benefit the project at the time of the assistance request.

The following information must be provided:

1. Enter the name and address, city, State, and zip code of the government agency making the assistance available.
2. State the type of other government assistance (e.g., loan, grant, loan insurance).
3. Enter the dollar amount of the other government assistance that is, or is expected to be, made available with respect to the project or activities for which the HUD assistance is sought (applicants) or has been provided (recipients).
4. Uses of funds. Each reportable use of funds must clearly identify the purpose to which they are to be put. Reasonable aggregations may be used, such as "total structure" to include a

number of structural costs, such as roof, elevators, exterior masonry, etc.

- B. Non-Government Assistance. Note that the applicant and recipient disclosure report must specify all expected sources and uses of funds - both from HUD **and any other source** - that have been or are to be, made available for the project or activity. Non-government sources of funds typically include (but are not limited to) foundations and private contributors.

### Part III. Interested Parties.

This Part is to be completed by both applicants and recipients filing update reports. Applicants must provide information on:

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
2. any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

**Note:** A financial interest means any financial involvement in the project or activity, including (but not limited to) situations in which an individual or entity has an equity interest in the project or activity, shares in any profit on resale or any distribution of surplus cash or other assets of the project or activity, or receives compensation for any goods or services provided in connection with the project or activity. Residency of an individual in housing for which assistance is being sought is not, by itself, considered a covered financial interest.

The information required below must be provided.

1. Enter the full names and addresses. If the person is an entity, the listing must include the full name and address of the entity as well as the CEO. Please list all names alphabetically.
2. Entry of the Social Security Number (SSN) or Employee Identification Number (EIN), as appropriate, for each person listed is optional.
3. Enter the type of participation in the project or activity for each person listed: i.e., the person's specific role in the project (e.g., contractor, consultant, planner, investor).
4. Enter the financial interest in the project or activity for each person listed. The interest must be expressed both as a dollar amount and as a percentage of the amount of the HUD assistance involved.

**Note** that if any of the source/use information required by this report has been provided elsewhere in this application package, the applicant need not repeat the information, but need only refer to the form and location to incorporate it into this report. (It is likely that some of the information required by this report has been provided on SF 424A, and on various budget forms accompanying the application.) If this report requires information beyond that provided elsewhere in the application package, the applicant must include in this report all the additional information required.

Recipients must submit an update report for any change in previously disclosed sources and uses of funds as provided in Section I.D.5., above.

#### Notes:

1. All citations are to 24 CFR Part 4, which was published in the Federal Register. [April 1, 1996, at 63 Fed. Reg. 14448.]
2. Assistance means any contract, grant, loan, cooperative agreement, or other form of assistance, including the insurance or guarantee of a loan or mortgage, that is provided with respect to a specific project or activity under a program administered by the Department. The term does not include contracts, such as procurements contracts, that are subject to the Fed. Acquisition Regulation (FAR) (48 CFR Chapter 1).
3. See 24 CFR §4.9 for detailed guidance on how the threshold is calculated.
4. "Other government assistance" is defined to include any loan, grant, guarantee, insurance, payment, rebate, subsidy, credit, tax benefit, or any other form of direct or indirect assistance from the Federal government (other than that requested from HUD in the application), a State, or a unit of general local government, or any agency or instrumentality thereof, that is, or is expected to be made, available with respect to the project or activities for which the assistance is sought.
5. For the purpose of this form and 24 CFR Part 4, "person" means an individual (including a consultant, lobbyist, or lawyer); corporation; company; association; authority; firm; partnership; society; State, unit of general local government, or other government entity, or agency thereof (including a public housing agency); Indian tribe; and any other organization or group of people.

Certification for
a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name

Program/Activity Receiving Federal Grant Funding

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here [ ] if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Form with fields for Name of Authorized Official, Title, Signature, and Date.

Certification of Payments
to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Program/Activity Receiving Federal Grant Funding

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.
- This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title	
Signature		Date (mm/dd/yyyy)

Approved by OMB 0348-0046

[illegible]

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## Instructions for Completion of SF-LLL, Disclosure of Lobbying Activities

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or any employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient, Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box (es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box (es). Check all boxes that apply. If other, specify nature.
14. Provide specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just the time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a continuation sheet(s) are attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

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Public Reporting Burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Please do not return your completed form to the Office of Management and Budget; send it to the address provided by the sponsoring agency .

Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.



# Certification Regarding Debarment and Suspension

U.S. Department of Housing  
and Urban Development

## Certification A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

1. The prospective primary participant certifies to the best of its knowledge and belief that its principals;

a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal debarment or agency;

b. Have not within a three-year period preceding this proposal, been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements, or receiving stolen property;

c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

d. Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

### Instructions for Certification (A)

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

4. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms **covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded**, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of these regulations.

6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines this eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph (6) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

**Certification B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

**Instructions for Certification (B)**

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms **covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded**, as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of these regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph (5) of these instructions, if a participant in a lower covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies including suspension and/or debarment.

Applicant		Date
Signature of Authorized Certifying Official		Title

## Client Comments and Suggestions

U.S. Department of Housing  
and Urban Development

# You are our Client! Your comments and suggestions, please!

---

The Department of Housing and Urban Development in preparing this Notice of Funding Availability and application forms, has tried to produce a more user friendly, customer driven funding process. Please let us have your comments and recommendations for improvements to this document. You may leave this form attached to your application, or feel free to detach the form and return it to:

The Department of Housing and Urban Development  
Office of Grants Management and Compliance  
Room 2182  
451 7th Street, SW  
Washington, DC 20410

### Please Provide Comments on HUD's Efforts:

**The NOFA** (insert title) \_\_\_\_\_

is: (please check one)

- (a) ☐ is clear and easily understandable  
(b) ☐ better than before, but still needs improvement (please specify)

(c) other (please specify)

**The application form** (insert title) \_\_\_\_\_

is: (please check one)

- (a) ☐ is acceptable given the volume of information required by statute and the volume of information required for accountability in selecting and funding projects.  
(b) ☐ is simpler and more user-friendly than before, but still needs work (please specify).

(c) other comments (please specify)

**Name & Organization** (Optional):

Are additional pages attached? ☐ Yes ☐ No

**CERTIFICATION OF CONSISTENCY WITH THE  
INDIAN HOUSING PLAN**

**U.S. DEPARTMENT OF HOUSING  
AND URBAN DEVELOPMENT**

---

**I certify that the proposed activities/projects in the application are consistent with the jurisdiction's current, approved Indian Housing Plan.**

Applicant Name: \_\_\_\_\_

Project Name: \_\_\_\_\_

Location of the Project: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Name of the Federal Program(s) to  
which the applicant is applying:

\_\_\_\_\_

Name of Certifying Jurisdiction:

\_\_\_\_\_

Title:

\_\_\_\_\_

Signature:

\_\_\_\_\_

Date:

\_\_\_\_\_

**CERTIFICATION BY RESIDENT MANAGEMENT  
Corporations (RMCs), Resident Organizations (ROs),  
or Residents**

**U.S. DEPARTMENT OF HOUSING  
AND URBAN DEVELOPMENT**

The applicant certifies that:

- ☐ Residents participated in the preparation/strategy of the application for the Indian Housing Drug Elimination Program for the targeted development(s);
- ☐ The applicant's description in its plan of activities to be undertaken by the RMC/RO or residents is accurate and complete; and
- ☐ Certification is applicable only for targeted development(s).
- ☐ If awarded, the applicant will involve residents in any change to the approved plan.
- ☐ Residents are aware that the application deadline date is April 24, 2001.

**Duly-Elected Resident Representative**

(Print Name and Title)

\_\_\_\_\_  
Name

\_\_\_\_\_  
Title

**X**

\_\_\_\_\_  
Signature

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 2001

**Executive Director**

(Print Name and Title)

\_\_\_\_\_  
Name

\_\_\_\_\_  
Title

**X**

\_\_\_\_\_  
Signature

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 2001

Signatures of other tribal housing resident organization presidents or tribal housing resident(s) if one does not exist, and other public Indian housing leadership organizations for the proposed/targeted developments.

1. Name \_\_\_\_\_  
Address \_\_\_\_\_
2. Name \_\_\_\_\_  
Address \_\_\_\_\_
3. Name \_\_\_\_\_  
Address \_\_\_\_\_
4. Name \_\_\_\_\_  
Address \_\_\_\_\_

- ☐ Check if comments by residents are attached.

# Acknowledgement of Application Receipt

U.S. DEPARTMENT OF HOUSING  
AND URBAN DEVELOPMENT

Type or clearly print the Applicant's name and full mailing address in the space below.

(Fold Line)

Type or clearly print the following information:

Name of the Federal Program to which the applicant is applying: \_\_\_\_\_

\_\_\_\_\_

## To Be Completed by HUD

☐ HUD received your application by the deadline and will consider it for funding. In accordance with Section 103 of the Department of Housing and Urban Development Reform Act of 1989, no information will be released by HUD regarding the relative standing of any applicant until funding announcements are made. However, you may be contacted by HUD after initial screening to permit you to correct certain application deficiencies.

☐ HUD did not receive your application by the deadline; therefore, your application will not receive further consideration. Your application is:

☐ Enclosed

☐ Being sent under separate cover

Processor's Name \_\_\_\_\_

Date of Receipt \_\_\_\_\_

## **Appendix B**

### **Current Assisted Stock By Tribe**

# Current Assisted Stock

## FY 2001 Drug Elimination Program

Office	Tribe	FY 2001 Current Assisted Stock
ALASKA	Afognak	0
ALASKA	Ahtna Native Regional Corp	129
ALASKA	Akhiok	0
ALASKA	Akiachak	0
ALASKA	Akiak	0
ALASKA	Akutan	0
ALASKA	Alakanuk	0
ALASKA	Alatna	0
ALASKA	Aleknagik	0
ALASKA	Aleutian Regional Corp.	313
ALASKA	Algaaciq (St. Mary's)	0
ALASKA	Allakaket	0
ALASKA	Ambler	0
ALASKA	Anaktuvuk Pass	0
ALASKA	Andreafski	0
ALASKA	Angoon	0
ALASKA	Aniak	0
ALASKA	Annette Island (Metlakakla)	152
ALASKA	Anvik	0
ALASKA	Arctic Slope Native Regional	449
ALASKA	Arctic Village	0
ALASKA	Atka	0
ALASKA	Atmautluak	0
ALASKA	Atkasuk (Atkasook)	0
ALASKA	Baranof Island Regional Cor	87
ALASKA	Barrow	0
ALASKA	Beaver	0
ALASKA	Belkofski	0
ALASKA	Bering Straits Native Region	579
ALASKA	Bill Moore's Slough	0
ALASKA	Birch Creek	0
ALASKA	Brevig Mission	0
ALASKA	Bristol Bay Native Regional	372
ALASKA	Buckland	0
ALASKA	Calista Native Regional Cor	1009
ALASKA	Cantwell	0
ALASKA	Chalkyitsik	0
ALASKA	Chanega	0
ALASKA	Chefornak	0
ALASKA	Chevak	0
ALASKA	Chickaloon	0
ALASKA	Chignik	0
ALASKA	Chignik Lagoon	0
ALASKA	Chignik Lake	0
ALASKA	Chilkat	0
ALASKA	Chilkoot	0
ALASKA	Chistochina	0
ALASKA	Chitina	0
ALASKA	Chuatbaluk	0
ALASKA	Chugach Native Regional C	251
ALASKA	Chuloonawick	0
ALASKA	Circle	0
ALASKA	Clark's Point	0
ALASKA	Cook Inlet Native Regional C	445



## Current Assisted Stock FY 2001 Drug Elimination Program

ALASKA	Council	0
ALASKA	Craig	0
ALASKA	Crooked Creek	0
ALASKA	Curyung	0
ALASKA	Deering	0
ALASKA	Dot Lake	0
ALASKA	Douglas	0
ALASKA	Doyon Native Regional Corp	547
ALASKA	Eagle	0
ALASKA	Eek	0
ALASKA	Egegik	0
ALASKA	Eklutna	0
ALASKA	Ekuk	0
ALASKA	Ekwok	0
ALASKA	Elim	0
ALASKA	Emmonak	0
ALASKA	Evansville (Bettles Field)	0
ALASKA	Eyak	0
ALASKA	False Pass	0
ALASKA	Fort Yukon	0
ALASKA	Gakona	0
ALASKA	Galena	0
ALASKA	Gambell	0
ALASKA	Georgetown	0
ALASKA	Golovin (Chinik)	0
ALASKA	Goodnews Bay	0
ALASKA	Grayling	0
ALASKA	Gulkana	0
ALASKA	Hamilton	0
ALASKA	Healy Lake	0
ALASKA	Holy Cross	0
ALASKA	Hoonah	0
ALASKA	Hooper Bay	0
ALASKA	Hughes	0
ALASKA	Huslia	0
ALASKA	Hydaburg	0
ALASKA	Igiugig	0
ALASKA	Iliamna	0
ALASKA	Inalik (Diomedea)	0
ALASKA	Ivanoff Bay	0
ALASKA	Kaguyak	0
ALASKA	Kake	0
ALASKA	Kaktovik (Barter Island)	0
ALASKA	Kalskag	0
ALASKA	Kaltag	0
ALASKA	Kanatak	0
ALASKA	Karluk	0
ALASKA	Kasigluk	0
ALASKA	Kassan	0
ALASKA	Kenaitze	0
ALASKA	Ketchikan	0
ALASKA	Kiana	0
ALASKA	King Cove	0
ALASKA	King Island	0
ALASKA	Kipnuk	0
ALASKA	Kivalina	0
ALASKA	Klawock	0
ALASKA	Kluti Kaah (Copper Center)	0

## Current Assisted Stock FY 2001 Drug Elimination Program

ALASKA	Knik	0
ALASKA	Kobuk	0
ALASKA	Kokhanok	0
ALASKA	Koliganek	0
ALASKA	Kongiganak	0
ALASKA	Koniag Native Regional Cor	288
ALASKA	Kotlik	0
ALASKA	Kotzebue	0
ALASKA	Koyuk	0
ALASKA	Koyukuk	0
ALASKA	Kwethluk	0
ALASKA	Kwigillingok	0
ALASKA	Kwinhagak (Quinhagak)	0
ALASKA	Larsen Bay	0
ALASKA	Lesnoi (Woody Island)	0
ALASKA	Levelock	0
ALASKA	Lime	0
ALASKA	Lower.Kalskag	0
ALASKA	Manley Hot Springs	0
ALASKA	Manokotak	0
ALASKA	Marshall	0
ALASKA	Mary's Igloo	0
ALASKA	McGrath	0
ALASKA	Mekoryuk	0
ALASKA	Mentasta	0
ALASKA	Minto	0
ALASKA	Mountain Village	0
ALASKA	Naknek	0
ALASKA	NANA Native Regional Corp	453
ALASKA	Nanwelek (English Bay)	0
ALASKA	Napaimute	0
ALASKA	Napakiak	0
ALASKA	Napaskiak	0
ALASKA	Nelson Lagoon	0
ALASKA	Nenana	0
ALASKA	New Stuyahok	0
ALASKA	Newhalen	0
ALASKA	Newtok	0
ALASKA	Nightmute	0
ALASKA	Nikolai	0
ALASKA	Nikolski	0
ALASKA	Ninilchik	0
ALASKA	Noatuk	0
ALASKA	Nome	0
ALASKA	Nondalton	0
ALASKA	Noorvik	0
ALASKA	Northway	0
ALASKA	Nuiqsut	0
ALASKA	Nulato	0
ALASKA	Nunapitchuk	0
ALASKA	Ohogamiut	0
ALASKA	Old Harbor	0
ALASKA	Orutsararmuit (Bethel)	0
ALASKA	Oscarville	0
ALASKA	Ouzinkie	0
ALASKA	Paimiut	0
ALASKA	Pauloff Village	0
ALASKA	Pedro Bay	0

## Current Assisted Stock FY 2001 Drug Elimination Program

ALASKA	Perryville	0
ALASKA	Petersburg	0
ALASKA	Pilot Point	0
ALASKA	Pilot Station	0
ALASKA	Pitka's Point	0
ALASKA	Platinum	0
ALASKA	Point Hope	0
ALASKA	Point Lay	0
ALASKA	Port Graham	0
ALASKA	Port Heiden	0
ALASKA	Port Lions	0
ALASKA	Portage Creek	0
ALASKA	Qagan Tayagungin (Sand P	0
ALASKA	Qawalangin (Unalaska)	0
ALASKA	Rampart	0
ALASKA	Red Devil	0
ALASKA	Ruby	0
ALASKA	Russian Mission (Yukon)	0
ALASKA	Saint George	0
ALASKA	Saint Michael	0
ALASKA	Saint Paul	0
ALASKA	Salamatoff	0
ALASKA	Savoonga	0
ALASKA	Saxman	0
ALASKA	Scammon Bay	0
ALASKA	Selawik	0
ALASKA	Seldovia	0
ALASKA	Shageluk	0
ALASKA	Shaktolik	0
ALASKA	Sheldon's Point	0
ALASKA	Shishmaref	0
ALASKA	Shungnak	0
ALASKA	Skagway	0
ALASKA	Sleetmute	0
ALASKA	Solomon	0
ALASKA	South Naknek	0
ALASKA	Stebbins	0
ALASKA	Stevens	0
ALASKA	Stoney River	0
ALASKA	Takotna	0
ALASKA	Tanacross	0
ALASKA	Tanana	0
ALASKA	Tatitlek	0
ALASKA	Tazlina	0
ALASKA	Telida	0
ALASKA	Teller	0
ALASKA	Tetlin	0
ALASKA	Tlingit and Haida	575
ALASKA	Togiak	0
ALASKA	Toksook Bay	0
ALASKA	Tuluksak	0
ALASKA	Tuntutuliak	0
ALASKA	Tununak	0
ALASKA	Twin Hills	0
ALASKA	Tyonek	0
ALASKA	Ugashik	0
ALASKA	Umkumiute	0
ALASKA	Unalakleet	0

## Current Assisted Stock FY 2001 Drug Elimination Program

ALASKA	Unga	0
ALASKA	Venetie	0
ALASKA	Wainwright	0
ALASKA	Wales	0
ALASKA	White Mountain	0
ALASKA	Wrangell	0
ALASKA	Yakutat	0
CHICAGO	Aroostook Band of Micmac	66
CHICAGO	Bad River Band	193
CHICAGO	Bay Mills Indian Community	203
CHICAGO	Boise Forte Band of Minnes	94
CHICAGO	Catawba Indian Tribe	74
CHICAGO	Cayuga Nation	0
CHICAGO	Coharie State Tribe	20
CHICAGO	Eastern Cherokee	921
CHICAGO	Fond Du Lac Band of Minne	330
CHICAGO	Forest County Potawatami	59
CHICAGO	Grand Portage Band of Minn	41
CHICAGO	Grand Traverse Band	60
CHICAGO	Haliwa-Saponi State Tribe	30
CHICAGO	Hannahville Community	15
CHICAGO	Ho-Chunk Nation	178
CHICAGO	Houlton Band of Maliseets	90
CHICAGO	Huron Band of Potawatomi	0
CHICAGO	Keweenaw Bay Indian Com	265
CHICAGO	Lac Courte Oreilles	450
CHICAGO	Lac Du Flambeau Band	311
CHICAGO	Lac Vieux Desert Band	43
CHICAGO	Leech Lake Band of Minnes	470
CHICAGO	Little River Band of Ottawa	0
CHICAGO	Little Traverse Bay Band	0
CHICAGO	Lower Sioux	32
CHICAGO	Lumbee State Tribe	204
CHICAGO	Match-E-Be-Nash-She-Wish	0
CHICAGO	Menominee Indian Tribe	404
CHICAGO	Miccosukee Tribe	0
CHICAGO	Mille Lacs Band of Minnesot	135
CHICAGO	Mississippi Choctaw Tribe	941
CHICAGO	MOWA Band of Choctaw In	72
CHICAGO	Narragansett Tribe	0
CHICAGO	Oneida Nation of New York	30
CHICAGO	Oneida Tribe	330
CHICAGO	Onondaga Nation	0
CHICAGO	Passamaquoddy Indian Tribe	163
CHICAGO	Penobscot Tribe	123
CHICAGO	Pleasant Point	120
CHICAGO	Poarch Band of Creek India	205
CHICAGO	Pokagon Band of Potawator	0
CHICAGO	Prairie Island Sioux	24
CHICAGO	Red Cliff Band of Lake Supe	141
CHICAGO	Red Lake Band of Chippewa	477
CHICAGO	Sac & Fox Tribe	20
CHICAGO	Saginaw Chippewa	116
CHICAGO	Saint Croix Chippewa	213
CHICAGO	Sault Ste. Marie Tribe	432
CHICAGO	Seminole Tribe	467
CHICAGO	Seneca Nation of New York	311
CHICAGO	Shakopee Sioux	0

## Current Assisted Stock FY 2001 Drug Elimination Program

CHICAGO	Sokagoan Chippewa Tribe	132
CHICAGO	St. Regis Mohawk Tribe	308
CHICAGO	Stockbridge-Munsee Tribe	96
CHICAGO	Tonawanda Band of Seneca	0
CHICAGO	Tuscarora Nation	0
CHICAGO	Upper Sioux Indian Commu	14
CHICAGO	Waccamaw Siouan State Tr	0
CHICAGO	Wampanoag Tribe	18
CHICAGO	White Earth Band of Minnes	368
DENVER	Blackfeet Tribe	1282
DENVER	Cheyenne River Sioux	901
DENVER	Crow Creek Sioux	396
DENVER	Crow Tribe	578
DENVER	Devils Lake Sioux	471
DENVER	Flandreau Santee Sioux	86
DENVER	Fort Belknap Indian Commu	627
DENVER	Fort Peck Assiniboine and S	1101
DENVER	Ft. Berthold Affiliated Tribes	674
DENVER	Goshute Reservation	12
DENVER	Lower Brule Sioux	257
DENVER	Northern Arapahoe	387
DENVER	Northern Cheyenne	751
DENVER	NW Band of Shoshone Nati	15
DENVER	Oglala Sioux of Pine Ridge	1523
DENVER	Omaha Tribe	265
DENVER	Ponca Tribe of Nebraska	73
DENVER	Rocky Boy Chippewa-Cree	530
DENVER	Rosebud Sioux	1161
DENVER	Salish and Kootenai Tribes	659
DENVER	Santee Sioux Tribe	171
DENVER	Shoshone Tribe of the Wind	293
DENVER	Sisseton-Wahpeton Sioux	691
DENVER	Skull Valley Band of Goshut	0
DENVER	Southern Ute Tribe	208
DENVER	Standing Rock Sioux	918
DENVER	Turtle Mountain Band of Chi	1526
DENVER	Uintah & Ouray Ute Indian T	235
DENVER	Utah Paiute Tribe	168
DENVER	Ute Mountain Tribe	265
DENVER	Winnebago Tribe	228
DENVER	Yankton Sioux	313
OKLAHO	Absentee-Shawnee	852
OKLAHO	Alabama-Coushatta	107
OKLAHO	Alabama-Quassarte Tribal T	0
OKLAHO	Apache Tribe	104
OKLAHO	Caddo Tribe	22
OKLAHO	Cherokee Nation	2791
OKLAHO	Cheyenne-Arapaho Tribes	269
OKLAHO	Chickasaw	1802
OKLAHO	Chitimacha Tribe	58
OKLAHO	Choctaw Nation	2165
OKLAHO	Citizen Band Potawatomi Tr	25
OKLAHO	Comanche Tribe	554
OKLAHO	Coushatta Tribe	16
OKLAHO	Delaware Tribe	0
OKLAHO	Delaware Tribe of Indians (E	197
OKLAHO	Eastern Shawnee Tribe	0
OKLAHO	Fort Sill Apache Tribe	0

## Current Assisted Stock FY 2001 Drug Elimination Program

OKLAHOMA	Iowa Tribe of Kansas and Nebraska	85
OKLAHOMA	Iowa Tribe of Oklahoma	0
OKLAHOMA	Jena Band of Choctaw	0
OKLAHOMA	Kaw Tribe	117
OKLAHOMA	Kialegee Tribal Town	0
OKLAHOMA	Kickapoo Tribe	71
OKLAHOMA	Kickapoo Tribe of Oklahoma	0
OKLAHOMA	Kiowa Tribe	151
OKLAHOMA	Miami Tribe	0
OKLAHOMA	Modoc Tribe	15
OKLAHOMA	Muskogee (Creek) Nation	1523
OKLAHOMA	Osage Tribe	364
OKLAHOMA	Otoe-Missouria Tribe	49
OKLAHOMA	Ottawa Tribe	0
OKLAHOMA	Pawnee Tribe	65
OKLAHOMA	Peoria Tribe	423
OKLAHOMA	Ponca Tribe	202
OKLAHOMA	Prairie Band of Potawatomi	95
OKLAHOMA	Quapaw Tribe	15
OKLAHOMA	Sac and Fox of Missouri	39
OKLAHOMA	Sac and Fox Tribe	348
OKLAHOMA	Seminole Nation	132
OKLAHOMA	Seneca-Cayuga	0
OKLAHOMA	Texas Band of Kickapoo Indians	20
OKLAHOMA	Thlopthlocco Tribal Town	0
OKLAHOMA	Tonkawa Tribe	85
OKLAHOMA	Tunica-Biloxi Tribe	0
OKLAHOMA	United Keetoowah	0
OKLAHOMA	Wichita Tribe	99
OKLAHOMA	Wyandotte	0
PHOENIX	Acoma Pueblo	160
PHOENIX	Agua Caliente Band of Cahuilla	0
PHOENIX	Ak-Chin	32
PHOENIX	Alturas Rancheria	0
PHOENIX	Auburn Rancheria	0
PHOENIX	Augustine Band of Cahuilla	0
PHOENIX	Barona Group of Capitan Group	53
PHOENIX	Berry Creek Rancheria	40
PHOENIX	Big Lagoon Rancheria	0
PHOENIX	Big Pine Band	111
PHOENIX	Big Sandy Rancheria	0
PHOENIX	Big Valley Rancheria	0
PHOENIX	Blue Lake Rancheria	0
PHOENIX	Bridgeport Paiute Indian Colony	21
PHOENIX	Buena Vista Rancheria	0
PHOENIX	Cabazon Band	0
PHOENIX	Cahuilla Band	13
PHOENIX	Campo Band	55
PHOENIX	Cedarville Rancheria	0
PHOENIX	Chemehuevi	85
PHOENIX	Chicken Ranch Rancheria	2
PHOENIX	Chico Rancheria	0
PHOENIX	Cloverdale Rancheria	0
PHOENIX	Cochiti Pueblo	28
PHOENIX	Cocopah Tribe	107
PHOENIX	Cold Springs Rancheria	37
PHOENIX	Colorado River Indian Tribes	425
PHOENIX	Colusa Rancheria	0

## Current Assisted Stock

### FY 2001 Drug Elimination Program

PHOENIX	Cortina Rancheria	0
PHOENIX	Coyote Valley Band	30
PHOENIX	Cuyapaipe Community	0
PHOENIX	Death Valley Timba-Sha	0
PHOENIX	Dry Creek Rancheria	0
PHOENIX	Duck Valley Shoshone-Paiute	175
PHOENIX	Duckwater Shoshone	26
PHOENIX	Elk Valley Rancheria	0
PHOENIX	Ely Shoshone	43
PHOENIX	Enterprise Rancheria	0
PHOENIX	Fallon Paiute-Shoshone	166
PHOENIX	Fort Bidwell	24
PHOENIX	Fort Independence	14
PHOENIX	Fort McDermitt Paiute and Shoshone	0
PHOENIX	Fort McDowell Mohave Apache	50
PHOENIX	Fort Mojave Tribe	184
PHOENIX	Gila River	1055
PHOENIX	Greenville Rancheria	0
PHOENIX	Grindstone Rancheria	45
PHOENIX	Guidiville Rancheria	14
PHOENIX	Havasupai	0
PHOENIX	Hoop Valley	234
PHOENIX	Hopi	355
PHOENIX	Hopland Rancheria	20
PHOENIX	Hualapai	298
PHOENIX	Inaja Band	0
PHOENIX	Ione Band of Miwok Indians	0
PHOENIX	Isleta Pueblo	91
PHOENIX	Jackson Rancheria	0
PHOENIX	Jamul Indian Village	0
PHOENIX	Jemez Pueblo	60
PHOENIX	Jicarilla Reservation	238
PHOENIX	Kaibab Band of Paiute	58
PHOENIX	Karuk	122
PHOENIX	La Jolla Band	56
PHOENIX	La Posta Band	0
PHOENIX	Laguna Pueblo	220
PHOENIX	Las Vegas Colony	0
PHOENIX	Laytonville Rancheria	33
PHOENIX	Lone Pine Paiute-Shoshone	50
PHOENIX	Los Coyotes Band of Cahuilla	0
PHOENIX	Lovelock Colony	4
PHOENIX	Lytton Rancheria of California	0
PHOENIX	Manchester Point Arena Rancheria	49
PHOENIX	Manzanita Band	0
PHOENIX	Mesa Grande Band	22
PHOENIX	Mescalero Reservation	346
PHOENIX	Middletown Rancheria	0
PHOENIX	Moapa Band of Paiute	49
PHOENIX	Mooretown Rancheria	50
PHOENIX	Morongo Band of Cahuilla	91
PHOENIX	Nambe Pueblo	108
PHOENIX	Navajo Nation	7569
PHOENIX	North Fork Rancheria	0
PHOENIX	Paiute-Shoshone of Bishop	187
PHOENIX	Pala Band	74
PHOENIX	Pascua Yaqui Tribe	762
PHOENIX	Paskenta Band of Nomlaki Indians	0

## Current Assisted Stock

### FY 2001 Drug Elimination Program

PHOENIX	Pauma Band	19
PHOENIX	Payson Tonto Apache	0
PHOENIX	Pechanga Band	0
PHOENIX	Picayune Rancheria	12
PHOENIX	Picuris Pueblo	27
PHOENIX	Pinoleville Rancheria	0
PHOENIX	Pit River Tribe	0
PHOENIX	Pojoaque Pueblo	42
PHOENIX	Potter Valley Rancheria	0
PHOENIX	Pyramid Lake Paiute	263
PHOENIX	Quartz Valley Reservation	9
PHOENIX	Quechan Tribe	286
PHOENIX	Ramona Band	0
PHOENIX	Redding Rancheria	0
PHOENIX	Redwood Valley Rancheria	25
PHOENIX	Reno-Sparks Colony	200
PHOENIX	Resighini Rancheria	0
PHOENIX	Rincon Reservation	97
PHOENIX	Robinson Rancheria	41
PHOENIX	Rohnerville Rancheria	0
PHOENIX	Round Valley Reservation	114
PHOENIX	Rumsey Rancheria	0
PHOENIX	Salt River Pima-Maricopa	471
PHOENIX	San Carlos Apache	888
PHOENIX	San Felipe Pueblo	10
PHOENIX	San Ildefonso Pueblo	105
PHOENIX	San Juan Pueblo	143
PHOENIX	San Juan Southern Paiute T	0
PHOENIX	San Manuel Band	0
PHOENIX	San Pasqual Band	64
PHOENIX	San Rosa Band of Cahuilla	5
PHOENIX	Sandia Pueblo	34
PHOENIX	Santa Ana Pueblo	22
PHOENIX	Santa Clara Pueblo	130
PHOENIX	Santa Rosa Rancheria	45
PHOENIX	Santa Ynez Band of Chuma	67
PHOENIX	Santa Ysabel Reservation	0
PHOENIX	Santo Domingo Pueblo	73
PHOENIX	Scotts Valley (Pomo)	0
PHOENIX	Sheep Rancheria	0
PHOENIX	Sherwood Valley Rancheria	35
PHOENIX	Shingle Springs Rancheria	0
PHOENIX	Smith River Rancheria	0
PHOENIX	Soboba Band	93
PHOENIX	Stewarts Point Rancheria	0
PHOENIX	Sulphur Bank Rancheria	0
PHOENIX	Summit Lake Paiute Tribe	0
PHOENIX	Susanville Rancheria	74
PHOENIX	Sycuan Band	0
PHOENIX	Table Bluff Rancheria	0
PHOENIX	Table Mountain Rancheria	0
PHOENIX	Taos Pueblo	159
PHOENIX	Te-Moak	282
PHOENIX	Tesuque Pueblo	32
PHOENIX	Tohono O'Odham Nation	933
PHOENIX	Torres-Martinez Band of Ca	37
PHOENIX	Trinidad Rancheria	0
PHOENIX	Tule River Indian Tribe	57



## Current Assisted Stock FY 2001 Drug Elimination Program

PHOENIX	Tulomne Rancheria	21
PHOENIX	Twenty Nine Palms Band	0
PHOENIX	Upper Lake Rancheria	0
PHOENIX	Utu Utu Gwaiti Paiute	0
PHOENIX	Viejas Group of Capitan Gra	54
PHOENIX	Walker River Paiute Tribe	174
PHOENIX	Washoe Tribe	230
PHOENIX	White Mountain Apache (Fo	1248
PHOENIX	Winnemucca Colony	0
PHOENIX	Yavapai-Apache (Camp Ver	104
PHOENIX	Yavapai-Prescott	0
PHOENIX	Yerington Paiute Tribe	68
PHOENIX	Yomba Shoshone Tribe	22
PHOENIX	Ysleta Del Sur	62
PHOENIX	Yurok Tribe	0
PHOENIX	Zia Pueblo	45
PHOENIX	Zuni Tribe	643
SEATTLE	Burns-Paiute Colony	18
SEATTLE	Chehalis Confederated Tribe	79
SEATTLE	Coeur D'Alene Tribe	224
SEATTLE	Colville Confederated Tribes	416
SEATTLE	Coos Bay Confederated Trib	0
SEATTLE	Coquille Indian Tribe	71
SEATTLE	Cow Creek Tribes	0
SEATTLE	Cowlitz Tribe	0
SEATTLE	Fort Hall Shoshone-Bannoc	285
SEATTLE	Grand Ronde Confederated	0
SEATTLE	Hoh Indian Tribe	19
SEATTLE	Jamestown S'Klallam Tribe	0
SEATTLE	Kalispel Indian Community	22
SEATTLE	Klamath Indian Tribe	36
SEATTLE	Kootenai Tribe	25
SEATTLE	Lower Elwha Tribal Commu	113
SEATTLE	Lummi Tribe	236
SEATTLE	Makah Indian Tribe	246
SEATTLE	Muckleshoot Indian Tribe	40
SEATTLE	Nez Perce Tribe	287
SEATTLE	Nisqually Indian Community	102
SEATTLE	Nooksack Tribe	121
SEATTLE	Port Gamble Indian Commu	55
SEATTLE	Puyallup Tribe	50
SEATTLE	Quileute Tribe	65
SEATTLE	Quinault Tribe	124
SEATTLE	Samish Nation	0
SEATTLE	Sauk-Suiattle Indian Tribe	20
SEATTLE	Shoalwater Bay Tribe	17
SEATTLE	Siletz Confederated Tribes	105
SEATTLE	Skokomish Indian Tribe	79
SEATTLE	Snoqualmie	0
SEATTLE	Spokane Tribe	302
SEATTLE	Squaxin Island Tribe	84
SEATTLE	Stillaguamish Tribe	30
SEATTLE	Suquamish Tribal Council	72
SEATTLE	Swinomish Indians	97
SEATTLE	Tulalip Tribes	290
SEATTLE	Umatilla Confederated Tribe	222
SEATTLE	Upper Skagit Tribe	76
SEATTLE	Warm Springs Confederated	203

**Current Assisted Stock**  
**FY 2001 Drug Elimination Program**

SEATTLE	Yakima Indian Nation	683
	<b>TOTALS</b>	71702

## **Appendix C**

## **Title Pages for Each Rating Factor and Subfactor**

**(for use in organizing IHDEP applications)**

## **TAB 1(A) TITLE PAGE**

### **General**

#### **Rating Factor 1: Capacity of the Applicant and Relevant Organizational Experience (30 Points Total)**

This factor addresses the extent to which you have the capacity, the proper organizational experience and resources to implement the proposed activities in a timely and effective manner. The rating of the "applicant" or the "applicant's organization and staff" for technical merit, unless otherwise specified, includes any subcontractors, consultants, sub-recipients, and members of consortia which are firmly committed to your project. Your application must include descriptive evidence demonstrating that you possess managerial, technical and/or administrative capability necessary to carry out the proposed project. Your application must address who will administer the project and how you plan to handle all aspects of executing the project. Your application must describe the experience and expertise of existing staff in the implementation of the specific activity for which you are applying and what responsibilities they will have in project implementation, as well as those aspects of project implementation that will be contracted to outside entities. If any subcontractors, consultants, subrecipients and/or members of consortia are used, your application must demonstrate that the entity is firmly committed to the project. If applicable, past performance in administering previous IHDEP grants will be taken into consideration.

#### **Rating Factor 1**

##### **Subfactor 1**

**(15 points)**

The knowledge and experience of your staff and your administrative capability to manage grants of this size and type. This includes your administrative support and procurement entities, defined organizational lines of authority, and demonstrated fiscal management capacity.

## **TAB 1(B) TITLE PAGE**

### **Rating Factor 1 Subfactor 2 (15 points)**

Past performance in administering Drug Elimination grants during the last three (3) years. If you do not have any open IHDEP grants, then you will receive 15 points under this sub-factor. If you have an open IHDEP grant from FY 1998 or a prior year, you will receive 0 points for this sub-factor.

For tribes/TDHEs that had previously applied as IHAs under the Drug Elimination program, HUD will consider any open grants for which the tribe/TDHE has the responsibility to administer.

## **TAB 2(A) TITLE PAGE**

### **General**

#### **Rating Factor 2: Need/Extent of the Problem (25 Points Total)**

This factor examines the extent to which there is a need for funding the proposed program activities to address a documented problem in your proposed target area (i.e., the degree of the severity of the drug-related crime problem in the project proposed for funding). In responding to this factor, you will be evaluated on: 1) the extent to which a critical level of need for your proposed activities is explained; and 2) the urgency of meeting the need in the target area. You must include in your response a description of the extent and nature of drug-related crime "in or around" the housing units or developments proposed for funding.

### **Rating Factor 2 Subfactor 1 (15 Points)**

Data Supporting the Extent of Drug and Drug-related Crime. You must identify and include supporting data indicating the extent of drugs and drug-related crime problems in the developments proposed for assistance under your program. HUD will consider the extent and quality of the data provided. Examples of data that should be included in your application include:

- (a) Surveys of residents and staff in your targeted developments about drugs and drug-related crime or on-site reviews to determine drug/crime activity;
- (b) Government or scholarly studies or other research in the past year that analyze drug-related crime activity in your targeted developments.
- (c) Annual vandalism cost at your targeted developments, to include elevator vandalism (where appropriate) and other vandalism attributable to drug-related crime as a ratio to total annual approved budget for the targeted developments.
- (d) Information from schools, health service providers, residents and Federal, state, local, and tribal officials, and the verifiable opinions and observations of individuals having direct knowledge of drug-related crime and the nature and frequency of these problems in developments proposed for assistance. (These individuals may include Federal, state, tribal, and local government law enforcement officials, resident or community leaders, school officials, community medical officials, drug abuse, treatment (dependency/remission) or counseling professionals, or other social service providers).
- (e) The school dropout rate and level of absenteeism for youth that you can relate to drug-related crime as a percentage or ratio of the rate outside the area.
- (f) The number of lease terminations or evictions for drug-related crime at the targeted developments; and
- (g) The number of emergency room admissions for drug use or that result from drug-related crime. Such information may be obtained from police departments and/or fire departments, emergency medical service agencies and hospitals.
- (h) The number of police calls for service from tribe/TDHE developments that include resident initiated calls, officer-initiated calls, domestic violence calls, drug distribution complaints, found drug paraphernalia, gang activity, graffiti that reflects drugs or gang-related activity, vandalism, drug arrests, and abandoned vehicles.

## **TAB 2(B) TITLE PAGE**

### **Rating Factor 2 Subfactor 2 (10 points)**

Objective Crime Data relevant to your target area. To the extent that you can provide objective drug-related crime data specific to the community or targeted development proposed for funding, you will be awarded up to 10 points or up to a total of 5 points if substantial information is provided as to why Objective Crime Data could not be obtained. Objective Crime Data must include the most current and specific local law enforcement crime statistics or Part I Crime data and relevant Part II Crime data available from the FBI's Uniform Crime Reporting Program (UCR) system.

Part I Crimes include: homicide; rape; robbery; aggravated assault; burglary; larceny; auto theft; and arson. Part II drug-related crimes include: drug abuse violations; simple assault; vandalism; and weapons violations. You may also detail other crimes which you are proposing to be targeted as part of your grant. In assessing this sub-factor, HUD will consider the extent to which you provide specific statistical data (records, not anecdotes) and the data's specificity to the targeted sites (e.g., data specific to those targeted developments proposed for funding by crime type versus tribal/TDHE-community or county-wide data by aggregated crimes).

The objective crime data provided in your application will become a "baseline" tracking future changes in your crime rate.

If you did not provide objective crime data, please provide information as to why objective crime data could not be obtained; the efforts being made to obtain it; what efforts will be made during the grant period to begin obtaining the data; and an explanation of how you plan to measure how grant activities will result in reducing drug-related crime in the targeted developments and what will be used as a baseline.



## **TAB 3(A) TITLE PAGE**

### **General**

#### **Rating Factor 3: Soundness of Approach - (Quality of the Plan) (25 Points Total)**

This factor examines the quality and effectiveness of your proposed work plan. In rating this factor, HUD will consider the impact of your activities on the drug and drug-related crime problems identified in Factor 2, as appropriate, and the extent to which you identify attainable goals, objectives, and performance measures to ensure that tangible benefits can be attained by the community and by your target population.

Your application must include a detailed narrative describing: each proposed activity for your developments proposed for assistance; the amount and extent of resources committed to each activity or service proposed; measurable goals and objectives for all major program activities that focus on outcome and results; and the process used to collect the data needed to report progress made against these goals.

#### **Rating Factor 3 Subfactor 1 (5 points)**

The strength of your plan to address the drug-related crime problem and the problems associated with drug-related crime in your developments proposed for funding, the resources allocated, and how well the proposed activities fit with the plan. The rationale for your proposed activities and methods used should include written, descriptive evidence that proposed activities have been effective in similar circumstances in controlling drug-related crime in your community.

## **TAB 3(B) TITLE PAGE**

### **Rating Factor 3**

#### **Subfactor 2(a)**

**(5 points)**

You have stated performance goals that will measure program outcomes and designed your major activities to meet stated, measurable goals and objectives for drug and drug-related crime reduction. Outcomes include accomplishments, results, impact and the ultimate effects of your program on the drug or crime problem in your target/project area. The goals must be objective, quantifiable, and/or qualitative and they must be stated in such a way that at the end of the 24 month grant, one can determine if the activities were effective. Specific crime reduction goals must be measurable.

For example, “eliminating or reducing crime and drug-related crime” is not a specific nor measurable goal, whereas a goal of “reducing inhalant sniffing etc. by 5% in development X by the end of the 24 month grant period based on measurements against the baseline year crime selection rate in the targeted development X as stated in the application” is specific and measurable.

## **TAB 3(C) TITLE PAGE**

### **Rating Factor 3**

#### **Subfactor 2(b)**

**(5 points)**

Provided the actual baseline data which will establish a starting point against which program outcomes will be measured and stated expected results for all major grant activities proposed in your application. For example: "The total population in community X is 1,400, we have 400 family units, 80% of the residents are under 18 years of age, total drug arrests is 23, there is still a lot of violence in our community and we want more programs to give youth positive influences. We conducted a resident survey in 1999 and 100 residents responded. Approximately 75% of the residents had the following concerns..."

## **TAB 3(D) TITLE PAGE**

### **Rating Factor 3 Subfactor 2(c) (5 points)**

Discussed what performance measurement system exists for providing information to HUD semi-annually on progress made in achieving the established outcome goals.

For example: “Unit of measure: Number of youth participants with a 2.0 through a 2.9 grade-point average (GPA). Community X met with residents to establish a mentoring/tutoring program to assist youth. The following performance indicators/outcome measures were developed: (1) 90% of participants maintain a C average; and (2) 70% percent of the participants demonstrate a change in their attitudes in key courses. The following types of records were maintained during the course of the year: compilation of all GPAs for all participants, semi-annual summary of GPAs for each participant based on school report cards, monthly reports which included number of mentor/tutor hours, number of participants for each session, and pre-post test results for each participant.”

## **TAB 3(E) TITLE PAGE**

### **Rating Factor 3**

#### **Subfactor 3**

**(5 points)**

Provide evidence of existing youth programs and activities in your community that reduce substance abuse among youth, aftercare services for youth involved in the juvenile justice system, social services for children with emotional and behavioral problems, programs to reduce delinquency and gang participation, programs that improve academic performance and reduce the dropout rate through the use of mentors, drug and alcohol education activities, conflict resolution, and counseling sessions/programs that have been implemented.

## **TAB 4(A) TITLE PAGE**

### **General**

#### **Rating Factor 4: Leveraging Resources (10 Points Total)**

This factor addresses your ability to secure community and government resources that can be combined with HUD's program resources to achieve program purposes.

### **Rating Factor 4**

#### **Subfactor 1**

**(5 points)**

Written evidence of firm commitment of funding, staff, or in-kind resources, partnership agreements, and on-going or planned cooperative efforts with law enforcement agencies, local, state, tribal or national entities who have committed services through a memoranda of understanding (MOU), or memorandum of agreements (MOA) to participate. Such commitments must be signed by an official of the organization legally able to make commitments for the organization. This evidence of commitment must include organization name, resources, and responsibilities of each participant to increase the effectiveness of the proposed program activities. The signed, written agreement may be contingent upon an applicant receiving a grant award.

Commitments can include interagency activities already undertaken, participation in local, state, tribal or Federal anti-drug related crime efforts such as: education, training and employment provision components of Welfare Reform efforts which may include descriptions of tribal TANF plans and participation in Native Employment Works (NEW) program, or any of the following programs administered by the Department of Justice such as Operation Weed and Seed, Community Oriented Policing Services Tribal Resources Grant Program (COPS), Indian Tribal Courts, Drug-Free Communities Support Program, Tribal Youth Program, Safe Start Initiative, STOP Violence Against Indian Women Discretionary Grants, and Mental Health and Juvenile Justice Program.

## **TAB 4(B) TITLE PAGE**

### **Rating Factor 4**

#### **Subfactor 2**

**(5 points)**

Successful coordination of your law enforcement, or other activities with local, state, Tribal or Federal law enforcement agencies to foster meaningful collaborations and strengthen community anti-drug coalition efforts to reduce substance abuse among youth and adults, and actions implemented to eradicate violent crime.

Letters from various agencies supporting the submission of your IHDEP application do **not** constitute a letter of firm commitment.

Firm commitment must be demonstrated by these agencies with exact details of the partnership between the applicant and the agency and/or provides a commitment that specifically states the amount of funding, number of staff committed and their roles and responsibilities and a description of in-kind resources available for this project.

## **TAB 5(A) TITLE PAGE**

### **General**

#### **Rating Factor 5: Comprehensiveness and Coordination (10 Points Total)**

This factor addresses the extent to which you have coordinated your activities with other known organizations and are working towards addressing a need in a holistic and comprehensive manner through linkages with other activities in your community.

#### **Rating Factor 5 Subfactor 1 (5 points)**

Coordinated your proposed activities with those of other groups or organizations prior to submission in order to best complement, support and address the needs of your community as identified in Rating Factor 2: Need/Extent of the Problem. Any firm written agreements, MOUs/MOAs in place, or that will be in place after award should be described and/or included.



## **TAB 5(B) TITLE PAGE**

### **Rating Factor 5**

#### **Subfactor 2**

**(2 points)**

Taken specific steps to become active in your community's Indian Housing Block Grant process by providing written evidence that you have addressed crime prevention and safety issues, and that your proposed activities reflect the priorities, needs, goals or objectives of crime prevention and safety in the Indian Housing Plan (IHP). Written evidence could include a copy of your tribe's Crime Prevention and Safety Plan that is included in your Indian Housing Plan (IHP) or a written statement or letter from the tribal official stating that the FY 2001 crime prevention and safety activities will be implemented in coordination with activities outlined in the IHP.

## **TAB 5(C) TITLE PAGE**

### **Rating Factor 5**

#### **Subfactor 3**

**(1 point)**

Taken specific steps to develop linkages to coordinate comprehensive solutions through meetings, information networks, planning processes or other mechanisms with:

- a) other HUD-funded projects/activities outside the scope of those covered by the IHP;
- b) and other Federal, State, tribal, or locally funded activities, including those proposed, or on-going that will sustain a comprehensive system to address the needs of your community.

## **TAB 5(D) TITLE PAGE**

### **Rating Factor 5**

#### **Subfactor 4**

**(1 point)**

Your application must describe what role residents in your targeted developments, applicable community leaders and organizations, and law enforcement agencies have had in planning the activities described in your application, what role they will have in implementing such activities for the duration of your grant and how services may be sustained beyond the grant term.

## **TAB 5(E) TITLE PAGE**

### **Rating Factor 5**

#### **Subfactor 5**

**(1 point)**

Your application must include a discussion and written evidence (i.e. comments from residents, minutes from community meetings) of the extent to which community representatives and tribal, local, State and Federal Government officials, including law enforcement agency officials were actively involved in the design and implementation of your plan and will continue to be involved in implementing such activities during and after the period of your IHDEP funding.

## **TAB 6 TITLE PAGE**

### **Required forms and certifications**

- ☐ Applicant Data Input Form
- ☐ Application Cover Letter
- ☐ Project Summary, Congressional Notification Information Sheet
- ☐ Implementation Schedule
- ☐ Standard Form-424, Application for Federal Assistance
- ☐ Form HUD-424M, Federal Funding Matrix
- ☐ Standard Form-424A, Budget Information (non-construction programs), with Activity Budget Narrative/and supporting documentation
- ☐ Standard Form-424B, Assurances (non-construction programs)
- ☐ FY 2001 Summary Budget Information (Budget Line Items)
- ☐ Form HUD-2880, Applicant/Recipient Disclosure/Update Report
- ☐ Form HUD-50070 Drug-Free Workplace Certification
- ☐ Form HUD-50071 Certification of Payments to Influence Federal Transactions (Lobbying Certification)
- ☐ Standard Form-LLL, Disclosure of Lobbying Activities Certification
- ☐ Form HUD-2992 Certification of Debarment and Suspension
- ☐ Form HUD-2994 You are our Client!
- ☐ Certification of Consistency with the Indian Housing Plan
- ☐ Certification of Resident Management Corporations, Resident Organizations, and Residents
- ☐ Acknowledgement of Application Receipt